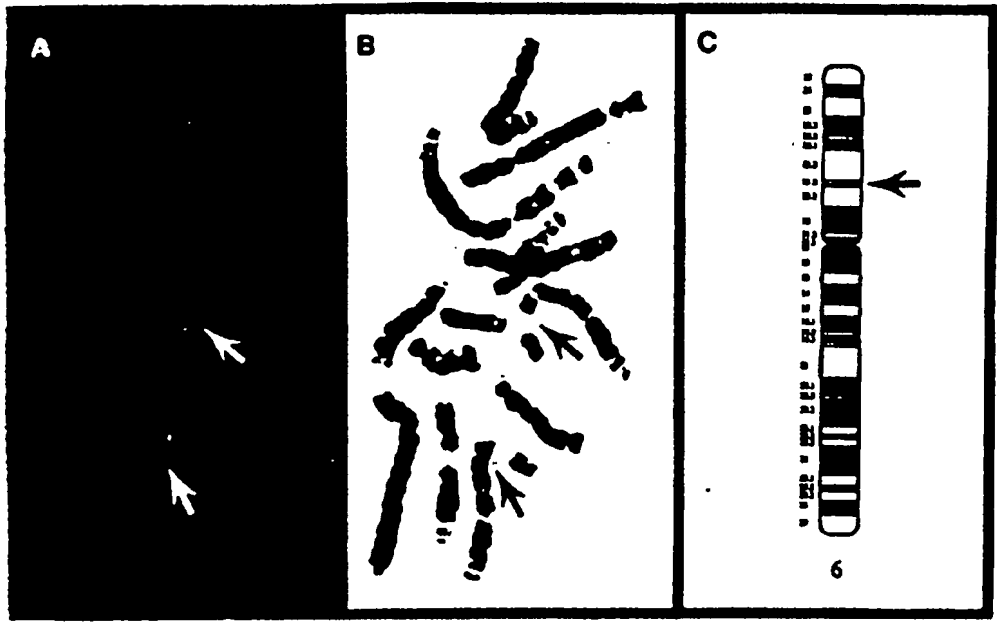




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> C12N 15/12, 15/11, 15/62, C07K 14/47, 16/18, A61K 38/18, 48/00, C12Q 1/68, G01N 33/574		<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 95/13375</b> <b>(43) International Publication Date:</b> 18 May 1995 (18.05.95)
<b>(21) International Application Number:</b> PCT/US94/12936 <b>(22) International Filing Date:</b> 10 November 1994 (10.11.94) <b>(30) Priority Data:</b> 149,829 10 November 1993 (10.11.93) US <b>(71) Applicant:</b> THE JOHNS HOPKINS UNIVERSITY [US/US]; 720 Rutland Avenue, Baltimore, MD 21205 (US). <b>(72) Inventors:</b> VOGELSTEIN, Bert; 3700 Breton Way, Baltimore, MD 21208 (US). KINZLER, Kenneth, W.; 1348 Halstead Road, Baltimore, MD 21234 (US). <b>(74) Agents:</b> KAGAN, Sarah, A. et al.; Banner, Birch, McKie & Beckett, 11th floor, 1001 G Street, N.W., Washington, DC 20001 (US).		<b>(81) Designated States:</b> CA, JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	

**(54) Title:** TUMOR SUPPRESSOR WAF1



**(57) Abstract**

A human gene, WAF1, has been identified which is induced by wild-type but not mutant p53 in human brain tumor cells. The gene is located on chromosome 6p21.2 and directs the synthesis of an 18.1 kd protein. Introduction of WAF1 cDNA suppresses growth of human brain and colon tumor cells. The WAF1 gene and protein are useful *inter alia* for diagnosis and treatment of human tumors.

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**TUMOR SUPPRESSOR WAF1**

This invention was made with support from NIH grant CA 0907 and CA 43460. The U.S. government therefore retains some rights in the invention.

**TECHNICAL FIELD**

The invention relates to the fields of diagnosis and therapy of cancers. More particularly, the invention relates to a protein which can suppress tumor cell growth.

**BACKGROUND OF THE INVENTION**

Inactivation of p53 is a common event in the development of human neoplasia (Hollstein et al. (1991) *Science* 253, 49-53). A variety of mechanisms can lead to such functional inactivation, including p53 point mutations or deletions of p53 (Baker et al. (1989) *Science* 244, 217-221; Wolf, D., and Rotter, V. (1985) *Proc. Natl. Acad. Sci. USA* 82, 790-794), and interaction with oncogenic viral or cellular proteins (Mietz et al. (1992) *EMBO J.* 11, 5013-5020; Momand et al. (1992) *Cell* 69, 1237-1245). Wild-type p53 has been shown to be a suppressor of tumor cell growth (for reviews see Mercer, W.E. (1992) *Crit. Rev. Eucar. Gene Exp.* 2, 251-263; Oren, M. (1992) *FASEB J.* 6, 3169-3176; Lane, D.P. (1992)

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*Nature* 358, 15-16; Perry, M.E., and Levine, A.J. (1993) *Curr. Opin. in Genet. and Devel.* 3, 50-54). Inactivation of p53 by any of the above mechanisms thereby leads to a selective growth advantage, generally observed as tumor progression.

The mechanism underlying p53 growth suppression is still undefined. Several biochemical features of p53 have been elucidated, and at least two of these are currently of much interest. First, p53 has been shown to transcriptionally suppress a variety of promoters containing TATA-elements (Ginsberg et al. (1991) *Proc. Natl. Acad. Sci. USA* 88, 9979-9983; Santhanam et al. (1991) *Proc. Natl. Acad. Sci. USA* 88, 7605-7609; Kley et al. (1992) *Nucl. Acids Res.* 20, 4083-4087; Mack et al. (1993) *Nature* 363, 281-283). This suppression is apparently sequence independent, and may involve p53 binding to the TATA-binding protein (TBP) or to other transcription factors (Seto et al. (1992) *Proc. Natl. Acad. Sci. USA* 89, 12028-12032; Truant et al. (1993) *J. Biol. Chem.* 268, 2284-2287; Ragimov et al. (1993) *Oncogene* 8, 1183-1193; Martin et al. (1993) *J. Biol. Chem.* 268, 13062-13067; Liu et al. (1993) *Mol. and Cell. Biol.* 13, 3291-3300). Second, p53 can bind to DNA in a sequence-specific manner (Kern et al. (1991) *Science* 252, 1707-1711). A 20 bp consensus binding site, consisting of two copies of the 10 bp sequence 5'-RRRCWWGYYY-3', separated by up to 13 bp, has been identified (El-Deiry et al. (1992) *Nature Genet.* 1, 45-49; Funk et al. (1992) *Mol. Cell. Biol.* 12, 2866-2871). Both copies of the 10 bp sequence are required for efficient binding by p53. p53 contains a strong transcriptional activation sequence near its amino terminus (Fields, S., and Jang, S.K. (1990)

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*Science* 249, 1046-1049; Raycroft et al. (1990) *Science* 249, 1049-1051), and can stimulate the expression of genes downstream of its binding site. Such stimulation has been demonstrated in both mammalian (Kern et al. (1992) *Science* 256, 827-830; Funk et al. (1992) *Mol. Cell. Biol.* 12, 2866-2871; Zambetti et al. (1992) *Gen. and Devel.* 6, 1143-1152) and yeast cells (Scharer, E., and Iggo, R. (1992) *Nucl. Acids Res.* 20, 1539-1545; Kern et al. (1992) *Science* 256, 827-830) as well as in an *in vitro* system (Farmer et al. (1992) *Nature* 358, 83-86).

The sequence-specific transcriptional activation by p53 has led to the hypothesis that p53-induced genes may mediate its biological role as a tumor suppressor (Pietenpol et al. (1993) *Cell* (submitted)). To date, several genes containing p53-binding sites have been identified. These include muscle creatine kinase (MCK, Weintraub et al. (1991) *Proc. Natl. Acad. Sci. USA* 88, 4570-4574; Zambetti et al. (1992) *Gen. and Devel.* 6, 1143-1152), GADD45 (Kastan et al. (1992) *Cell* 71, 587-597), MDM2 (Barak et al. (1993) *EMBO* 12, 461-468; Wu et al. (1993) *Genes and Devel.* 7, 1126-1132), and a GLN retroviral element (Zauberman et al. (1993) *EMBO J.* 12, 2799-2808). Each of these genes contains a 20 bp sequence with high homology to the p53 consensus binding site (Prives, C., and Manfredi, J.J. (1993) *Gen. and Devel.* 7, 529-534). The p53-binding sites in GADD45 and MDM2 are located within introns, the MCK site is 3 kb upstream of the transcription start site, and the GLN element is located within an LTR. The relationship of any of these genes to suppression of cell growth by p53 remains unclear. It has been suggested that MDM2 may be a feedback regulator of p53

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action, by being transcriptionally induced (Barak et al. (1993) *EMBO* 12, 461-468; Wu et al. (1993) *Genes and Devel.* 7, 1126-1132), then inhibiting p53 function (Momand et al. (1992) *Cell* 69, 1237-1245; Oliner et al. (1993) *Nature* 362, 857-860; Wu et al. (1993) *Genes and Devel.* 7, 1126-1132). In this regard, MDM2 functions as an oncogene rather than as a tumor suppressor gene (Fakharzadeh et al. (1991) *EMBO J.* 10, 1565-1569; Finlay, C.A. (1993) *Mol. and Cell. Biol.* 13, 301-306).

There is a need in the art for elucidation of the pathway by which p53 exerts its tumor suppressive effects. There is also a need in the art for new diagnostic and therapeutic tools for evaluating and ameliorating human cancers.

#### **SUMMARY OF THE INVENTION**

It is an object of the invention to provide DNA molecules useful for diagnosing and treating human tumors.

It is another object of the invention to provide proteins useful for treating human tumors and for raising diagnostically useful antibodies.

It is still another object of the invention to provide antibodies which are useful for diagnosing human cancer.

It is yet another object of the invention to provide methods of suppressing growth of tumor cells.

It is an object of the invention to provide a method for screening potential therapeutic agents for treating cancer.

It is another object of the invention to provide methods for diagnosing cancer.

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It is yet another object of the invention to provide a reporter construct, useful for screening potential antineoplastic agents.

It is an additional object of the invention to provide an antisense construct for inhibiting expression of a tumor suppressor gene.

It is still another object of the invention to provide antisense oligonucleotides for inhibiting expression of a tumor suppressor gene.

It is yet another object of the invention to provide methods for promoting growth of cells in which a tumor suppressor gene's expression is inhibited.

It is another object of the invention to provide a method for assessing susceptibility to cancers.

These and other objects of the invention are provided by one or more of the embodiments described below. In one embodiment of the invention an isolated and purified subchromosomal DNA molecule is provided. The molecule encodes WAF1 protein as shown in SEQ ID NO: 2, and contains three exons of 168 bp, 450 bp and 1600 bp. The sequence of said exons is shown in SEQ ID NO: 1.

In another embodiment of the invention an isolated and purified WAF1 protein is provided. The protein has a sequence as shown in SEQ ID NO: 2.

In yet another embodiment of the invention an antibody is provided. The antibody is specifically reactive with human WAF1 protein.

In still another embodiment of the invention a method of suppressing growth of tumor cells is provided. The method comprises administration of a WAF1 protein having a sequence as shown in SEQ ID NO: 2 to said cells.

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In an additional embodiment of the invention a method of suppressing growth of tumor cells is provided. The method comprises administration to said cells of a DNA molecule which causes said cells to express WAF1, said DNA molecule having a sequence as shown in SEQ ID NO: 1.

According to another embodiment of the invention a method for screening potential therapeutic agents for the ability to suppress the growth of tumor cells by activating the expression of WAF1 is provided. The method comprises incubation of a potential therapeutic agent with a cell which contains a WAF1 reporter construct, said reporter construct comprising a WAF1 transcription regulatory region covalently linked in a *cis* configuration to a gene encoding an assayable product. Further, the method comprises measurement of the production of the assayable product. A potential therapeutic agent is identified as useful if it increases the production by the cell of the assayable product.

In still another embodiment of the invention a method for diagnosing cancer is provided. The method comprises testing a tissue to determine if the tissue expresses less WAF1 than normal tissue.

In another embodiment of the invention a method for diagnosing cancer is provided. The method comprises testing a tissue to determine if DNA in said tissue contains a mutant WAF1 gene.

In still another embodiment of the invention a WAF1 reporter construct is provided. The reporter construct comprises a WAF1 transcription regulatory region covalently linked in a *cis* configuration to a gene encoding an assayable product.



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In another embodiment of the invention an antisense WAF1 construct is provided. The construct comprises: a transcriptional promoter; a transcriptional terminator; and a DNA segment comprising one or more segments of the WAF1 gene, said gene segment located between said promoter and said terminator, said DNA segment being inverted with respect to said promoter and said terminator, whereby RNA produced by transcription of the DNA segment is complementary to a corresponding segment of WAF1 RNA produced by human cells.

In another embodiment of the invention a WAF1 antisense oligonucleotide is provided. The oligonucleotide comprises at least ten nucleotides complementary to a sequence present in WAF1 mRNA.

In yet another embodiment of the invention a triplex oligonucleotide is provided. The oligonucleotide comprises at least ten nucleotides complementary to a sequence present in a WAF1 gene.

In still another embodiment of the invention a method is provided for promoting growth of cells. The method comprises: administering a WAF1 antisense or triplex-forming oligonucleotide comprising at least ten nucleotides complementary to WAF1 mRNA or WAF1 gene, respectively, to said cells to inhibit the expression of WAF1. In an alternative method an antisense WAF1 construct is administered to said cells to inhibit the expression of WAF1. The construct comprises:

- a. a transcriptional promoter;
- b. a transcriptional terminator;

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c. a DNA segment comprising one or more segments of the WAF1 gene, said gene segment located between said promoter and said terminator, said DNA segment being inverted with respect to said promoter and said terminator, whereby RNA produced by transcription of the DNA segment is complementary to a corresponding segment of WAF1 RNA produced by human cells.

In still another embodiment of the invention a method is provided for assessing susceptibility to cancers. The method comprises testing a tissue selected from the group consisting of blood, chorionic villi, amniotic fluid, and a blastomere of a preimplantation embryo, to determine if DNA in said tissue contains a mutant WAF1 gene.

Thus the subject invention provides the art with useful means for diagnosing and treating cancers in humans and other animals. Moreover, it opens new avenues for the design and screening of additional anti-neoplastic therapeutic agents which operate by means of a new mechanism as detailed below. Conversely, the subject invention provides a new approach for promoting the proliferation of cells when large numbers of such cells are desired.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 shows p53-dependent transactivation in GM and DEL cell lines. GM cells (containing an inducible wild-type p53 gene) or DEL cells (containing an inducible mutant p53 gene) were transfected with reporter plasmids as indicated, and luciferase activity was measured after 18 hours of incubation either in the absence or presence of dexamethasone as shown. Wild-type p53 expression

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plasmid was co-transfected with PG13-Luc into DEL cells as indicated in the two right-most lanes.

Figure 2 shows the time course of induction of p53, WAF1, and MDM2 transcripts in GM cells treated with dexamethasone. A Northern blot was prepared using 10  $\mu$ g of total RNA isolated from GM cells treated with dexamethasone for 0, 4, 6, 8, or 16 hours, and probed with p53 cDNA (top panel), WAF1 cDNA (middle panel), or MDM2 cDNA (lower panel). The endogenous mutant (mut) and induced wild-type (wt) p53 mRNA species are indicated with arrows.

Figure 3 shows CDNA and predicted amino acid sequence of human WAF1. The predicted translation begins at nt 76 and ends at nt 567. The nucleotide sequence of human WAF1 has been deposited with GenBank; accession number VO3106.

Figure 4 shows Southern blot analysis of WAF1 in human and mouse cells. Four  $\mu$ g of human genomic DNA (lanes 1 and 2) or 8  $\mu$ g of mouse genomic DNA (lanes 3 and 4) were digested with EcoRI (lanes 1 and 3) or BamHI (lanes 2 and 4). Following transfer, the blot was probed with a radioactively labeled WAF1 cDNA fragment encompassing WAF1 nt 1 to 1004.

Figure 5 shows chromosomal localization of the gene encoding WAF1. Figure 5A shows partial metaphase chromosomes after FISH with the biotin-labeled genomic WAF1 probe (arrow indicates chromosome 6). Figure 5B shows identical G-banded metaphase chromosomes as in Figure 5A, documenting the localization of the fluorescent signal to 6p21.2. Figure 5C shows an idiogram of chromosome 6 (arrow indicates 6p21.2).

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Figure 6 shows that WAF1 is induced in the presence of transcriptionally active wild-type p53. A Northern blot was prepared from 10  $\mu$ g of total RNA from GM cells in the presence or absence of dexamethasone for 16 hours (lanes 1 and 2 respectively), DEL cells treated with dexamethasone (lane 3), or SW480 cells infected with either Ad-gal (lane 4) or Ad-p53 (lane 5) for 16 hours. The blot was probed with WAF1 DNA, GADD45 DNA, MDM2 DNA, or TGF-beta DNA, as indicated. An ethidium bromide stain of the RNA, prior to Northern transfer, is shown in the lower-most panel. The expression of wild-type p53 in the various cells is indicated at the top of the figure.

Figure 7 shows the sequence of the second exon of mouse WAF1. Figure 7A shows the predicted amino acid sequence of mouse WAF1 shown above the nt sequence. Figure 7B shows comparison of the predicted amino acid sequences between human and mouse WAF1. Identical amino acids are indicated by a line between human and mouse amino acids, whereas similar amino acids are indicated by a dot.

Figure 8 shows that WAF1 induction by p53 is conserved in rat and mouse. A Northern blot was prepared using total RNA from GM cells, either untreated (lane 1) or treated for 6 hours with dexamethasone (lane 2), REF 112 cells grown either at 37°C (uninduced; lane 3) or 31°C (lane 4), or MCO1 cells infected with either Ad-gal (lane 5) or Ad-p53 (lane 6). The RNA was hybridized with radioactive probes made from human WAF1 cDNA (Figure 7A) or mouse WAF1 DNA (Figure 7B). An ethidium bromide stain of the RNA, prior to transfer, is shown in the lowest panel.

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Figure 9 shows that WAF1 suppresses the growth of human tumor cells. The human brain tumor line (DEL; Figure 9) or the human colon tumor line (SW480; Figure 9) were transfected with the pCEP4 vector, or vectors encoding sense WAF1, antisense WAF1, mutant WAF1, or wild-type p53, as indicated. The photographs show low power views of the transfected flasks following 17 days of hygromycin selection. Below each photograph, the fraction of colonies (%) in each flask compared to the vector transfected cells is indicated (means of three flasks  $\pm$  standard deviation). The vector transfectants contained an average of 310 and 850 colonies in rows A and B, respectively.

Figure 10 shows schematic representation of WAF1 transcription regulatory region. Diagram shows the promoter-reporter constructs (Figure 10A), and partial DNA sequence of the WAF1 upstream regulatory region (Figure 10B), including promoter and upstream p53 binding sequences. Small letters in the latter represent deviations from the p53 consensus binding sequence. The TATA-element and Sp1 recognition sequences within the WAF1 promoter are surrounded by boxes. The *Sac* I site, used for making the DM-Luc construct devoid of the p53 binding site, occurred at the 3'-end of the sequence shown (g is the 1st nt of the *Sac* I recognition site).

Figure 11 shows activation of WAF1-promoter by wild-type p53. GM or DEL cells were transfected with either the WWP-Luc or DM-Luc reporters (Figure 10), and luciferase activity was measured after incubation with or without dexamethasone for 14 hours.

**DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

One of the major goals of tumor biology is to understand the biochemical nature of the pathways leading to growth suppression. For p53, this understanding has recently been enhanced by finding that p53, a nuclear phosphoprotein, binds to DNA in a sequence-specific manner, and activates transcription from such sequences. A variety of experiments has suggested the hypothesis that genes whose expression is activated by p53 are likely to be mediators of p53 action (Pietenpol et al. (1993) *Cell* (submitted)). It is a discovery of the applicants that WAF1 is such a gene. WAF1 gene expression is induced by p53, and this induction is observed in cell lines from human, mouse, and rat. The data indicate that not only are the coding sequence and exon structure of WAF1 conserved, but also its regulation by p53. This is consistent with the fact that p53 tumor suppressive function is conserved between rodents and humans.

The activation of a gene following wild-type p53 expression could be indirect, a result of induction by a second gene directly controlled by p53. In the case of WAF1, the p53 induction is likely to be direct, as at least one strong binding site exists within its transcription regulatory region. The binding site also functions in a p53-dependent manner in yeast. Moreover, the entire WAF1 promoter region is unambiguously under p53 control in mammalian cells (Figure 11). Finally, WAF1 mimics the growth suppression of p53 when introduced into three different cell lines. There are a few other genes whose expression is increased as a result of p53 expression, but none of them has been

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shown to meet the numerous criteria described here for a direct mediator of p53 action.

DNA molecules according to the present invention are isolated and purified from other chromosomal genes. They may be either genomic sequences or cDNA sequences, *i.e.*, they may or may not contain intervening sequences. A genomic clone of about 90 kb has been isolated which encodes the whole WAF1 gene. The WAF1 mRNA has been found to be approximately 2.1 kb. The WAF1 gene contains three exons of 168, 450 and 1600 bp. The sequence of the exons is shown in SEQ ID NO: 1.

Now provided with the sequence of WAF1, one of ordinary skill in the art can readily obtain the 18.1 kd WAF1 protein. It can be expressed in bacteria, yeast, or other convenient cell. Portions of it can be synthesized and linked to a carrier protein for immunization of laboratory animals to raise antibodies specifically immunoreactive with WAF1 protein. The antibodies can be used to purify the WAF1 protein from natural or recombinant sources. Such antibodies can be polyclonal or monoclonal, as is convenient for the particular application.

As described herein, WAF1 protein has a growth-suppressing effect on tumor cells. Thus its administration to tumor cells may be desirable to effect such growth suppression. Other cells which are involved in proliferative diseases may also be targeted for WAF1-mediated growth suppression. Such proliferative diseases include psoriasis, polyps, warts, and inflammatory diseases. WAF1 protein may be administered in suitable formulations to tumor cells. It may be

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microinjected, or simply supplied externally to tumor cells. It may be encapsulated, *e.g.*, in liposomes. If WAF1-encoding DNA is administered to the tumor cells then the cells can express their own WAF1 protein for growth suppression. Such DNA can be genomic or cDNA, as described above. Other cells involved in proliferative diseases may be treated similarly.

According to another aspect of the invention WAF1 reporter constructs are provided. They are recombinant DNA molecules which contain a WAF1 transcription regulatory region covalently linked in a *cis* configuration to a reporter gene. Many suitable reporter genes are known in the art, including, but not limited to  $\beta$ -galactosidase, luciferase, chloramphenicol acetyl transferase, neomycin phosphotransferase. If expression of the reporter gene is increased in the presence of a test compound, then one can assume that the test compound will function similarly to increase expression of WAF1 when it is located downstream from its own transcription regulatory region, as it is *in vivo*. Since increased expression of WAF1 is shown herein to have a growth suppressing effect on tumor cells, it can be assumed that the test compound which enhances the expression of the reporter construct will similarly have a growth suppressive effect *in vivo*. The transcription regulatory region of WAF1 which is sensitive to the presence of wild-type p53 is located within about 2.4 kb of the WAF1 transcriptional start site. The region includes the p53 binding site shown in SEQ ID NO:3. If the reporter construct is in a cell, the cell can be incubated with the test compounds and the effect on the expression of the reporter gene can be monitored and measured.



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Alternatively, the reporter construct may be employed *in vitro* in cell-free transcription and optionally translation systems.

WAF1 is shown herein to be regulated by wild-type but not mutant p53. Therefore, one can use the expression of WAF1 as a marker for the expression of wild-type p53. Diminished WAF1 expression, relative to normal tissues, can indicate cancer, just as diminished wild-type p53 expression or presence of mutated p53 expression can be indicative of cancer. Assays for WAF1 expression can be used in addition to, or in place of, assays for wild-type p53 directly. Tissues which are suitable for comparison purposes to provide a normal control are typically adjacent, morphologically normal tissues. Tests for the presence or amount of WAF1 expression can employ either antibodies specific for WAF1 protein, nucleic acid probes of at least about 10 nucleotides complementary to all or a portion of the sequence of SEQ ID NO:1, or other tests known in the art. Similarly, DNA of a tumor tissue can be tested to determine whether it contains mutations. WAF1 mutations would be expected to confer a neoplastic phenotype on cells, as do p53 mutations. Mutations can be determined by determining the sequence of the genes in the tissue being tested, and comparing that sequence to that disclosed in SEQ ID NO: 1. Such mutations may arise in the germline or in somatic tissues. If the mutations arise in somatic tissues, then they will not be found in other tissues of the same individual. If the mutations arise in the germline, they will be found in all tissues of the body, and will, like germline p53 mutations, indicate a susceptibility to cancers. Tissues suitable for testing for

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germline mutations include blood, chorionic villi, amniotic fluid, and blastomeres if preimplantation fertilized embryos.

Antisense WAF1 constructs contain a transcriptional promoter and a transcriptional terminator (polyadenylation signal), with a DNA segment between them. The DNA segment comprises one or more segments of the WAF1 gene, but that segment(s) is in an inverted orientation in the construct, compared to the orientation in the human genome. Transcription from the transcriptional promoter of the construct produces an (antisense) RNA molecule which is complementary to WAF1 RNA which is produced from the WAF1 promoter in normal human cells. The promoter used to make the antisense RNA molecule can be an inducible promoter which can be regulated by certain prescribed stimuli. For example, a metallothionein promoter or a hormone responsive promoter can be advantageously used. Other promoters and terminators can be used as is convenient in the particular application.

The antisense WAF1 constructs of the present invention can be used in one type of cell to produce antisense RNA which is then applied to other cells by techniques known in the art. Alternatively, the WAF1 constructs can be administered to the ultimate target cells in which regulation of WAF1 is desired. Suitable means for introducing DNA constructs into cells are known in the art. Administration of antisense constructs may be by transfection, transformation, electroporation, fusion, etc., as is known in the art. Inhibition of WAF1 expression causes cells to proliferate and prevents cell death. This can be particularly useful in situations where growing large numbers of certain cells in

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culture is desirable, such as in the case of culturing epidermal cells for transplantation. Alternatively, administration to certain cells of the body may be desirable, such as immune cells or cells of the gastrointestinal tract.

WAF1 antisense oligonucleotides are also provided for the same purpose as the antisense constructs, discussed above. The oligonucleotides are at least ten nucleotides and may be twenty or thirty nucleotides in length. They may consist of normal nucleotides or nucleotide analogs or mixtures of the two. Analogs include methylphosphonates, aminoalkylphosphonates, phosphorothioates, phosphorodithioates, substituted or unsubstituted phosphoramidates. The antisense oligonucleotides are typically linear, single-stranded molecules which are complementary to the natural WAF1 mRNA made by human cells, though circular molecules can also be utilized. These can be administered to cells in liposomes, or naked, for uptake by the cells by passive or receptor-mediated transport. It is often desirable that the antisense oligonucleotide be designed to be complementary to the 5' end of the mRNA, in particular to the translation start site. However, other portions of mRNA molecules have been found to be amenable to antisense inhibition, and may be used in the practice of the present invention. It is also desirable to avoid portions of the mRNA as target for the antisense oligonucleotides which have secondary structures which involve hydrogen bonding with other portions of the molecule. For example, it is desirable to avoid regions which appear to be involved in formation of stems of stem-loop structures.

Some suitable oligonucleotide sequences which may be used are: 5'-GGTTCTGACATGGCGCCTCC-3'; 5'-CCCAGCCGGTTCTGACATGG-3'; 5'-

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ATGCAGCCCGCCATTAGCGC-3'; 5'-GTCATGCTGGTCTGCCGCCG-3'; 5'-GTGGGCGGATTAGGGCTTCC-3'; 5'-TAAATAGTATTTTCATAAAAT-3'. These correspond to nucleotides number 67-86, 74-93, 181-200, 499-518, 560-579, 803-822, of the WAF1 cDNA shown in SEQ ID NO: 1.

The expression of WAF1 may also be inhibited by interference with transcription, by adding oligonucleotides or modified oligonucleotides than can form triple-stranded structures (triplexes) by complexing with a segment of the WAF1 gene.

Example 1

This example demonstrates an experimental gene expression system which is sensitive to and specific for the presence of wild-type p53.

As a first step towards the isolation of p53-regulated genes, we determined optimal cell culture conditions under which an exogenous wild-type p53 protein could activate transcription through specific DNA binding. A reporter plasmid containing a p53 DNA-binding site upstream of a basal promoter (Kern et al. (1992) *Science* 256, 827-830) linked to a luciferase reporter gene (PG13-Luc) was cloned and cotransfected into SW480 colon cancer cells with either a human wild-type p53 expression plasmid (p53-wt) or a mutant p53 expression plasmid (p53-273). High luciferase activity was observed only when wild-type p53 was present (data not shown). No luciferase activity was detected if the reporter plasmid contained mutant p53 binding sites (MG15-Luc), regardless of whether or not wild-type p53 was present. This validated reporter was then used in a p53-inducible system.

The glioblastoma cell line GM contains endogenous mutant p53 (Ullrich et al. (1992) *Oncogene* 7, 1635-1643) and dexamethasone-inducible exogenous human wild-type p53 (Mercer et al. (1990) *Proc. Natl. Acad. Sci. USA* 87, 6166-6170). The related line DEL expresses the same endogenous mutant p53 and a dexamethasone-inducible exogenous mutant p53 (Lin et al. (1992) *Natl. Acad. Sci. USA* 89, 9210-9214). Both cell lines were transfected with either PG13-Luc or MG15-Luc and incubated in the presence or absence of dexamethasone. Figure

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1 shows that dexamethasone-induced wild-type p53 (GM) but not mutant p53 (DEL) expression activated transcription of the luciferase reporter gene linked to a p53 binding site. No luciferase activity was observed when the p53 binding site was mutant (MG15-Luc), or when the p53 protein was mutant (GM without dexamethasone or DEL with or without dexamethasone). Transfection of wild-type p53 into DEL cells activated the PG13-Luc reporter with or without dexamethasone (Figure 1), confirming that the failure of expression of luciferase reporter gene in this cell line was due to the absence of wild-type p53. These experiments demonstrated that reporter gene expression in these two cell lines was both sensitive and specific to the presence of wild-type p53.

Methods:

The SW480 colon cancer cell line was maintained in culture as previously described (Baker et al. (1990) *Science* 249, 912-915). GM4723 (GM cells) and del4A (DEL cells) lines were passaged in Eagle's minimal essential media and log phase cells were induced with dexamethasone as previously described (Mercer et al. (1990) *Proc. Natl. Acad. Sci. USA* 87, 6166-6170). PG13-Luc and MG15-Luc plasmids were cloned by inserting the *Hind* III/*Eco*R I fragments containing wild-type or mutant p53 binding elements (PG13-CAT and MG15-CAT; Kern et al. (1992) *Science* 256, 827-830) into the *Hind* III/*Eco*RI sites of pBluescript II SK+ (pBS; Stratagene, La Jolla, CA). PG13 contains 13 copies of a p53 binding site, while MG15 contains 15 copies of a subtly mutated p53 binding site. The 200 bp *Eco*R I/*Bam*H I fragment containing the polyoma promoter (from pBEL.Py; Munholland et al. (1992) *EMBO J.* 11, 177-184) was cloned into pBS constructs

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containing either PG13 or MG15. A 2.6 kb *Sac* I luciferase cassette (or a 3 kb beta-galactosidase cassette, see below) without promoter elements, was then cloned downstream to create either PG13-Luc or MG15-Luc.

Transfected cells were washed twice with 4 ml Dulbecco's PBS per T-25 flask. The cells were lysed with 0.3 ml (per T-25) of 1X CCLR buffer (Promega, Madison, WI) for 10 minutes at room temperature. After a 5 second spin to pellet large debris, 10  $\mu$ l of supernatant was added to 90  $\mu$ l of reconstituted Luciferase Assay Reagent (Promega). Light emission was detected by scintillation counting.

#### Example 2

This example demonstrates the isolation of a wild-type p53 activated fragment (WAF1) by subtractive hybridization.

Based on the reporter gene experiments, we chose to use subtractive hybridization to identify endogenous genes regulated by p53 in GM cells. In order to determine the optimal time to isolate RNA enriched for p53-induced genes, Northern blot analysis was performed, using RNA isolated from GM cells at various intervals following dexamethasone induction. Figure 2 shows that under the logarithmic growth conditions used, the exogenous wild-type p53 mRNA was detectable by 4 hours after induction and remained elevated for at least 16 hours in GM cells upon dexamethasone induction. A p53-induced cDNA library was therefore prepared from GM cells treated with dexamethasone for 6 hours.

Eighty percent of the clones obtained carried inserts, generally of 1.5- to 2.0-kb in length. A total of 120,000 clones were screened by hybridization to a subtracted p53-induced cDNA probe. This probe was made from cDNA of

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dexamethasone-induced GM cells after subtraction with an excess of dexamethasone-induced DEL RNA. Control experiments showed that the subtraction procedure used, involving chemical crosslinking (Hampson et al. (1992) *Nucl. Acids Res.* 20, 2899) provided an enrichment of over 100-fold for cDNA sequences not present in the RNA used for subtraction (data not shown). Following hybridization to the subtracted probe, the clones were rehybridized to a probe made from RNA of dexamethasone-induced DEL cells. A total of 99 clones differentially hybridized to the subtracted probe on the initial screen and forty-five of these reproducibly displayed differential hybridization when re-tested.

Hybridization probes were prepared from these clones and used in Northern blots containing RNA isolated from dexamethasone treated or untreated GM cells. Of the 45 clones, 28 were found to be highly induced upon dexamethasone treatment. The other 27 clones were less induced by dexamethasone, and were not studied further. Hybridization, sequencing and restriction endonuclease analysis indicated that all of the 28 highly induced cDNA clones were derived from a single 2.1 kb mRNA. The gene encoding this message was named WAF1 (wild-type p53 activated fragment #1). Rehybridization to the cDNA library revealed that WAF1 cDNA was present at a frequency of 0.4% following dexamethasone induction.

Methods:

3.5 µg poly A+ RNA obtained from GM cells induced with dexamethasone for 6 hours was isolated using oligo-dT cellulose (Clontech, Palo Alto, CA) according to the supplier's recommendations, from total RNA prepared by CsCl gradient ultracentrifugation of guanidine isothiocyanate lysed cells, as described



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(Davis, L.G., et. al. (1986) *Elsevier Science Pub. Co., Inc.*). The poly A+ RNA was used to make an oligo-dT primed cDNA library with the SuperScript Choice System (BRL Research Products Life Technologies, Grand Island, New York). A total of 100 ng of cDNA, comprising the 1.5 to 5 kb fraction, was ligated to lambda Ziplox *EcoR* I arms (Gibco BRL Life Technologies, Inc., Gaithersburg, MD), and phage clones were obtained following infection of *E. coli* strain Y109OZL. Phage clones were screened by hybridization of colony lifts to either subtracted or unsubtracted cDNA probes prepared as described below. Excision of pZLI plasmid clones was carried out by phage infection of the excision strain DH10B-Zip (Elledge et al. (1991) *Proc. Natl. Acad. Sci. USA* 88, 1731-1735).

Unsubtracted cDNA probes were prepared from 2  $\mu$ g poly A+ RNA "driver" using oligo-dT as primer and MMLV Super-Script II as described (Hampson et al., (1992) *Nucl. Acids Res.* 20, 2899), except that following alkaline hydrolysis with NaOH and neutralization with HCl, the cDNA was isopropanol precipitated in the presence of 0.17 M sodium perchlorate, washed with 70% ethanol, vacuum dried and resuspended in 10  $\mu$ l of water (Kinzler et al. (1989) *Nucl. Acids Res.* 17, 3645-3653). Twenty ng of unsubtracted cDNA was then labelled with random primers using Sequenase as described (Hampson et al. (1992) *Nucl. Acids Res.* 20, 2899). Subtracted cDNA probes were prepared after a 22 hour hybridization of 500 ng "target" cDNA to 10  $\mu$ g poly A+ "driver" RNA, chemical crosslinking with 2,5-diaziridinyl-1,4-benzoquinone (generously provided

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by John Butler), and labelling as described (Hampson et al. (1992) *Nucl. Acids Res.* 20, 2899).

### Example 3

This example demonstrates the structure of the WAF1 gene.

Eighteen of the 28 WAF1 clones appeared to contain near full-length cDNA, predicted to be 2.1 kb on the basis of Northern blot analysis (Figure 2). DNA sequencing revealed that most of the clones contained the same 5'-end. Because the cDNA library used was not amplified, this likely represented the 5'-end of the transcript. The WAF1 cDNA sequence is shown in Figure 3. (SEQ ID NO: 1) The first ATG codon occurred at nucleotide 77, and an in-frame termination codon occurred at nucleotide 570, predicting a translation product of 18.1 kd. *In vitro* transcription and translation of WAF1 cDNA clones produced a protein of the expected size (not shown). Analysis of the amino-acid sequence of WAF1 protein revealed a cysteine-rich region C(X)<sub>4</sub>C(X)<sub>15</sub>C(X)<sub>6</sub>C between amino acids 13 and 41 with the potential for zinc-binding (Berg, *Science* 232:485-487 (1986)), and a basic region between amino acids 140 and 163 containing two potential bipartite nuclear localization signals (Robbins et al., *Cell* 64:615-623 (1991)) near the C-terminus (SEQ ID NO: 2) No significant homologies at the amino-acid level were found to known proteins (NBRF-PIR release # 35.0). Southern blot analysis showed that WAF1 was probably a single copy gene, with no close relatives in the human genome (Figure 4).

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Methods:

Northern blot analysis was performed as previously described (El-Deiry et al. (1991) *Proc. Natl. Acad. Sci. USA* 88, 3470-3474) except that Quickhyb (Stratagene, La Jolla, California) was used as the hybridization solution.

Example 4

This example demonstrates that WAF1 is localized to chromosome 6, band p21.2 of the human genome.

To identify the chromosomal location of the WAF1 gene, a human genomic P1 clone (P1-WAF1) containing WAF1 sequences was obtained (as described below). The clone contained about 90 kb of DNA, and partial sequencing revealed that the WAF1 gene consisted of three exons of 68, 450, and 1600 bp (exons 1, 2, and 3 respectively). The translation initiation signal was contained in exon 2, a relatively long coding exon (Sterner et al (1993) *Mol. and Cell. Biol.* 13, 2677-2687). The P1-WAF1 clone was labelled with biotin and hybridized to metaphase chromosomes as previously described (Meltzer et al. (1992) *Nature Genet.* 1, 24-28). A total of 18 metaphase cells were examined, and each had at least one "double" fluorescent signal (i.e., signals on each of 2 chromatids) on the middle of the short arm of chromosome 6 (Figure 5). In 15/18 cells, double signals were observed on both chromosome 6 homologs. Only chromosomes in which both chromatids displayed a signal were included for analysis, making the background hybridization close to zero. The same cells subjected to FISH had been previously G-banded using Trypsin-Giemsa and photographed to allow direct comparison of

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the results. The results demonstrated that sequences hybridizing to WAF1 DNA fragment were localized to 6p21.2.

Methods:

A screen of human genomic P1 clones for WAF1 was performed using the primers 5'-CTTTCTAGGAGGGAGACAC-3' and 5'-GTTCCGCTGCTAATCAAAG-3' from WAF1 exon 3 for PCR (Genome Systems, Inc., St. Louis, Mo.). The PCR was performed using the Bind-Aid kit (USB) in a 25  $\mu$ l reaction containing 2.5  $\mu$ l 10x USB PCR buffer, 2  $\mu$ l 2.5 mM each dNTP (dATP, dCTP, dGTP, and dTTP), 0.5  $\mu$ l Bind-Aid (0.5  $\mu$ g/ $\mu$ l SSB), 0.5  $\mu$ l each primer (350 ng/ $\mu$ l), 10 ng DNA template, and 2 Units AmpliTaq (Perkin Elmer Cetus). Amplification was carried out for 35 cycles (following the profile: 95°C for 30 seconds, 57.5°C for 1 minute, and 70°C for 1 minute), yielding a 99 bp PCR product. The P1 clone obtained (P1-WAF1) was labelled with biotin and hybridized to metaphase chromosomes as previously described (Meltzer et al. (1992) *Nature Genet.* 1, 24-28). Eighteen metaphase nuclei were examined for WAF1 localization.

Example 4

This example demonstrates that (i) WAF1 is induced in more than one cell type following wild-type p53 expression; (ii) WAF1 is highly conserved among species; and (iii) WAF1 is induced by p53 in other species.

Figure 6 illustrates the expression of WAF1 in GM cells following dexamethasone treatment for 16 hours (lane 2), compared to either uninduced GM cells (lane 1) or dexamethasone treated DEL cells containing induced mutant p53

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(lane 3). Controls for the experiment included two other genes known to be induced by p53, MDM2 and GADD45, as well as an unrelated gene, TGF-beta. Both MDM2 and GADD45 were induced in the GM cells when wild-type p53 was present, but much less so than WAF1

To examine the induction of WAF1 by wild-type p53 in a different cell line, a wild-type p53 construct in an adenoviral vector (Ad-p53) was used to infect human SW490 colon cancer cells. That Ad-p53 produced transcriptionally active p53 was demonstrated by assaying an SW480 cell line carrying a stably integrated reporter responsive to wild-type but not mutant p53 as described below. SW480 cells were infected with either Ad-p53 or Ad-gal (a control adenoviral vector producing beta-galactosidase instead of p53) for 16 hours and RNA used for Northern blot analysis. Figure 6 shows that WAF1 was highly induced in SW480 cells infected with Ad-p53 (lane 5), but not those infected with Ad-gal (lane 4).

We next assessed the evolutionary conservation of WAF1. "Zoo blots" revealed that single copy sequences from mouse cells hybridized to the human WAF1 clone (Figure 4). Attempts to clone a mouse WAF1 cDNA from a mouse adult brain cDNA library were unsuccessful. Therefore, we obtained a mouse genomic clone containing the WAF1 gene as described below. The nucleotide and predicted amino acid sequence of the mouse WAF1 (mWAF1) second exon is shown in Figure 7A. The mouse and human WAF1 second exon sequences were 76% identical and 80% similar at the amino acid level (Figure 7B). A stretch of 26 amino acids (human aa 21-56) was almost perfectly conserved, as was the zinc finger-like motif between aa 13 and 41 in human WAF1 (H(X)<sub>4</sub>C(X)<sub>15</sub>C(X)<sub>6</sub>C in

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the mouse). The positions of introns surrounding exon 2 in the WAF1 gene were identical in both human and mouse (not shown).

To determine whether rodent WAF1 gene expression was induced by wild-type p53, two experimental systems were used. The first consisted of rat embryo fibroblasts containing a stably integrated murine temperature-sensitive mutant p53 (REF-112 cells; Michalovitz et al. (1990) *Cell* 62, 671-680). These cells were transfected with the PG13-Luc reporter and incubated either at 37°C (mutant p53 conformation), or 31°C (wild-type p53 conformation) for 24 hours. No measurable increase in luciferase activity was observed at 37°C, but luciferase activity increased 1000-fold at 31°C, confirming the presence of transcriptionally active murine wild-type p53 at the latter temperature. RNA was then prepared from REF-112 cells incubated for 14 hours either at 37°C or 31°C. Figure 8 shows that expression of WAF1 mRNA was detected at 31°C but not at 37°C, demonstrating that the WAF1 gene is conserved in rat, and that the gene is inducible by the murine p53 at the wild-type permissive temperature.

Second, the murine fibrosarcoma cell line MCO1 (Halevy et al. (1991) *Oncogene* 6, 1593-1600), which lacks p53 due to a splice site mutation and a deletion, was infected with either Ad-p53 or Ad-gal. At 22-hours following adenoviral infection, RNA was prepared and used in Northern blot analysis. Figure 8 shows that mWAF1 was highly induced in MCO1 cells infected with Ad-p53, but not in cells infected with Ad-gal. Thus, WAF1 induction by p53 was conserved in both rat and mouse cells.

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The fact that WAF1 was (i) induced in more than one human cell type following wild-type p53 expression; (ii) highly conserved among species; and (iii) induced by p53 in other species, suggests that WAF1 is important for p53 function.

Methods:

The MDM2 probe was made from a 1.6 kb cDNA fragment (Oliner, J.D. et al. (1993) *Nature* 362, 857-860), and the GADD45 probe was generously provided by A. Fornace (Kastan et al. (1992) *Cell* 71, 587-597). Probes were made by oligo-labelling DNA fragments isolated from agarose gels (Feinberg, A.P. and Vogelstein, B. (1983) *Anal. Biochem.* 132, 6-13).

A mouse WAF1 (mWAF1) genomic clone was isolated by screening 1 x 10<sup>6</sup> clones of a mouse genomic DNA library in Lambda Fix II (Stratagene), using the human WAF1 cDNA as a probe. One hybridizing clone was obtained. An 11 kb *Hind* III fragment containing the second exon of mWAF1 was subcloned into the *Hind* III site of pBS. An 0.3 kb *Pst* I fragment from this clone (containing part of mWAF1 exon 2) was used to probe the Northern blot in Figure 8.

The cDNA for p53 was obtained as a *Bam*H I fragment from the p53-wt vector (Baker et al. (1990) *Science* 249, 912-915; Kern et al. (1992) *Science* 256, 827-830) and cloned into the *Bam*H I site of pMV10 (Wilkinson, G.W.G., and Akrigg, A. (1992) *Nucl. Acids Res.* 20, 2233-2239). The *Hind* III fragment of pMV10-p53-wt was then subcloned into the *Hind* III site of the pMV60 vector (Wilkinson, G.W.G., and Akrigg, A. (1992) *Nucl. Acids Res.* 20, 2233-2239) to

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make the vector pMV60-p53-wt. The plasmids pMV60-p53-wt and pJM17 (Wilkinson, G.W.G., and Akrigg, A. (1992) *Nucl. Acids Res.* 20, 2233-2239) were co-transfected into 293 cells. Recombinants were plaque purified and tested for production of transcriptionally active p53 by infection of the SW480-IAB3 cell line. A plaque purified recombinant (Ad-p53) induced beta-galactosidase activity in infected SW480-IAB3 cells. The beta-galactosidase producing defective adenovirus (Ad-gal) was obtained from plaque purified recombinants following co-transfection of 293 cells with pMV35 and pJM17. Both Ad-p53 and Ad-gal were further purified by CsCl banding.

The SW480-IAB3 cell line was obtained following co-transfection of SW480 cells with plasmids PG13-Gal and pCMV-Neo-Bam (Baker et al. (1990) *Science* 249, 912-915), and selection with genetecin. Individual clones were isolated by limiting dilution and tested for the presence of stably integrated intact reporter by transfection with either plasmid p53-wt or p53-143 (Kern et al. (1992) *Science* 256, 827-830) followed 24 hours later by in-situ X-gal staining. The SW480-IAB3 was chosen for passaging because no beta-galactosidase activity was detectable unless wild-type p53 was present in the cells. The cells were maintained in Leibovitz L15 medium supplemented with 10% fetal bovine serum and 0.5 mg/ml genetecin. REF-112 and MCO1 cells were obtained through the generosity of Moshe Oren. For transfection experiments,  $1.5 \times 10^6$  cells were plated in 25-cm<sup>2</sup> tissue culture flasks 24 hours before transfection. A total of 5  $\mu$ g of CsCl banded DNA and 25  $\mu$ g Lipofectin (Bethesda Research Laboratories,



Gaithersburg, MD) were used for transfections. For growth inhibition experiments (Figure 9), hygromycin (0.25 mg/ml) selection began 24 hours after transfection.

#### Example 5

This example demonstrates that WAF1 suppresses tumor cell growth.

If WAF1 played a role in mediating the tumor growth inhibition of p53, one might expect it to have a growth suppressive role of its own. To address this possibility, mammalian expression vectors containing p53 cDNA or WAF1 cDNA in either the sense (pC-WAF1-S) or antisense (pC-WAF1-AS) orientation were constructed. The vectors each contained a gene conferring hygromycin resistance in addition to the cDNA. The vectors were transfected into SW480 cells, previously shown to be inhibited by wild-type p53 expression (Baker et al. (1990) *Science* 249, 912-915). Following transfection, cells were grown in the presence of hygromycin and the number of colonies was scored after 2-3 weeks.

The data in Figure 9 show that introduction of WAF1 sense cDNA expression vectors resulted in substantial growth suppression, as seen by a 10-20 fold decrease in the number of hygromycin-resistant colonies. This growth suppression was similar to, but not as complete as, that observed with p53 (Figure 9). Introduction of the WAF1 antisense cDNA expression vector, or the vector devoid of WAF1 sequences, resulted in a similar number of clones. The few small clones which did appear after transfection of the WAF1 sense cDNA expression vector grew at a slow rate and could not be passaged. Similar results were obtained in four separate experiments, each with triplicate transfections, using different preparations of plasmid DNA. We additionally used the brain

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tumor cell lines GM and DEL in similar experiments, and found that their growth was also suppressed by the introduction of wild-type WAF1 (Figure 9 and data not shown). As an additional control, we constructed a WAF1 mutant (pC-WAF1-ES), with a stop codon at nt 222. Introduction of pC-WAF1-ES into either SW480 or DEL cells did not result in significant growth suppression (Figure 9).

#### Methods

pC-WAF1-S (sense) and pC-WAF1-AS (antisense) expression plasmids were prepared by cloning the full-length WAF1 cDNA as a *Not* I fragment from cDNA library clone #33 into the *Not* I site of pCEP4 (Invitrogen). The pC-WAF1-ES mutant vector was similarly obtained from a PCR generated cDNA insert, containing a G to A transition at nt 222, resulting in a stop codon instead of Trp at amino acid 49.

#### Example 6

This example demonstrates that p53 activates the WAF1 promoter.

Having demonstrated that WAF1 expression is induced by wild-type p53, we attempted to determine whether this resulted from a direct interaction of p53 with regulatory elements in WAF1. To search for sequences transcriptionally responsive to p53, we used the 90 kb genomic clone P1-WAF1 in a yeast enhancer trap system. In this system, yeast cells auxotrophic for histidine were transformed with a plasmid library constructed by insertion of random fragments of P1-WAF1 upstream of a truncated GAL1 promoter regulating histidine reporter gene expression. Clones were selected for histidine prototrophy in the presence of human p53 expression. Three libraries were constructed, using *Alu* I, *Hae* III, or

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*Sau* 3AI fragments of P1-WAF1. Through the screening of  $1.6 \times 10^5$  transformants, 22 wild-type p53-dependent, histidine prototrophs were obtained. No histidine prototrophy was observed if yeast expressed mutant instead of wild-type p53. All but one of the 22 clones were found to contain either of two sequence elements, both matching the previously defined p53 binding site consensus. Mapping of the two elements revealed that one of them was located 2.4 kb upstream of WAF1 coding sequences (Figure 10). Thus, a p53-binding site was present upstream of WAF1, and this element, when placed in an artificial system with a standard promoter, could stimulate expression of a reporter gene in the presence of wild-type p53.

To determine whether the natural promoter elements of WAF1 could mediate p53-dependent transcriptional activation, a 2.4 kb genomic fragment, with its 3'-end at nt 11 of WAF1 cDNA, was cloned upstream of a promoterless luciferase reporter gene. A partial sequence of the WAF1 promoter, and a map of this clone, is shown in Figure 10. This promoter was G:C rich and contained a TATA-element 43 nt upstream of the putative transcription start site. Two Sp1 binding sites were located at nt -50 and -104, and there was a sequence weakly matching the p53 binding site consensus at nt-75.

Figure 11 shows that the WAF1 promoter construct WWP-Luc activated expression of luciferase only in the presence of wild-type p53. In the absence of wild-type p53 (GM cells without dexamethasone or DEL with or without dexamethasone), expression of this reporter was less than 2% of levels observed in the presence of wild-type p53. When the 2 kb upstream p53 binding site was

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deleted (DM-Luc), the majority of the luciferase activity was abolished, though the residual activity was still wild-type p53-dependent. This observation suggests the presence of a second (weaker) p53 response element within the WAF1 promoter, perhaps at nt -75 (Figure 10). The same pattern of reporter activation was observed following co-transfection of WWP-Luc or DM-Luc with the wild-type p53 expression plasmid in SW480 cells. There was a 200-fold increase in luciferase activity with wild-type p53 compared to mutant p53 (273<sup>His</sup>) transfection (data not shown). Similar to the GM cell results, luciferase activity decreased by approximately 80% when the upstream p53 response element was absent (DM-Luc construct, Figure 11).

#### Methods

The P1-WAF1 clone was digested to completion with *Hae* III, *Alu* I, or *Sau* 3AI, subcloned into the plasmid pBM947 and used to identify p53 binding sites by genetic selection in yeast (Wilson et al. (1991) *Science* 252, 1296-1300; T. Tokino et al., unpublished data). A total of 530,000 clones were obtained in *E. coli*, and the DNA from these clones was used to transfect *S. cerevisiae* cells containing a p53 expression vector and a HIS3 gene under the control of p53 binding sequences (Nigro et al. (1992) *Mol. Cell. Biol.* 12, 1357-1365; Kern et al. (1992) *Science* 256, 827-830; T. Tokino and S. Thiagalingam, unpublished data). A total of 160,000 yeast clones were assayed for histidine prototrophy. Selection in the absence of histidine allowed the isolation of clones containing a p53 binding sequence; transcriptional activation by p53 resulted in HIS3 production and

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subsequent survival of the yeast transformants. DNA was isolated from such clones and tested for induction of histidine prototrophy in yeast strains with or without human p53 expression vectors.

WWP-Luc and DM-Luc plasmids were cloned by inserting the 2.6 kb *Bam*H I luciferase cassette (from PG13-Luc) into the *Xho* I sites of pWWP and pDM. The 2.4 kb WAF1 promoter region was obtained as a *Hind* III cassette by PCR amplifications using a P1-WAF1 subclone as template and the primers 5' - C C A C A A G C T T C T G A C T T C G G C A G - 3' and 5'-CCCAGGAACAAGCTTGGGCAGCAG-3'. This cassette was cloned into the *Hind* III site of pBC KS+ (Stratagene) to yield plasmid pWWP containing the endogenous WAF1 promoter including the upstream p53 binding element near one end and WAF1 nt 11 at the other end (Figure 10). The plasmid pDM, which lacks the p53 binding element 2.4 kb upstream of WAF1, was obtained by digesting pWWP with *Sac* I, and recloning the deleted fragment after circularization.

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## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

- (i) APPLICANT: The Johns Hopkins University
- (ii) TITLE OF INVENTION: Tumor Suppressor WAF1
- (iii) NUMBER OF SEQUENCES: 6
- (iv) CORRESPONDENCE ADDRESS:
  - (A) ADDRESSEE: Banner, Birch, McKie & Beckett
  - (B) STREET: 1001 G Street, NW suite 1100
  - (C) CITY: Washington
  - (D) STATE: DC
  - (E) COUNTRY: USA
  - (F) ZIP: 20001
- (v) COMPUTER READABLE FORM:
  - (A) MEDIUM TYPE: Floppy disk
  - (B) COMPUTER: IBM PC compatible
  - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
  - (D) SOFTWARE: PatentIn Release #1.0, Version #1.25
- (vi) CURRENT APPLICATION DATA:
  - (A) APPLICATION NUMBER: PCT/US94/12936
  - (B) FILING DATE: 10 NOVEMBER 1994
  - (C) CLASSIFICATION:
- (viii) ATTORNEY/AGENT INFORMATION:
  - (A) NAME: Kagan, Sarah A.
  - (B) REFERENCE/DOCKET NUMBER: 01107.47878
- (ix) TELECOMMUNICATION INFORMATION:
  - (A) TELEPHONE: 202.508.9100
  - (B) TELEFAX: 202.508.9299
  - (C) TELEX: 197430 BBMB UT

## (2) INFORMATION FOR SEQ ID NO:1:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 2121 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: double
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: cDNA
- (iii) HYPOTHETICAL: NO
- (iv) ANTI-SENSE: NO
- (vi) ORIGINAL SOURCE:
  - (A) ORGANISM: Homo sapiens
  - (H) CELL LINE: GM
- (viii) POSITION IN GENOME:
  - (A) CHROMOSOME/SEGMENT: 6p21.2
- (ix) FEATURE:

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(A) NAME/KEY: CDS  
 (B) LOCATION: 76..568  
 (D) OTHER INFORMATION: /gene= "WAF1"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

```

GCCGAAGTCA GTTCCTTGTG GAGCCGGAGC TGGGCGCGGA TTCGCCGAGG CACCGAGGCA      60
CTCAGAGGAG GCGCC ATG TCA GAA CCG GCT GGG GAT GTC CGT CAG AAC CCA      111
      Met Ser Glu Pro Ala Gly Asp Val Arg Gln Asn Pro
      1              5              10

TGC GGC AGC AAG GCC TGC CGC CGC CTC TTC GGC CCA GTG GAC AGC GAG      159
Cys Gly Ser Lys Ala Cys Arg Arg Leu Phe Gly Pro Val Asp Ser Glu
      15              20              25

CAG CTG AGC CGC GAC TGT GAT GCG CTA ATG GCG GGC TGC ATC CAG GAG      207
Gln Leu Ser Arg Asp Cys Asp Ala Leu Met Ala Gly Cys Ile Gln Glu
      30              35              40

GCC CGT GAG CGA TGG AAC TTC GAC TTT GTC ACC GAG ACA CCA CTG GAG      255
Ala Arg Glu Arg Trp Asn Phe Asp Phe Val Thr Glu Thr Pro Leu Glu
      45              50              55              60

GGT GAC TTC GCC TGG GAG CGT GTG CGG GGC CTT GGC CTG CCC AAG CTC      303
Gly Asp Phe Ala Trp Glu Arg Val Arg Gly Leu Gly Leu Pro Lys Leu
      65              70              75

TAC CTT CCC ACG GGG CCC CGG CGA GGC CGG GAT GAG TTG GGA GGA GGC      351
Tyr Leu Pro Thr Gly Pro Arg Arg Gly Arg Asp Glu Leu Gly Gly Gly
      80              85              90

AGG CGG CCT GGC ACC TCA CCT GCT CTG CTG CAG GGG ACA GCA GAG GAA      399
Arg Arg Pro Gly Thr Ser Pro Ala Leu Leu Gln Gly Thr Ala Glu Glu
      95              100              105

GAC CAT GTG GAC CTG TCA CTG TCT TGT ACC CTT GTG CCT CGC TCA GGG      447
Asp His Val Asp Leu Ser Leu Ser Cys Thr Leu Val Pro Arg Ser Gly
      110              115              120

GAG CAG GCT GAA GGG TCC CCA GGT GGA CCT GGA GAC TCT CAG GGT CGA      495
Glu Gln Ala Glu Gly Ser Pro Gly Gly Pro Gly Asp Ser Gln Gly Arg
      125              130              135              140

AAA CGG CGG CAG ACC AGC ATG ACA GAT TTC TAC CAC TCC AAA CGC CGG      543
Lys Arg Arg Gln Thr Ser Met Thr Asp Phe Tyr His Ser Lys Arg Arg
      145              150              155

CTG ATC TTC TCC AAG AGG AAG CCC T AATCCGCCCA CAGGAAGCCT      588
Leu Ile Phe Ser Lys Arg Lys Pro
      160

GCAGTCCTGG AAGCGCGAGG GCCTCAAAGG CCCGCTCTAC ATCTTCTGCC TTAGTCTCAG      648
TTTGTGTGTC TTAATTATTA TTTGTGTTTT AATTTAAACA CCTCCTCATG TACATACCCT      708
GGCCGCCCCC TGCCCCCAG CCTCTGGCAT TAGAATTATT TAAACAAAAA CTAGGCGGTT      768
GAATGAGAGG TTCCTAAGAG TGCTGGGCAT TTTTATTTTA TGAAATACTA TTTAAAGCCT      828
CCTCATCCCG TGTTCTCCTT TTCCTCTCTC CCGGAGGTTG GGTGGGCCCG CTTCATGCCA      888

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- 38 -

GCTACTTCCT CCTCCCCACT TGTCCGCTGG GTGGTACCCT CTGGAGGGGT GTGGCTCCTT 948  
 CCCATCGCTG TCACAGGCGG TTATGAAATT CACCCCCTTT CCTGGACACT CAGACCTGAA 1008  
 TTCTTTTTCA TTTGAGAAGT AACAGATGG CACTTTGAAG GGGCCTCACC GAGTGGGGGC 1068  
 ATCATCAAAA ACTTTGGAGT CCCCTCACCT CCTCTAAGGT TGGGCAGGGT GACCCTGAAG 1128  
 TGAGCACAGC CTAGGGCTGA GCTGGGGACC TGGTACCCTC CTGGCTCTTG ATACCCCCCT 1188  
 CTGTCTTGTG AAGGCAGGGG GAAGGTGGGG TACTGGAGCA GACCACCCCG CCTGCCCTCA 1248  
 TGGCCCCCTCT GACCTGCACT GGGGAGCCCG TCTCAGTGTT GAGCCTTTTC CCTCTTTGGC 1308  
 TCCCCTGTAC CTTTGTAGGA GCCCCAGCTT ACCCTTCTTC TCCAGCTGGG CTCTGCAATT 1368  
 CCCCTCTGCT GCTGTCCCTC CCCCTTGTCT TTCCCTTCAG TACCCTCTCA TGCTCCAGGT 1428  
 GGCTCTGAGG TGCCTGTCCC ACCCCCACCC CCAGCTCAAT GGAAGTGAAG GGAAGGGAC 1488  
 ACACAAGAAG AAGGGCACCC TAGTTCTACC TCAGGCAGCT CAAGCAGCGA CCGCCCCCTC 1548  
 CTCTAGCTGT GGGGGTGAGG GTCCCATGTG GTGGCACAGG CCCCTTGAG TGGGGTTATC 1608  
 TCTGTGTTAG GGGTATATGA TGGGGGAGTA GATCTTTCTA GGAGGGAGAC ACTGGCCCCT 1668  
 CAAATCGTCC AGCGACCTTC CTCATCCACC CCATCCCTCC CCAGTTCATT GCACTTTGAT 1728  
 TAGCAGCGGA ACAAGGAGTC AGACATTTTA AGATGGTGGC AGTAGAGGCT ATGGACAGGG 1788  
 CATGCCACGT GGGCTCATAT GGGGCTGGGA GTAGTTGTCT TTCCTGGCAC TAACGTTGAG 1848  
 CCCCTGGAGG CACTGAAGTG CTTAGTGTA TGGAGTATT GGGGTCTGAC CCCAAACACC 1908  
 TTCCAGCTCC TGTAAACATAC TGGCCTGGAC TGTTTTCTCT CGGCTCCCCA TGTGTCCTGG 1968  
 TTCCCGTTTC TCCACCTAGA CTGTAAACCT CTCGAGGGCA GGGACCACAC CCTGTACTGT 2028  
 TCTGTGTCTT TCACAGCTCC TCCACAATG CTGAATATAC AGCAGGTGCT CAATAAATGA 2088  
 TTCTTAGTGA CTTTAAAAA AAAAAAAAAA AAA 2121

## (2) INFORMATION FOR SEQ ID NO:2:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 164 amino acids  
 (B) TYPE: amino acid  
 (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: protein

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

Met Ser Glu Pro Ala Gly Asp Val Arg Gln Asn Pro Cys Gly Ser Lys  
 1 5 10 15  
 Ala Cys Arg Arg Leu Phe Gly Pro Val Asp Ser Glu Gln Leu Ser Arg  
 20 25 30  
 Asp Cys Asp Ala Leu Met Ala Gly Cys Ile Gln Glu Ala Arg Glu Arg  
 35 40 45

**SUBSTITUTE SHEET (RULE 26)**



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Trp Asn Phe Asp Phe Val Thr Glu Thr Pro Leu Glu Gly Asp Phe Ala  
     50                                    55                                    60  
 Trp Glu Arg Val Arg Gly Leu Gly Leu Pro Lys Leu Tyr Leu Pro Thr  
     65                                    70                                    75                                    80  
 Gly Pro Arg Arg Gly Arg Asp Glu Leu Gly Gly Gly Arg Arg Pro Gly  
                                     85                                    90                                    95  
 Thr Ser Pro Ala Leu Leu Gln Gly Thr Ala Glu Glu Asp His Val Asp  
                     100                                    105                                    110  
 Leu Ser Leu Ser Cys Thr Leu Val Pro Arg Ser Gly Glu Gln Ala Glu  
                     115                                    120                                    125  
 Gly Ser Pro Gly Gly Pro Gly Asp Ser Gln Gly Arg Lys Arg Arg Gln  
     130                                    135                                    140  
 Thr Ser Met Thr Asp Phe Tyr His Ser Lys Arg Arg Leu Ile Phe Ser  
     145                                    150                                    155                                    160  
 Lys Arg Lys Pro

## (2) INFORMATION FOR SEQ ID NO:3:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 20 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: double
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)
- (iii) HYPOTHETICAL: NO
- (iv) ANTI-SENSE: NO
- (v) ORIGINAL SOURCE:
  - (A) ORGANISM: Homo sapiens
- (viii) POSITION IN GENOME:
  - (A) CHROMOSOME/SEGMENT: 6p21.2
- (ix) FEATURE:
  - (A) NAME/KEY: protein\_bind
  - (B) LOCATION: 1..20
  - (D) OTHER INFORMATION: /bound\_moiety= "p53"
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:

GAACATGTCC CAACATGTTG

20

## (2) INFORMATION FOR SEQ ID NO:4:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 136 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: double
  - (D) TOPOLOGY: linear

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- (ii) MOLECULE TYPE: DNA (genomic)
- (iii) HYPOTHETICAL: NO
- (iv) ANTI-SENSE: NO
- (v) ORIGINAL SOURCE:
  - (A) ORGANISM: Homo sapiens
- (viii) POSITION IN GENOME:
  - (A) CHROMOSOME/SEGMENT: 6p21.2

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

GGGGAGGGAG GTCCCGGGCG GCGTCGGTGG GCCGAGCGCG GGTCCCGCCT CCTTGAGGCG	60
GGCCCGGGCG GGGCGGTTGT ATATCAGGGC CGCGCTGAGC TGC GCCAGCT GAGGTGTGAG	120
CAGCTGCCGA AGTCAG	136

(2) INFORMATION FOR SEQ ID NO:5:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 23 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)
- (iii) HYPOTHETICAL: NO
- (iv) ANTI-SENSE: NO
- (v) ORIGINAL SOURCE:
  - (A) ORGANISM: Homo sapiens
- (viii) POSITION IN GENOME:
  - (B) MAP POSITION: 6.21.2

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:

CCACAAGCTT CTGACTTCGG CAG	23
---------------------------	----

(2) INFORMATION FOR SEQ ID NO:6:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 24 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)
- (iii) HYPOTHETICAL: NO
- (iv) ANTI-SENSE: NO
- (v) ORIGINAL SOURCE:
  - (A) ORGANISM: Homo sapiens

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(viii) POSITION IN GENOME:

(A) CHROMOSOME/SEGMENT: 6.21.2

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:6:

CCCAGGAACA AGCTTGGGCA GCAG

24

**CLAIMS**

1. An isolated and purified subchromosomal DNA molecule which encodes WAF1 protein as shown in SEQ ID NO: 2, said DNA molecule containing three exons of 168 bp, 450 bp and 1600 bp, wherein the sequence of said exons is shown in SEQ ID NO: 1.

2. The DNA molecule of claim 1 which is not more than 90 kb.

3. The DNA molecule of claim 1 which is a P1 clone.

4. The DNA molecule of claim 1 which contains at least two intervening sequences.

5. The DNA molecule of claim 1 which contains no intervening sequences.

6. An isolated and purified WAF1 protein having a sequence as shown in SEQ ID NO: 2.

7. An antibody which is specifically reactive with human WAF1 protein.

8. A method of suppressing growth of tumor cells, comprising the step of:

administering to said cells a WAF1 protein having a sequence as shown in SEQ ID NO: 2.

9. A method of suppressing growth of tumor cells, comprising the step of:

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administering to said cells a DNA molecule which causes said cells to express WAF1, said DNA molecule having a sequence as shown in SEQ ID NO: 1.

10. A method for screening potential therapeutic agents for the ability to suppress the growth of tumor cells by activating the expression of WAF1, comprising the steps of:

incubating a potential therapeutic agent with a cell which contains a WAF1 reporter construct, said reporter construct comprising a WAF1 transcription regulatory region covalently linked in a *cis* configuration to a gene encoding an assayable product;

measuring the production of the assayable product, a potential therapeutic agent which increases the production by the cell of the assayable product being an agent which will suppress the growth of tumor cells by activating the expression of WAF1.

11. The method of claim 10 wherein the WAF1 transcription regulatory region comprises about 2.4 kb upstream from WAF1 transcriptional start site.

12. The method of claim 10 wherein the WAF1 transcription regulatory region comprises the sequence of SEQ ID NO: 3.

13. A method for diagnosing cancer, comprising the steps of:  
testing a tissue to determine if the tissue expresses less WAF1 than normal tissue.

14. The method of claim 13 wherein the step of testing utilizes an antibody which is specifically reactive with WAF1 protein.

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15. The method of claim 13 wherein the step of testing utilizes a nucleic acid probe which specifically hybridizes to a WAF1 mRNA, said probe having a sequence selected from SEQ ID NO:1.

16. A method for diagnosing cancer, comprising the steps of:  
testing a tissue to determine if DNA in said tissue contains a mutant WAF1 gene.

17. The method of claim 16 wherein DNA of the tissue is compared to DNA of a normal tissue to determine whether the WAF1 gene is mutant.

18. A WAF1 reporter construct, said reporter construct comprising a WAF1 transcription regulatory region covalently linked in a *cis* configuration to a gene encoding an assayable product.

19. The reporter construct of claim 18 wherein the WAF1 transcription regulatory region comprises about 2.4 kb upstream from WAF1 transcriptional start site.

20. The reporter construct of claim 18 wherein the WAF1 transcription regulatory region comprises the sequence of SEQ ID NO: 3.

21. An antisense WAF1 construct comprising:  
a. a transcriptional promoter;  
b. a transcriptional terminator;  
c. a DNA segment comprising one or more segments of the WAF1 gene, said gene segment located between said promoter and said terminator, said DNA segment being inverted with respect to said promoter and said terminator, whereby RNA produced by transcription of the DNA segment is

- 45 -

complementary to a corresponding segment of WAF1 RNA produced by human cells.

22. The antisense WAF1 construct of claim 21 wherein said transcriptional promoter is inducible.

23. A WAF1 antisense oligonucleotide comprising: at least ten nucleotides complementary to WAF1 mRNA.

24. The WAF1 antisense oligonucleotide of claim 23 which comprises at least about twenty nucleotides complementary to WAF1 mRNA.

25. The WAF1 antisense oligonucleotide of claim 23 which contains one or more modified nucleotide analogs.

26. The WAF1 antisense oligonucleotide of claim 23 which is a circular molecule.

27. A method for promoting the proliferation of cells, comprising the step of:

administering a WAF1 antisense oligonucleotide comprising at least ten nucleotides complementary to WAF1 mRNA to said cells to inhibit the expression of WAF1.

28. A method for promoting the proliferation of cells, comprising the step of:

administering a WAF1 triplex-forming oligonucleotide comprising at least ten nucleotides complementary to WAF1 gene to said cells to inhibit the expression of a WAF1 gene.

29. A method for promoting growth of cells, comprising the step of:

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administering to said cells to inhibit the expression of WAF1,  
an antisense WAF1 construct comprising:

- a. a transcriptional promoter;
- b. a transcriptional terminator;
- c. a DNA segment comprising one or more segments of the WAF1 gene, said gene segment located between said promoter and said terminator, said DNA segment being inverted with respect to said promoter and said terminator, whereby RNA produced by transcription of the DNA segment is complementary to a corresponding segment of WAF1 RNA produced by human cells.

30. The method of claim 29 wherein said transcriptional promoter is inducible.

31. A method for assessing susceptibility to cancers, comprising the step of:

testing a tissue selected from the group consisting of blood, chorionic villi, amniotic fluid, and a blastomere of a preimplantation embryo, to determine if DNA in said tissue contains a mutant WAF1 gene.



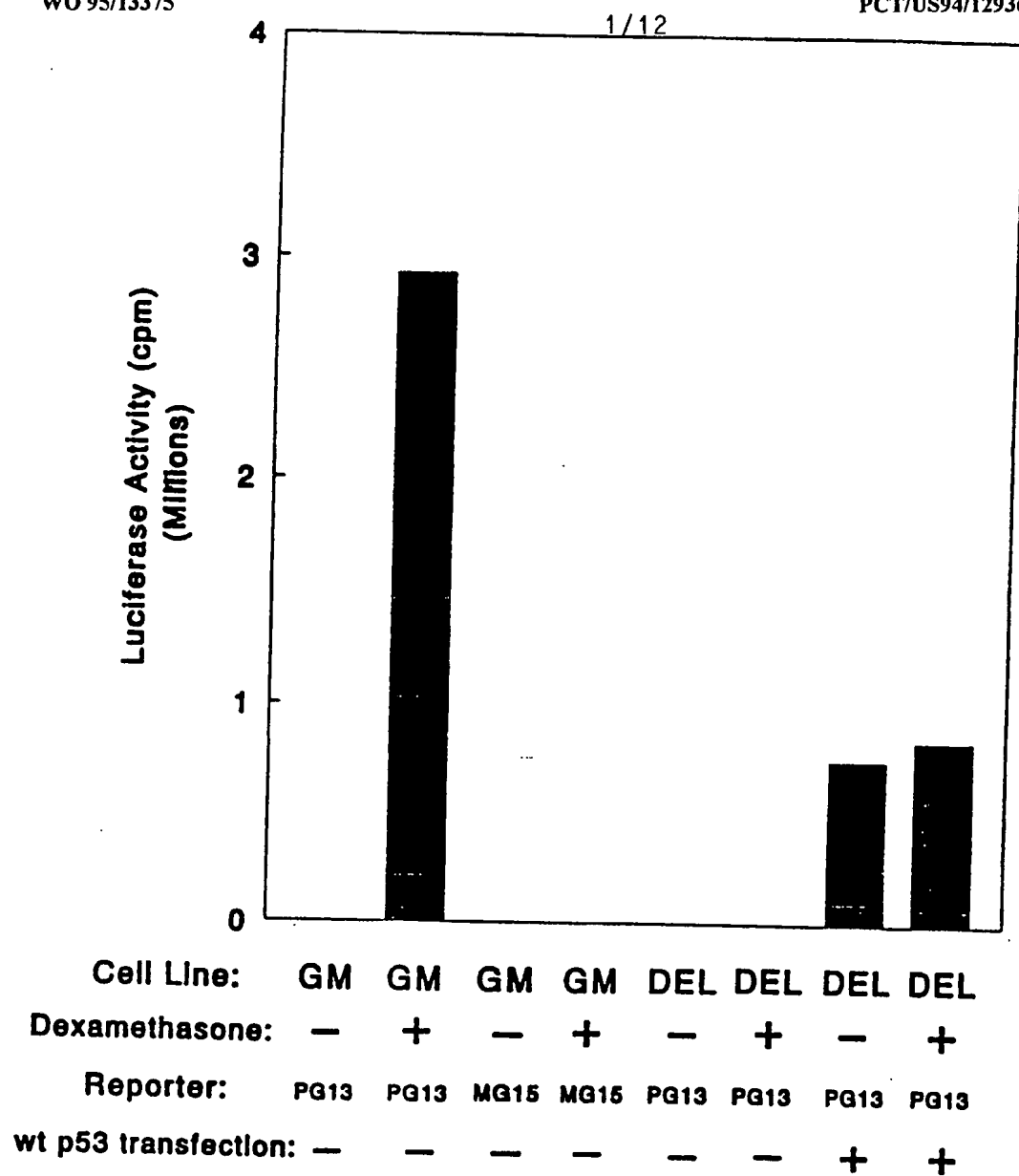


FIGURE 1

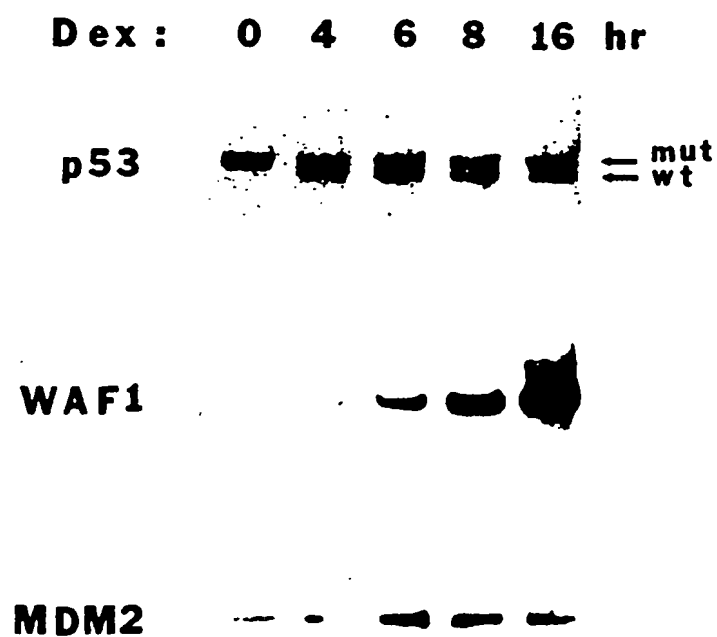


FIGURE 2

1	GCC	GAA	GTG	AGT	TCC	TTG	TGG	AGC	CGG	CGG	ATT	CGC	CGA	GGC	ACC	GAG	GCA	CTC	AGA	GGA	69	
70	GGC	GCC	ATG	TCA	GAA	CCG	GCT	GGG	GAT	GTC	CGT	CAG	AAC	CCA	TGC	GGC	AGC	AAG	GCC	TGC	CGC	138
1			Met	Ser	Glu	Pro	Ala	Gly	Asp	Val	Arg	Gln	Asn	Pro	Cys	Gly	Ser	Lys	Ala	Cys	Arg	21
139	TTG	GGC	CCA	GTG	GAC	AGC	GAG	CAG	CTG	AGC	CGC	GAC	TGT	GAT	GGC	CTA	ATG	GGC	TGC	ATC	CAG	207
22	Phe	Gly	Pro	Val	Asp	Ser	Glu	Gln	Leu	Ser	Arg	Asp	Cys	Asp	Ala	Leu	Met	Ala	Gly	Cys	Ile	44
208	GCC	CGT	GAG	CGA	TGG	AAC	TTT	GTC	ACC	GAG	ACA	CCA	CTG	GAG	GGT	GAC	TTC	GCC	TGG	GAG	CGT	276
45	Ala	Arg	Glu	Arg	Trp	Asn	Phe	Asp	Phe	Val	Thr	Glu	Thr	Pro	Leu	Glu	Gly	Asp	Phe	Ala	Trp	67
277	GTG	CGG	GGC	CTT	GGC	CTG	CCC	AAG	CTC	TAC	CTT	CCC	ACG	GGG	CCC	CGG	CGA	GGC	GAT	GAG	TTG	345
68	Val	Arg	Gly	Leu	Gly	Leu	Pro	Lys	Leu	Tyr	Lys	Leu	Tyr	Pro	Thr	Arg	Arg	Gly	Arg	Asp	Glu	90
346	GGA	GGC	AGG	CGG	CCT	GGC	ACC	TCA	CCT	GCT	CTG	CTG	CAG	GGG	ACA	GCA	GAG	GAA	GAC	CAT	GTG	414
91	Gly	Gly	Arg	Arg	Pro	Gly	Thr	Ser	Pro	Ala	Leu	Leu	Gln	Gly	Thr	Ala	Glu	Glu	Asp	His	Val	113
415	TCA	CTG	TCT	TGT	ACC	CTT	GTG	CCT	CGC	TCA	GGG	GAG	CAG	GCT	GAA	GGG	TCC	CCA	GGT	GGA	CCT	483
114	Ser	Leu	Ser	Cys	Thr	Leu	Val	Pro	Arg	Ser	Gly	Glu	Gln	Ala	Glu	Gly	Ser	Pro	Gly	Gly	Pro	136
484	TCT	CAG	GGT	CGA	AAA	CGG	CGG	CAG	ACC	AGC	ATG	ACA	GAT	TTC	TAC	CAC	TCC	AAA	CGC	CGG	CTG	552
137	Ser	Gln	Gly	Arg	Lys	Arg	Arg	Arg	Gln	Thr	Ser	Met	Thr	Asp	Phe	Tyr	His	Ser	Lys	Arg	Leu	159
553	TCC	AAG	AGG	AAG	CCC	TAA	TCC	GCC	CAC	AGG	AAG	CCT	GCA	GTG	CTG	GAA	GGC	CGA	GGG	CCT	CMA	621
160	Ser	Lys	Arg	Lys	Pro	***																165
622	GCT	CTA	CAT	CTT	CTG	CCT	TAG	TCT	CAG	TTT	GTG	TGT	CTT	AAT	TAT	TAT	TAT	TGT	TTT	AAT	TTA	690
691	TCC	TCA	TGT	ACA	TAC	CCT	GGC	CGC	CCC	CTG	CCC	CTG	CTT	AGC	CTC	TGG	CAT	TAT	TAT	TTA	AAC	759
760	TAG	GCG	GTT	GAA	TGA	GAG	GTT	CTT	AGT	GCT	GGG	CAT	TTT	TAT	TTT	ATG	AAA	TAC	TAT	TTA	AAG	828
829	CCT	CAT	CCC	GTG	TTG	TCC	TCT	TCC	CTC	CGG	GAT	GGG	TGG	GGC	CTC	ATG	CCA	GCT	ACT	TCC	TCC	897
967	GCT	TAT	GAA	ATT	CAC	CCC	CTT	TCC	TGG	ACA	CTC	AGA	CCT	GAA	TTT	CTT	ATT	TGA	GAA	GTA	AAC	966
1036	TGG	CAC	TTT	GAA	GGG	CCC	TCA	CCG	AGT	GGG	GGC	ATC	ATC	AAA	AAC	TTT	GGA	GTG	CCC	TCA	CCT	1035
1105	AGG	TTG	GGC	AGG	GTG	ACC	CTG	TAC	CCC	CCT	CTG	TCT	TGT	GAA	GGC	AGG	AGG	TAC	TGG	AGC	CCA	1104
1174	TCT	TGA	TAC	CCC	CCT	CTG	TCT	TGT	GAA	GGC	AGG	AGG	AGG	AGG	AGG	TAC	TGG	AGC	AGA	CCA	CCC	1173
1243	CCC	TCA	TGG	CCC	CTG	TGA	CCT	GCA	CTG	GGG	AGC	CGG	TCT	CAG	TGT	TGA	GCC	TTT	TCC	CTT	TTC	1242
1312	CCT	GTA	CCC	TTT	GAG	GAG	CCC	CAG	CTT	ACC	CTT	CTT	CTC	CAG	CTG	GGC	TCT	GCA	ATT	CCC	CTG	1311
1381	TGT	CCC	TCC	CTG	TTG	TCT	TTG	CCT	TCA	GGG	GTA	CCC	TCT	CAT	CCA	GGT	GGC	TCT	GAG	GTG	CCT	1380
1450	CCC	CCA	CCC	CCA	GCT	CAA	TGG	ACT	GGA	AGG	GGA	AGG	GGA	AGG	AGG	GGA	GAA	GGG	CAC	CCT	AGT	1449
1519	TCA	GGC	AGC	TCA	AGC	AGC	GAC	CGC	CCC	CTC	CTC	TAG	CTG	TGG	GGG	TGA	GGG	TCC	CAT	GTG	GCA	1518
1588	GCC	CCC	TTG	AGT	GGG	GTT	ATC	TCT	TGT	TTA	GGG	GTA	TAT	GAT	GGG	GGA	GTA	GAT	CTT	TCT	AGG	1587
1657	ACA	CTG	GCC	CCT	CAA	ATC	GTG	CTG	CTG	CTG	CTG	CTG	CTG	CTG	CTG	CTG	CTG	CTG	CTG	CTG	CTG	1656
1726	GAT	TAG	CAG	CGG	AAC	AAG	GAG	TCA	GAC	ATT	TTA	AGA	TGG	TGG	CAG	TAG	AGG	CTA	TGG	ACA	GGG	1725
1795	ACG	TGG	GCT	CAT	ATG	GGG	CTG	GGA	GTA	GTT	CTC	TGG	TGG	GGC	CAG	TAC	AGG	CTA	TGG	ACA	GGG	1794
1864	AAG	TGC	TTA	GTG	TAC	TTG	GAG	TAT	TGG	GGT	GTG	ACC	CCA	AAC	ACC	TTT	CAG	CTC	CTG	TAA	GAT	1863
1933	CTG	GAC	TGT	TTT	CTC	TGG	GCT	CCC	CAT	GTG	TCC	TGG	TTT	CTG	CTC	TTT	CTC	CAC	CTA	GAT	ACT	1932
2002	GAG	GGC	AGG	GAC	CAC	ACC	CTG	TAC	TGT	TCT	GTG	TCT	TTT	CTC	ACA	GCT	CCC	ACA	ATG	CTG	AAT	2001
22071	CAG	GTG	CTC	AAT	AAA	TGA	TTT	CTG	ACT	TTA	AAA	AAA	AAA	AAA	AAA	AAA	AAA	AAA	AAA	AAA	AAA	2121

FIGURE 3

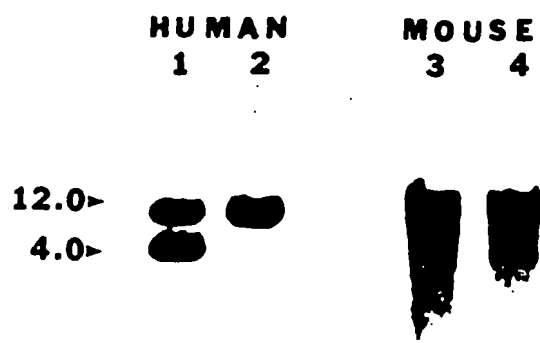


FIGURE 4

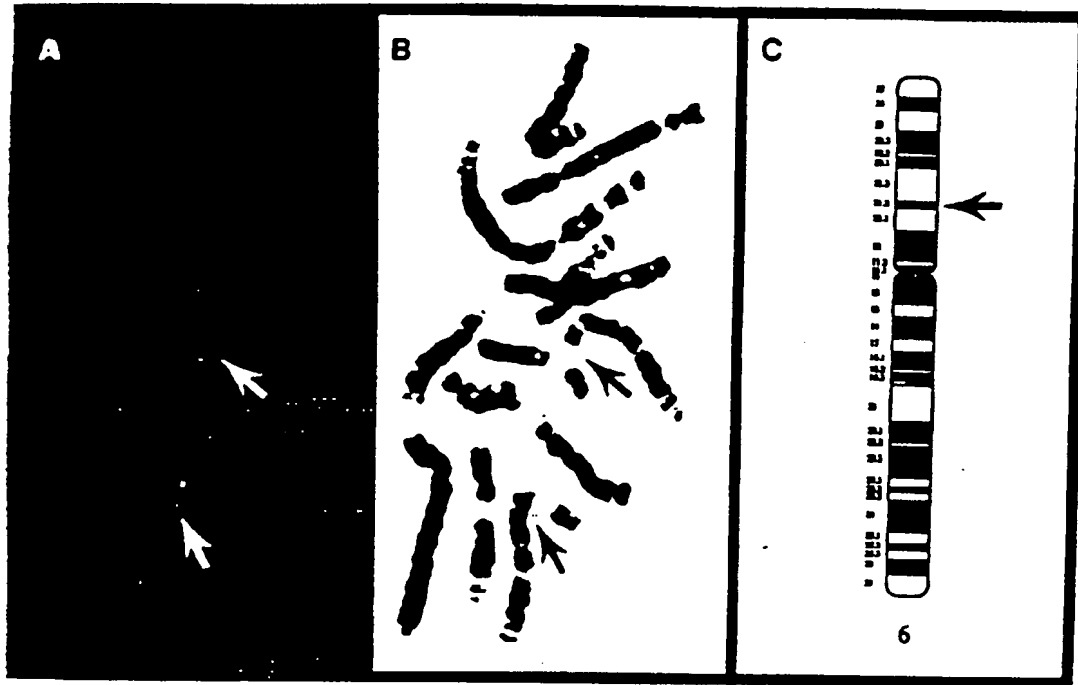


FIGURE 5

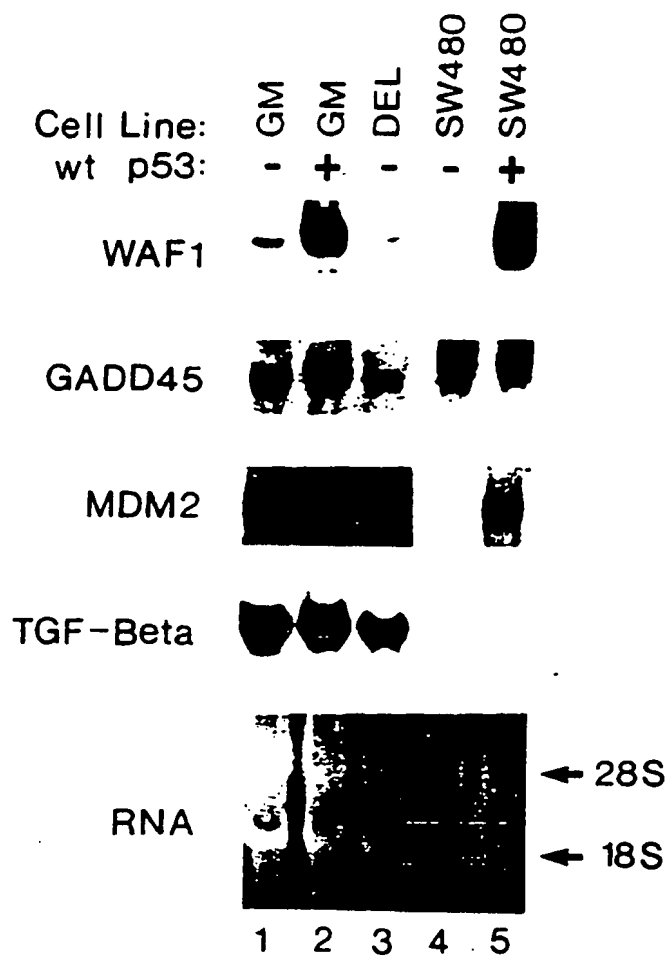


FIGURE 6

1	CT	TCT	TGT	GTT	TCA	GCC	ACA	GGC	ACC	ATG	Met	Ser	Asn	Pro	Gly	Asp	Val	7
8	Arg	Pro	Val	Pro	His	Arg	Ser	Lys	Val	Cys	Arg	Cys	Leu	Phe	Phe	Gly	Pro	23
	CGA	CCT	GTT	CCG	CAC	AGG	AGC	AAA	GTG	TGC	CGT	TGT	CTC	TTC	TTC	GGT	CCC	
24	Val	Asp	Ser	Glu	Gln	Leu	Ser	Arg	Asp	Cys	Asp	Ala	Leu	Met	Ala	Gly		30
	GTG	GAC	AGT	GAG	CAG	TTG	AGC	CGT	GAT	TGC	GAT	GCG	CTC	ATG	GCG	GGC		
40	Cys	Leu	Gln	Glu	Ala	Arg	Glu	Arg	Trp	Asn	Phe	Asp	Phe	Val	Thr	Glu		55
	TGT	CTC	CAG	GAG	GCC	CGA	GAA	CGG	TGG	AAC	TTT	GAC	TTC	GTC	ACG	GAG		
56	Arg	Gln	Leu	Glu	Gly	Asn	Phe	Val	Trp	Glu	Arg	Val	Arg	Ser	Leu	Gly		71
	AGG	CAG	CTG	GAG	GGC	AAC	TTC	GTC	TGG	GAG	GCG	GTT	CGG	AGC	CTA	GGG		
72	Leu	Pro	Lys	Val	Tyr	Leu	Ser	Pro	Gly	Ser	Arg	Ser	Arg	Asp	Asp	Leu		87
	CTG	CCC	AAG	GTC	TAC	CTG	AGC	CCT	GGG	TCC	CGC	AGC	CGT	GAC	GAC	CTG		
88	Gly	Gly	Asp	Lys	Arg	Pro	Ser	Thr	Ser	Ser	Ala	Leu	Leu	Gln	Gly	Pro		103
	GGA	GGG	GAC	AAG	AGG	AGG	CCC	AGT	ACT	TCC	TCT	GCC	CTG	CAG	GGG	CCA		
104	Ala	Pro	Glu	Asp	His	Val	Ala	Leu	Ser	Leu	Ser	Cys	Thr	Leu	Val	Ser		119
	GCT	CCG	GAG	GAC	CAC	CAC	GTG	GCC	TTG	TCG	CTG	TCT	TGC	ACT	CTG	GTG	TCT	
120	Glu	Arg	Pro	Glu	Asp	Ser	Pro	Gly	Gly	Pro	Gly	Thr	Ser	Gln	Gly	Arg		135
	GAG	CGG	CCT	GAA	GAT	TCC	CCG	GGT	GGG	CCC	GGA	ACA	TCT	CAG	GGC	CGA		
136	Lys	Arg	Arg	Gln	Thr	Ser	Leu	Thr										143
	AAA	CGG	AGG	CAG	ACC	AGC	CTG	ACA	GGT	AAG	GAC	AGG	AGC	AGA	GAA	GGA		

FIGURE 7A

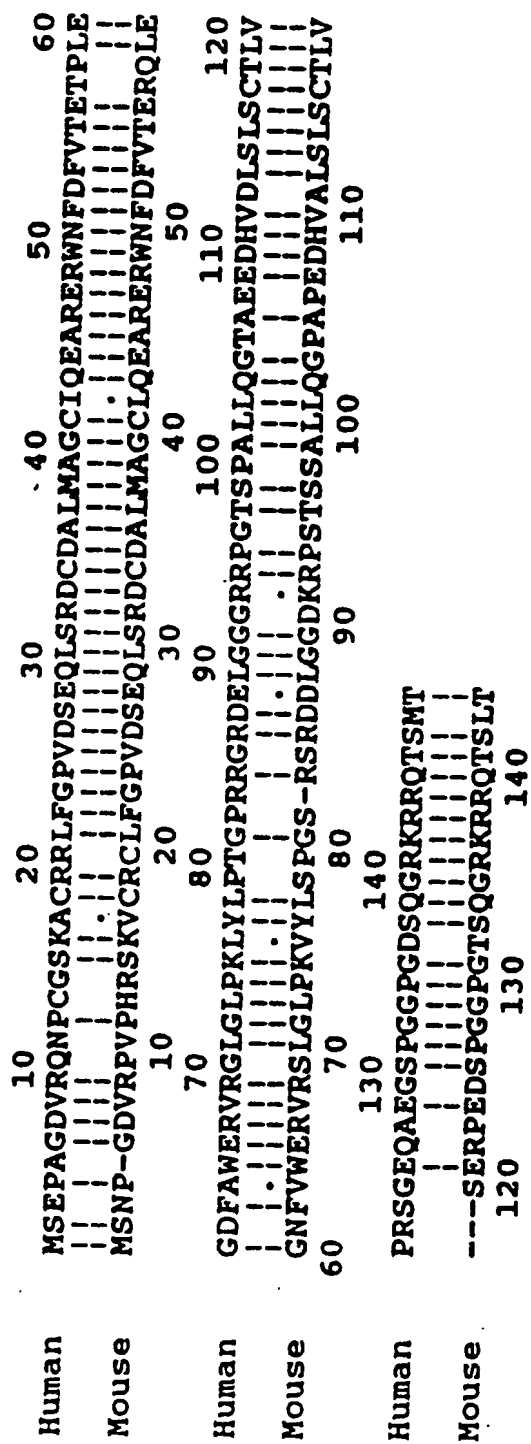


FIGURE 78



Cell Line :    G M   R E F   M C O  
wt p53 :    -   +   -   +   -   +

A



B



1 2 3 4 5 6

RNA



28S

18S

FIGURE 8

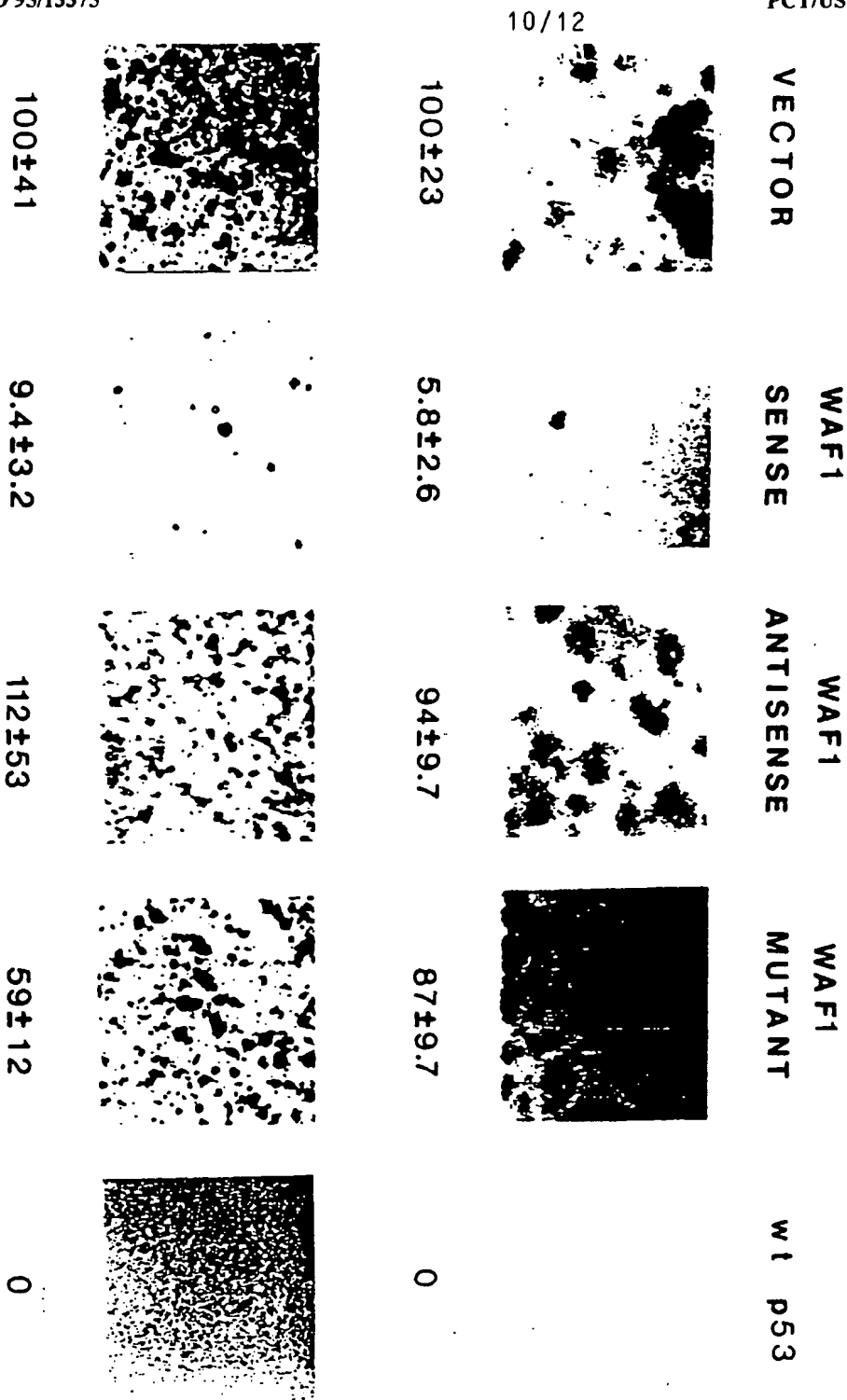


FIGURE 9



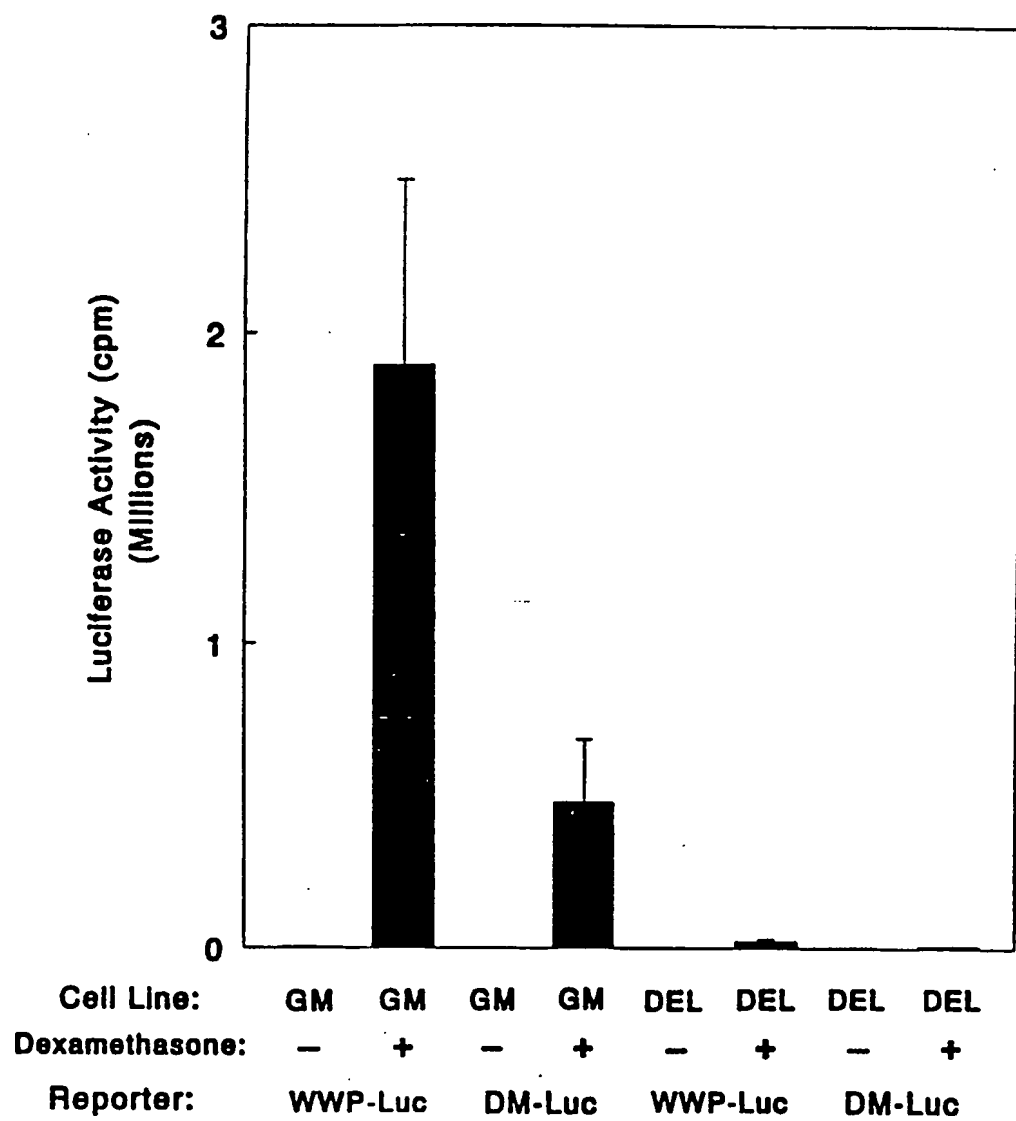


FIGURE 11

## INTERNATIONAL SEARCH REPORT

Internal Application No

PCT/US 94/12936

A. CLASSIFICATION OF SUBJECT MATTER					
IPC 6	C12N15/12	C12N15/11	C12N15/62	C07K14/47	C07K16/18
	A61K38/18	A61K48/00	C12Q1/68	G01N33/574	
According to International Patent Classification (IPC) or to both national classification and IPC					
B. FIELDS SEARCHED					
Minimum documentation searched (classification system followed by classification symbols)					
IPC 6	C07K	C12N	A61K	C12Q	G01N
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched					
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)					
C. DOCUMENTS CONSIDERED TO BE RELEVANT					
Category *	Citation of document, with indication, where appropriate, of the relevant passages				Relevant to claim No.
X	WO,A,93 12251 (BAYLOR COLLEGE OF MEDICINE) 24 June 1993  see page 6, line 31 - page 8, line 2 see page 13, line 4 - page 16, line 21 see page 13, line 33 - page 17, line 6 see page 17, line 33 - page 19, line 27 --- -/--				1,6,9, 10,12, 23-27
<input checked="" type="checkbox"/> Further documents are listed in the continuation of box C. <input checked="" type="checkbox"/> Patent family members are listed in annex.					
* Special categories of cited documents : "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family					
Date of the actual completion of the international search			Date of mailing of the international search report		
3 March 1995			08 -03- 1995		
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+ 31-70) 340-3016			Authorized officer  Montero Lopez, B		

## INTERNATIONAL SEARCH REPORT

Internat : Application No

PCT/US 94/12936

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	<p>CELL, vol.75, no.4, 19 November 1993, CAMBRIDGE, NA US pages 817 - 825 WAFIK S. EL-DEIRY ET AL. 'WAF1, a potential mediator of p53 tumor suppression' see summary see page 818, left column, paragraph 1 - page 819, right column, paragraph 2; figure 3 see page 820, right column, last paragraph - page 823, left column, paragraph 1 ---</p>	<p>1-3,9, 18-21, 23,24,26</p>
P,X	<p>NATURE, vol.366, no.6456, 16 December 1993, LONDON GB pages 701 - 704 YUE XIONG ET AL. 'P21 is a universal inhibitor of cyclin kinases' see abstract see page 701, right column, paragraph 2; figure 1 see page 704, left column, paragraph 3 ---</p>	<p>1,9</p>
P,X	<p>CELL, vol.75, no.4, 19 November 1993, CAMBRIDGE, NA US pages 805 - 816 J. WADE HARPER ET AL. 'The p21 Cdk-interacting protein Cipl is a potent inhibitor of G1 Cyclin-dependent kinases' see summary see page 806, right column, paragraph 1 see page 806, right column, paragraph 3 - page 807, right column, paragraph 1; figure 2 see page 813, left column, paragraph 1 see page 813, right column, last paragraph - page 814, left column, paragraph 1 -----</p>	<p>1,2</p>

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 94/12936

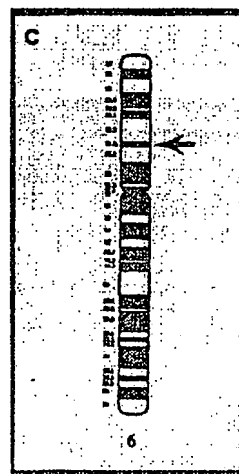
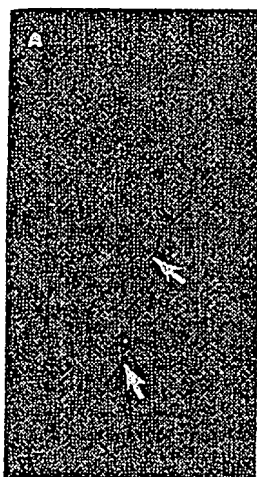
Patent document cited in search report	Publication date	Patent family member(s)	Publication date
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		AU-B- 3324393	19-07-93
		CA-A- 2125974	24-06-93
		EP-A- 0640143	01-03-95
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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification <sup>6</sup> : C12N 15/12, 15/11, 15/62, C07K 14/47, 16/18, A61K 38/18, 48/00, C12Q 1/68, G01N 33/574</p>	<p>A1</p>	<p>(11) International Publication Number: <b>WO 95/13375</b>  (43) International Publication Date: 18 May 1995 (18.05.95)</p>
<p>(21) International Application Number: PCT/US94/12936  (22) International Filing Date: 10 November 1994 (10.11.94)  (30) Priority Data: 149,829 10 November 1993 (10.11.93) US  (71) Applicant: THE JOHNS HOPKINS UNIVERSITY [US/US]; 720 Rutland Avenue, Baltimore, MD 21205 (US).  (72) Inventors: VOGELSTEIN, Bert; 3700 Breton Way, Baltimore, MD 21208 (US). KINZLER, Kenneth, W.; 1348 Halstead Road, Baltimore, MD 21234 (US).  (74) Agents: KAGAN, Sarah, A. et al.; Banner, Birch, McKie &amp; Beckett, 11th floor, 1001 G Street, N.W., Washington, DC 20001 (US).</p>		<p>(81) Designated States: CA, JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>

(54) Title: TUMOR SUPPRESSOR WAF1



## (57) Abstract

A human gene, WAF1, has been identified which is induced by wild-type but not mutant p53 in human brain tumor cells. The gene is located on chromosome 6p21.2 and directs the synthesis of an 18.1 kd protein. Introduction of WAF1 cDNA suppresses growth of human brain and colon tumor cells. The WAF1 gene and protein are useful *inter alia* for diagnosis and treatment of human tumors.



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**TUMOR SUPPRESSOR WAF1**

This invention was made with support from NIH grant CA 0907 and CA 43460. The U.S. government therefore retains some rights in the invention.

**TECHNICAL FIELD**

The invention relates to the fields of diagnosis and therapy of cancers. More particularly, the invention relates to a protein which can suppress tumor cell growth.

**BACKGROUND OF THE INVENTION**

Inactivation of p53 is a common event in the development of human neoplasia (Hollstein et al. (1991) *Science* 253, 49-53). A variety of mechanisms can lead to such functional inactivation, including p53 point mutations or deletions of p53 (Baker et al. (1989) *Science* 244, 217-221; Wolf, D., and Rotter, V. (1985) *Proc. Natl. Acad. Sci. USA* 82, 790-794), and interaction with oncogenic viral or cellular proteins (Mietz et al. (1992) *EMBO J.* 11, 5013-5020; Momand et al. (1992) *Cell* 69, 1237-1245). Wild-type p53 has been shown to be a suppressor of tumor cell growth (for reviews see Mercer, W.E. (1992) *Crit. Rev. Eucar. Gene Exp.* 2, 251-263; Oren, M. (1992) *FASEB J.* 6, 3169-3176; Lane, D.P. (1992)

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*Nature* 358, 15-16; Perry, M.E., and Levine, A.J. (1993) *Curr. Opin. in Genet. and Devel.* 3, 50-54). Inactivation of p53 by any of the above mechanisms thereby leads to a selective growth advantage, generally observed as tumor progression.

The mechanism underlying p53 growth suppression is still undefined. Several biochemical features of p53 have been elucidated, and at least two of these are currently of much interest. First, p53 has been shown to transcriptionally suppress a variety of promoters containing TATA-elements (Ginsberg et al. (1991) *Proc. Natl. Acad. Sci. USA* 88, 9979-9983; Santhanam et al. (1991) *Proc. Natl. Acad. Sci. USA* 88, 7605-7609; Kley et al. (1992) *Nucl. Acids Res.* 20, 4083-4087; Mack et al. (1993) *Nature* 363, 281-283). This suppression is apparently sequence independent, and may involve p53 binding to the TATA-binding protein (TBP) or to other transcription factors (Seto et al. (1992) *Proc. Natl. Acad. Sci. USA* 89, 12028-12032; Truant et al. (1993) *J. Biol. Chem.* 268, 2284-2287; Ragimov et al. (1993) *Oncogene* 8, 1183-1193; Martin et al. (1993) *J. Biol. Chem.* 268, 13062-13067; Liu et al. (1993) *Mol. and Cell. Biol.* 13, 3291-3300). Second, p53 can bind to DNA in a sequence-specific manner (Kern et al. (1991) *Science* 252, 1707-1711). A 20 bp consensus binding site, consisting of two copies of the 10 bp sequence 5'-RRRCWWGYYY-3', separated by up to 13 bp, has been identified (El-Deiry et al. (1992) *Nature Genet.* 1, 45-49; Funk et al. (1992) *Mol. Cell. Biol.* 12, 2866-2871). Both copies of the 10 bp sequence are required for efficient binding by p53. p53 contains a strong transcriptional activation sequence near its amino terminus (Fields, S., and Jang, S.K. (1990)

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*Science* 249, 1046-1049; Raycroft et al. (1990) *Science* 249, 1049-1051), and can stimulate the expression of genes downstream of its binding site. Such stimulation has been demonstrated in both mammalian (Kern et al. (1992) *Science* 256, 827-830; Funk et al. (1992) *Mol. Cell. Biol.* 12, 2866-2871; Zambetti et al. (1992) *Gen. and Devel.* 6, 1143-1152) and yeast cells (Scharer, E., and Iggo, R. (1992) *Nucl. Acids Res.* 20, 1539-1545; Kern et al. (1992) *Science* 256, 827-830) as well as in an *in vitro* system (Farmer et al. (1992) *Nature* 358, 83-86).

The sequence-specific transcriptional activation by p53 has led to the hypothesis that p53-induced genes may mediate its biological role as a tumor suppressor (Pietenpol et al. (1993) *Cell* (submitted)). To date, several genes containing p53-binding sites have been identified. These include muscle creatine kinase (MCK, Weintraub et al. (1991) *Proc. Natl. Acad. Sci. USA* 88, 4570-4574; Zambetti et al. (1992) *Gen. and Devel.* 6, 1143-1152), GADD45 (Kastan et al. (1992) *Cell* 71, 587-597), MDM2 (Barak et al. (1993) *EMBO* 12, 461-468; Wu et al. (1993) *Genes and Devel.* 7, 1126-1132), and a GLN retroviral element (Zauberman et al. (1993) *EMBO J.* 12, 2799-2808). Each of these genes contains a 20 bp sequence with high homology to the p53 consensus binding site (Prives, C., and Manfredi, J.J. (1993) *Gen. and Devel.* 7, 529-534). The p53-binding sites in GADD45 and MDM2 are located within introns, the MCK site is 3 kb upstream of the transcription start site, and the GLN element is located within an LTR. The relationship of any of these genes to suppression of cell growth by p53 remains unclear. It has been suggested that MDM2 may be a feedback regulator of p53

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action, by being transcriptionally induced (Barak et al. (1993) *EMBO* 12, 461-468; Wu et al. (1993) *Genes and Devel.* 7, 1126-1132), then inhibiting p53 function (Momand et al. (1992) *Cell* 69, 1237-1245; Oliner et al. (1993) *Nature* 362, 857-860; Wu et al. (1993) *Genes and Devel.* 7, 1126-1132). In this regard, MDM2 functions as an oncogene rather than as a tumor suppressor gene (Fakharzadeh et al. (1991) *EMBO J.* 10, 1565-1569; Finlay, C.A. (1993) *Mol. and Cell. Biol.* 13, 301-306).

There is a need in the art for elucidation of the pathway by which p53 exerts its tumor suppressive effects. There is also a need in the art for new diagnostic and therapeutic tools for evaluating and ameliorating human cancers.

#### **SUMMARY OF THE INVENTION**

It is an object of the invention to provide DNA molecules useful for diagnosing and treating human tumors.

It is another object of the invention to provide proteins useful for treating human tumors and for raising diagnostically useful antibodies.

It is still another object of the invention to provide antibodies which are useful for diagnosing human cancer.

It is yet another object of the invention to provide methods of suppressing growth of tumor cells.

It is an object of the invention to provide a method for screening potential therapeutic agents for treating cancer.

It is another object of the invention to provide methods for diagnosing cancer.

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It is yet another object of the invention to provide a reporter construct, useful for screening potential antineoplastic agents.

It is an additional object of the invention to provide an antisense construct for inhibiting expression of a tumor suppressor gene.

It is still another object of the invention to provide antisense oligonucleotides for inhibiting expression of a tumor suppressor gene.

It is yet another object of the invention to provide methods for promoting growth of cells in which a tumor suppressor gene's expression is inhibited.

It is another object of the invention to provide a method for assessing susceptibility to cancers.

These and other objects of the invention are provided by one or more of the embodiments described below. In one embodiment of the invention an isolated and purified subchromosomal DNA molecule is provided. The molecule encodes WAF1 protein as shown in SEQ ID NO: 2, and contains three exons of 168 bp, 450 bp and 1600 bp. The sequence of said exons is shown in SEQ ID NO: 1.

In another embodiment of the invention an isolated and purified WAF1 protein is provided. The protein has a sequence as shown in SEQ ID NO: 2.

In yet another embodiment of the invention an antibody is provided. The antibody is specifically reactive with human WAF1 protein.

In still another embodiment of the invention a method of suppressing growth of tumor cells is provided. The method comprises administration of a WAF1 protein having a sequence as shown in SEQ ID NO: 2 to said cells.

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In an additional embodiment of the invention a method of suppressing growth of tumor cells is provided. The method comprises administration to said cells of a DNA molecule which causes said cells to express WAF1, said DNA molecule having a sequence as shown in SEQ ID NO: 1.

According to another embodiment of the invention a method for screening potential therapeutic agents for the ability to suppress the growth of tumor cells by activating the expression of WAF1 is provided. The method comprises incubation of a potential therapeutic agent with a cell which contains a WAF1 reporter construct, said reporter construct comprising a WAF1 transcription regulatory region covalently linked in a *cis* configuration to a gene encoding an assayable product. Further, the method comprises measurement of the production of the assayable product. A potential therapeutic agent is identified as useful if it increases the production by the cell of the assayable product.

In still another embodiment of the invention a method for diagnosing cancer is provided. The method comprises testing a tissue to determine if the tissue expresses less WAF1 than normal tissue.

In another embodiment of the invention a method for diagnosing cancer is provided. The method comprises testing a tissue to determine if DNA in said tissue contains a mutant WAF1 gene.

In still another embodiment of the invention a WAF1 reporter construct is provided. The reporter construct comprises a WAF1 transcription regulatory region covalently linked in a *cis* configuration to a gene encoding an assayable product.

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In another embodiment of the invention an antisense WAF1 construct is provided. The construct comprises: a transcriptional promoter; a transcriptional terminator; and a DNA segment comprising one or more segments of the WAF1 gene, said gene segment located between said promoter and said terminator, said DNA segment being inverted with respect to said promoter and said terminator, whereby RNA produced by transcription of the DNA segment is complementary to a corresponding segment of WAF1 RNA produced by human cells.

In another embodiment of the invention a WAF1 antisense oligonucleotide is provided. The oligonucleotide comprises at least ten nucleotides complementary to a sequence present in WAF1 mRNA.

In yet another embodiment of the invention a triplex oligonucleotide is provided. The oligonucleotide comprises at least ten nucleotides complementary to a sequence present in a WAF1 gene.

In still another embodiment of the invention a method is provided for promoting growth of cells. The method comprises: administering a WAF1 antisense or triplex-forming oligonucleotide comprising at least ten nucleotides complementary to WAF1 mRNA or WAF1 gene, respectively, to said cells to inhibit the expression of WAF1. In an alternative method an antisense WAF1 construct is administered to said cells to inhibit the expression of WAF1. The construct comprises:

- a. a transcriptional promoter;
- b. a transcriptional terminator;



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c. a DNA segment comprising one or more segments of the WAF1 gene, said gene segment located between said promoter and said terminator, said DNA segment being inverted with respect to said promoter and said terminator, whereby RNA produced by transcription of the DNA segment is complementary to a corresponding segment of WAF1 RNA produced by human cells.

In still another embodiment of the invention a method is provided for assessing susceptibility to cancers. The method comprises testing a tissue selected from the group consisting of blood, chorionic villi, amniotic fluid, and a blastomere of a preimplantation embryo, to determine if DNA in said tissue contains a mutant WAF1 gene.

Thus the subject invention provides the art with useful means for diagnosing and treating cancers in humans and other animals. Moreover, it opens new avenues for the design and screening of additional anti-neoplastic therapeutic agents which operate by means of a new mechanism as detailed below. Conversely, the subject invention provides a new approach for promoting the proliferation of cells when large numbers of such cells are desired.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 shows p53-dependent transactivation in GM and DEL cell lines. GM cells (containing an inducible wild-type p53 gene) or DEL cells (containing an inducible mutant p53 gene) were transfected with reporter plasmids as indicated, and luciferase activity was measured after 18 hours of incubation either in the absence or presence of dexamethasone as shown. Wild-type p53 expression

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plasmid was co-transfected with PG13-Luc into DEL cells as indicated in the two right-most lanes.

Figure 2 shows the time course of induction of p53, WAF1, and MDM2 transcripts in GM cells treated with dexamethasone. A Northern blot was prepared using 10  $\mu$ g of total RNA isolated from GM cells treated with dexamethasone for 0, 4, 6, 8, or 16 hours, and probed with p53 cDNA (top panel), WAF1 cDNA (middle panel), or MDM2 cDNA (lower panel). The endogenous mutant (mut) and induced wild-type (wt) p53 mRNA species are indicated with arrows.

Figure 3 shows CDNA and predicted amino acid sequence of human WAF1. The predicted translation begins at nt 76 and ends at nt 567. The nucleotide sequence of human WAF1 has been deposited with GenBank; accession number VO3106.

Figure 4 shows Southern blot analysis of WAF1 in human and mouse cells. Four  $\mu$ g of human genomic DNA (lanes 1 and 2) or 8  $\mu$ g of mouse genomic DNA (lanes 3 and 4) were digested with EcoRI (lanes 1 and 3) or BamHI (lanes 2 and 4). Following transfer, the blot was probed with a radioactively labeled WAF1 cDNA fragment encompassing WAF1 nt 1 to 1004.

Figure 5 shows chromosomal localization of the gene encoding WAF1. Figure 5A shows partial metaphase chromosomes after FISH with the biotin-labeled genomic WAF1 probe (arrow indicates chromosome 6). Figure 5B shows identical G-banded metaphase chromosomes as in Figure 5A, documenting the localization of the fluorescent signal to 6p21.2. Figure 5C shows an idiogram of chromosome 6 (arrow indicates 6p21.2).

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Figure 6 shows that WAF1 is induced in the presence of transcriptionally active wild-type p53. A Northern blot was prepared from 10  $\mu$ g of total RNA from GM cells in the presence or absence of dexamethasone for 16 hours (lanes 1 and 2 respectively), DEL cells treated with dexamethasone (lane 3), or SW480 cells infected with either Ad-gal (lane 4) or Ad-p53 (lane 5) for 16 hours. The blot was probed with WAF1 DNA, GADD45 DNA, MDM2 DNA, or TGF-beta DNA, as indicated. An ethidium bromide stain of the RNA, prior to Northern transfer, is shown in the lower-most panel. The expression of wild-type p53 in the various cells is indicated at the top of the figure.

Figure 7 shows the sequence of the second exon of mouse WAF1. Figure 7A shows the predicted amino acid sequence of mouse WAF1 shown above the nt sequence. Figure 7B shows comparison of the predicted amino acid sequences between human and mouse WAF1. Identical amino acids are indicated by a line between human and mouse amino acids, whereas similar amino acids are indicated by a dot.

Figure 8 shows that WAF1 induction by p53 is conserved in rat and mouse. A Northern blot was prepared using total RNA from GM cells, either untreated (lane 1) or treated for 6 hours with dexamethasone (lane 2), REF 112 cells grown either at 37°C (uninduced; lane 3) or 31°C (lane 4), or MCO1 cells infected with either Ad-gal (lane 5) or Ad-p53 (lane 6). The RNA was hybridized with radioactive probes made from human WAF1 cDNA (Figure 7A) or mouse WAF1 DNA (Figure 7B). An ethidium bromide stain of the RNA, prior to transfer, is shown in the lowest panel.

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Figure 9 shows that WAF1 suppresses the growth of human tumor cells. The human brain tumor line (DEL; Figure 9) or the human colon tumor line (SW480; Figure 9) were transfected with the pCEP4 vector, or vectors encoding sense WAF1, antisense WAF1, mutant WAF1, or wild-type p53, as indicated. The photographs show low power views of the transfected flasks following 17 days of hygromycin selection. Below each photograph, the fraction of colonies (%) in each flask compared to the vector transfected cells is indicated (means of three flasks  $\pm$  standard deviation). The vector transfectants contained an average of 310 and 850 colonies in rows A and B, respectively.

Figure 10 shows schematic representation of WAF1 transcription regulatory region. Diagram shows the promoter-reporter constructs (Figure 10A), and partial DNA sequence of the WAF1 upstream regulatory region (Figure 10B), including promoter and upstream p53 binding sequences. Small letters in the latter represent deviations from the p53 consensus binding sequence. The TATA-element and Sp1 recognition sequences within the WAF1 promoter are surrounded by boxes. The *Sac* I site, used for making the DM-Luc construct devoid of the p53 binding site, occurred at the 3'-end of the sequence shown (g is the 1st nt of the *Sac* I recognition site).

Figure 11 shows activation of WAF1-promoter by wild-type p53. GM or DEL cells were transfected with either the WWP-Luc or DM-Luc reporters (Figure 10), and luciferase activity was measured after incubation with or without dexamethasone for 14 hours.

**DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

One of the major goals of tumor biology is to understand the biochemical nature of the pathways leading to growth suppression. For p53, this understanding has recently been enhanced by finding that p53, a nuclear phosphoprotein, binds to DNA in a sequence-specific manner, and activates transcription from such sequences. A variety of experiments has suggested the hypothesis that genes whose expression is activated by p53 are likely to be mediators of p53 action (Pietenpol et al. (1993) *Cell* (submitted)). It is a discovery of the applicants that WAF1 is such a gene. WAF1 gene expression is induced by p53, and this induction is observed in cell lines from human, mouse, and rat. The data indicate that not only are the coding sequence and exon structure of WAF1 conserved, but also its regulation by p53. This is consistent with the fact that p53 tumor suppressive function is conserved between rodents and humans.

The activation of a gene following wild-type p53 expression could be indirect, a result of induction by a second gene directly controlled by p53. In the case of WAF1, the p53 induction is likely to be direct, as at least one strong binding site exists within its transcription regulatory region. The binding site also functions in a p53-dependent manner in yeast. Moreover, the entire WAF1 promoter region is unambiguously under p53 control in mammalian cells (Figure 11). Finally, WAF1 mimics the growth suppression of p53 when introduced into three different cell lines. There are a few other genes whose expression is increased as a result of p53 expression, but none of them has been

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shown to meet the numerous criteria described here for a direct mediator of p53 action.

DNA molecules according to the present invention are isolated and purified from other chromosomal genes. They may be either genomic sequences or cDNA sequences, *i.e.*, they may or may not contain intervening sequences. A genomic clone of about 90 kb has been isolated which encodes the whole WAF1 gene. The WAF1 mRNA has been found to be approximately 2.1 kb. The WAF1 gene contains three exons of 168, 450 and 1600 bp. The sequence of the exons is shown in SEQ ID NO: 1.

Now provided with the sequence of WAF1, one of ordinary skill in the art can readily obtain the 18.1 kd WAF1 protein. It can be expressed in bacteria, yeast, or other convenient cell. Portions of it can be synthesized and linked to a carrier protein for immunization of laboratory animals to raise antibodies specifically immunoreactive with WAF1 protein. The antibodies can be used to purify the WAF1 protein from natural or recombinant sources. Such antibodies can be polyclonal or monoclonal, as is convenient for the particular application.

As described herein, WAF1 protein has a growth-suppressing effect on tumor cells. Thus its administration to tumor cells may be desirable to effect such growth suppression. Other cells which are involved in proliferative diseases may also be targeted for WAF1-mediated growth suppression. Such proliferative diseases include psoriasis, polyps, warts, and inflammatory diseases. WAF1 protein may be administered in suitable formulations to tumor cells. It may be

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microinjected, or simply supplied externally to tumor cells. It may be encapsulated, *e.g.*, in liposomes. If WAF1-encoding DNA is administered to the tumor cells then the cells can express their own WAF1 protein for growth suppression. Such DNA can be genomic or cDNA, as described above. Other cells involved in proliferative diseases may be treated similarly.

According to another aspect of the invention WAF1 reporter constructs are provided. They are recombinant DNA molecules which contain a WAF1 transcription regulatory region covalently linked in a *cis* configuration to a reporter gene. Many suitable reporter genes are known in the art, including, but not limited to  $\beta$ -galactosidase, luciferase, chloramphenicol acetyl transferase, neomycin phosphotransferase. If expression of the reporter gene is increased in the presence of a test compound, then one can assume that the test compound will function similarly to increase expression of WAF1 when it is located downstream from its own transcription regulatory region, as it is *in vivo*. Since increased expression of WAF1 is shown herein to have a growth suppressing effect on tumor cells, it can be assumed that the test compound which enhances the expression of the reporter construct will similarly have a growth suppressive effect *in vivo*. The transcription regulatory region of WAF1 which is sensitive to the presence of wild-type p53 is located within about 2.4 kb of the WAF1 transcriptional start site. The region includes the p53 binding site shown in SEQ ID NO:3. If the reporter construct is in a cell, the cell can be incubated with the test compounds and the effect on the expression of the reporter gene can be monitored and measured.

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Alternatively, the reporter construct may be employed *in vitro* in cell-free transcription and optionally translation systems.

WAF1 is shown herein to be regulated by wild-type but not mutant p53. Therefore, one can use the expression of WAF1 as a marker for the expression of wild-type p53. Diminished WAF1 expression, relative to normal tissues, can indicate cancer, just as diminished wild-type p53 expression or presence of mutated p53 expression can be indicative of cancer. Assays for WAF1 expression can be used in addition to, or in place of, assays for wild-type p53 directly. Tissues which are suitable for comparison purposes to provide a normal control are typically adjacent, morphologically normal tissues. Tests for the presence or amount of WAF1 expression can employ either antibodies specific for WAF1 protein, nucleic acid probes of at least about 10 nucleotides complementary to all or a portion of the sequence of SEQ ID NO:1, or other tests known in the art. Similarly, DNA of a tumor tissue can be tested to determine whether it contains mutations. WAF1 mutations would be expected to confer a neoplastic phenotype on cells, as do p53 mutations. Mutations can be determined by determining the sequence of the genes in the tissue being tested, and comparing that sequence to that disclosed in SEQ ID NO: 1. Such mutations may arise in the germline or in somatic tissues. If the mutations arise in somatic tissues, then they will not be found in other tissues of the same individual. If the mutations arise in the germline, they will be found in all tissues of the body, and will, like germline p53 mutations, indicate a susceptibility to cancers. Tissues suitable for testing for



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germline mutations include blood, chorionic villi, amniotic fluid, and blastomeres if preimplantation fertilized embryos.

Antisense WAF1 constructs contain a transcriptional promoter and a transcriptional terminator (polyadenylation signal), with a DNA segment between them. The DNA segment comprises one or more segments of the WAF1 gene, but that segment(s) is in an inverted orientation in the construct, compared to the orientation in the human genome. Transcription from the transcriptional promoter of the construct produces an (antisense) RNA molecule which is complementary to WAF1 RNA which is produced from the WAF1 promoter in normal human cells. The promoter used to make the antisense RNA molecule can be an inducible promoter which can be regulated by certain prescribed stimuli. For example, a metallothionein promoter or a hormone responsive promoter can be advantageously used. Other promoters and terminators can be used as is convenient in the particular application.

The antisense WAF1 constructs of the present invention can be used in one type of cell to produce antisense RNA which is then applied to other cells by techniques known in the art. Alternatively, the WAF1 constructs can be administered to the ultimate target cells in which regulation of WAF1 is desired. Suitable means for introducing DNA constructs into cells are known in the art. Administration of antisense constructs may be by transfection, transformation, electroporation, fusion, etc., as is known in the art. Inhibition of WAF1 expression causes cells to proliferate and prevents cell death. This can be particularly useful in situations where growing large numbers of certain cells in

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culture is desirable, such as in the case of culturing epidermal cells for transplantation. Alternatively, administration to certain cells of the body may be desirable, such as immune cells or cells of the gastrointestinal tract.

WAF1 antisense oligonucleotides are also provided for the same purpose as the antisense constructs, discussed above. The oligonucleotides are at least ten nucleotides and may be twenty or thirty nucleotides in length. They may consist of normal nucleotides or nucleotide analogs or mixtures of the two. Analogs include methylphosphonates, aminoalkylphosphonates, phosphorothioates, phosphorodithioates, substituted or unsubstituted phosphoramidates. The antisense oligonucleotides are typically linear, single-stranded molecules which are complementary to the natural WAF1 mRNA made by human cells, though circular molecules can also be utilized. These can be administered to cells in liposomes, or naked, for uptake by the cells by passive or receptor-mediated transport. It is often desirable that the antisense oligonucleotide be designed to be complementary to the 5' end of the mRNA, in particular to the translation start site. However, other portions of mRNA molecules have been found to be amenable to antisense inhibition, and may be used in the practice of the present invention. It is also desirable to avoid portions of the mRNA as target for the antisense oligonucleotides which have secondary structures which involve hydrogen bonding with other portions of the molecule. For example, it is desirable to avoid regions which appear to be involved in formation of stems of stem-loop structures.

Some suitable oligonucleotide sequences which may be used are: 5'-GGTTCTGACATGGCGCCTCC-3'; 5'-CCCAGCCGGTTCTGACATGG-3'; 5'-

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ATGCAGCCCGCCATTAGCGC-3'; 5'-GTCATGCTGGTCTGCCGCCG-3'; 5'-GTGGGCGGATTAGGGCTTCC-3'; 5'-TAAATAGTATTTCATAAAAT-3'.

These correspond to nucleotides number 67-86, 74-93, 181-200, 499-518, 560-579, 803-822, of the WAF1 cDNA shown in SEQ ID NO: 1.

The expression of WAF1 may also be inhibited by interference with transcription, by adding oligonucleotides or modified oligonucleotides than can form triple-stranded structures (triplexes) by complexing with a segment of the WAF1 gene.

Example 1

This example demonstrates an experimental gene expression system which is sensitive to and specific for the presence of wild-type p53.

As a first step towards the isolation of p53-regulated genes, we determined optimal cell culture conditions under which an exogenous wild-type p53 protein could activate transcription through specific DNA binding. A reporter plasmid containing a p53 DNA-binding site upstream of a basal promoter (Kern et al. (1992) *Science* 256, 827-830) linked to a luciferase reporter gene (PG13-Luc) was cloned and cotransfected into SW480 colon cancer cells with either a human wild-type p53 expression plasmid (p53-wt) or a mutant p53 expression plasmid (p53-273). High luciferase activity was observed only when wild-type p53 was present (data not shown). No luciferase activity was detected if the reporter plasmid contained mutant p53 binding sites (MG15-Luc), regardless of whether or not wild-type p53 was present. This validated reporter was then used in a p53-inducible system.

The glioblastoma cell line GM contains endogenous mutant p53 (Ullrich et al. (1992) *Oncogene* 7, 1635-1643) and dexamethasone-inducible exogenous human wild-type p53 (Mercer et al. (1990) *Proc. Natl. Acad. Sci. USA* 87, 6166-6170). The related line DEL expresses the same endogenous mutant p53 and a dexamethasone-inducible exogenous mutant p53 (Lin et al. (1992) *Natl. Acad. Sci. USA* 89, 9210-9214). Both cell lines were transfected with either PG13-Luc or MG15-Luc and incubated in the presence or absence of dexamethasone. Figure

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1 shows that dexamethasone-induced wild-type p53 (GM) but not mutant p53 (DEL) expression activated transcription of the luciferase reporter gene linked to a p53 binding site. No luciferase activity was observed when the p53 binding site was mutant (MG15-Luc), or when the p53 protein was mutant (GM without dexamethasone or DEL with or without dexamethasone). Transfection of wild-type p53 into DEL cells activated the PG13-Luc reporter with or without dexamethasone (Figure 1), confirming that the failure of expression of luciferase reporter gene in this cell line was due to the absence of wild-type p53. These experiments demonstrated that reporter gene expression in these two cell lines was both sensitive and specific to the presence of wild-type p53.

#### Methods:

The SW480 colon cancer cell line was maintained in culture as previously described (Baker et al. (1990) *Science* 249, 912-915). GM4723 (GM cells) and del4A (DEL cells) lines were passaged in Eagle's minimal essential media and log phase cells were induced with dexamethasone as previously described (Mercer et al. (1990) *Proc. Natl. Acad. Sci. USA* 87, 6166-6170). PG13-Luc and MG15-Luc plasmids were cloned by inserting the *Hind* III/*Eco*R I fragments containing wild-type or mutant p53 binding elements (PG13-CAT and MG15-CAT; Kern et al. (1992) *Science* 256, 827-830) into the *Hind* III/*Eco*RI sites of pBluescript II SK+ (pBS; Stratagene, La Jolla, CA). PG13 contains 13 copies of a p53 binding site, while MG15 contains 15 copies of a subtly mutated p53 binding site. The 200 bp *Eco*R I/*Bam*H I fragment containing the polyoma promoter (from pBEL.Py; Munholland et al. (1992) *EMBO J.* 11, 177-184) was cloned into pBS constructs

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containing either PG13 or MG15. A 2.6 kb *Sac* I luciferase cassette (or a 3 kb beta-galactosidase cassette, see below) without promoter elements, was then cloned downstream to create either PG13-Luc or MG15-Luc.

Transfected cells were washed twice with 4 ml Dulbecco's PBS per T-25 flask. The cells were lysed with 0.3 ml (per T-25) of 1X CCLR buffer (Promega, Madison, WI) for 10 minutes at room temperature. After a 5 second spin to pellet large debris, 10  $\mu$ l of supernatant was added to 90  $\mu$ l of reconstituted Luciferase Assay Reagent (Promega). Light emission was detected by scintillation counting.

#### Example 2

This example demonstrates the isolation of a wild-type p53 activated fragment (WAF1) by subtractive hybridization.

Based on the reporter gene experiments, we chose to use subtractive hybridization to identify endogenous genes regulated by p53 in GM cells. In order to determine the optimal time to isolate RNA enriched for p53-induced genes, Northern blot analysis was performed, using RNA isolated from GM cells at various intervals following dexamethasone induction. Figure 2 shows that under the logarithmic growth conditions used, the exogenous wild-type p53 mRNA was detectable by 4 hours after induction and remained elevated for at least 16 hours in GM cells upon dexamethasone induction. A p53-induced cDNA library was therefore prepared from GM cells treated with dexamethasone for 6 hours.

Eighty percent of the clones obtained carried inserts, generally of 1.5- to 2.0-kb in length. A total of 120,000 clones were screened by hybridization to a subtracted p53-induced cDNA probe. This probe was made from cDNA of

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dexamethasone-induced GM cells after subtraction with an excess of dexamethasone-induced DEL RNA. Control experiments showed that the subtraction procedure used, involving chemical crosslinking (Hampson et al. (1992) *Nucl. Acids Res.* 20, 2899) provided an enrichment of over 100-fold for cDNA sequences not present in the RNA used for subtraction (data not shown). Following hybridization to the subtracted probe, the clones were rehybridized to a probe made from RNA of dexamethasone-induced DEL cells. A total of 99 clones differentially hybridized to the subtracted probe on the initial screen and forty-five of these reproducibly displayed differential hybridization when re-tested.

Hybridization probes were prepared from these clones and used in Northern blots containing RNA isolated from dexamethasone treated or untreated GM cells. Of the 45 clones, 28 were found to be highly induced upon dexamethasone treatment. The other 27 clones were less induced by dexamethasone, and were not studied further. Hybridization, sequencing and restriction endonuclease analysis indicated that all of the 28 highly induced cDNA clones were derived from a single 2.1 kb mRNA. The gene encoding this message was named WAF1 (wild-type p53 activated fragment #1). Rehybridization to the cDNA library revealed that WAF1 cDNA was present at a frequency of 0.4% following dexamethasone induction.

#### Methods:

3.5 µg poly A+ RNA obtained from GM cells induced with dexamethasone for 6 hours was isolated using oligo-dT cellulose (Clontech, Palo Alto, CA) according to the supplier's recommendations, from total RNA prepared by CsCl gradient ultracentrifugation of guanidine isothiocyanate lysed cells, as described

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(Davis, L.G., et. al. (1986) *Elsevier Science Pub. Co., Inc.*). The poly A+ RNA was used to make an oligo-dT primed cDNA library with the SuperScript Choice System (BRL Research Products Life Technologies, Grand Island, New York). A total of 100 ng of cDNA, comprising the 1.5 to 5 kb fraction, was ligated to lambda Ziplox *EcoR* I arms (Gibco BRL Life Technologies, Inc., Gaithersburg, MD), and phage clones were obtained following infection of *E. coli* strain Y109OZL. Phage clones were screened by hybridization of colony lifts to either subtracted or unsubtracted cDNA probes prepared as described below. Excision of pZLI plasmid clones was carried out by phage infection of the excision strain DH10B-Zip (Elledge et al. (1991) *Proc. Natl. Acad. Sci. USA* 88, 1731-1735).

Unsubtracted cDNA probes were prepared from 2  $\mu$ g poly A+ RNA "driver" using oligo-dT as primer and MMLV Super-Script II as described (Hampson et al., (1992) *Nucl. Acids Res.* 20, 2899), except that following alkaline hydrolysis with NaOH and neutralization with HCl, the cDNA was isopropanol precipitated in the presence of 0.17 M sodium perchlorate, washed with 70% ethanol, vacuum dried and resuspended in 10  $\mu$ l of water (Kinzler et al. (1989) *Nucl. Acids Res.* 17, 3645-3653). Twenty ng of unsubtracted cDNA was then labelled with random primers using Sequenase as described (Hampson et al. (1992) *Nucl. Acids Res.* 20, 2899). Subtracted cDNA probes were prepared after a 22 hour hybridization of 500 ng "target" cDNA to 10  $\mu$ g poly A+ "driver" RNA, chemical crosslinking with 2,5-diaziridinyl-1,4-benzoquinone (generously provided



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by John Butler), and labelling as described (Hampson et al. (1992) *Nucl. Acids Res.* 20, 2899).

### Example 3

This example demonstrates the structure of the WAF1 gene.

Eighteen of the 28 WAF1 clones appeared to contain near full-length cDNA, predicted to be 2.1 kb on the basis of Northern blot analysis (Figure 2). DNA sequencing revealed that most of the clones contained the same 5'-end. Because the cDNA library used was not amplified, this likely represented the 5'-end of the transcript. The WAF1 cDNA sequence is shown in Figure 3. (SEQ ID NO: 1) The first ATG codon occurred at nucleotide 77, and an in-frame termination codon occurred at nucleotide 570, predicting a translation product of 18.1 kd. *In vitro* transcription and translation of WAF1 cDNA clones produced a protein of the expected size (not shown). Analysis of the amino-acid sequence of WAF1 protein revealed a cysteine-rich region  $C(X)_4C(X)_{15}C(X)_6C$  between amino acids 13 and 41 with the potential for zinc-binding (Berg, *Science* 232:485-487 (1986)), and a basic region between amino acids 140 and 163 containing two potential bipartite nuclear localization signals (Robbins et al., *Cell* 64:615-623 (1991)) near the C-terminus (SEQ ID NO: 2) No significant homologies at the amino-acid level were found to known proteins (NBRF-PIR release # 35.0). Southern blot analysis showed that WAF1 was probably a single copy gene, with no close relatives in the human genome (Figure 4).

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Methods:

Northern blot analysis was performed as previously described (El-Deiry et al. (1991) *Proc. Natl. Acad. Sci. USA* 88, 3470-3474) except that Quickhyb (Stratagene, La Jolla, California) was used as the hybridization solution.

Example 4

This example demonstrates that WAF1 is localized to chromosome 6, band p21.2 of the human genome.

To identify the chromosomal location of the WAF1 gene, a human genomic P1 clone (P1-WAF1) containing WAF1 sequences was obtained (as described below). The clone contained about 90 kb of DNA, and partial sequencing revealed that the WAF1 gene consisted of three exons of 68, 450, and 1600 bp (exons 1, 2, and 3 respectively). The translation initiation signal was contained in exon 2, a relatively long coding exon (Sternier et al (1993) *Mol. and Cell. Biol.* 13, 2677-2687). The P1-WAF1 clone was labelled with biotin and hybridized to metaphase chromosomes as previously described (Meltzer et al. (1992) *Nature Genet.* 1, 24-28). A total of 18 metaphase cells were examined, and each had at least one "double" fluorescent signal (i.e., signals on each of 2 chromatids) on the middle of the short arm of chromosome 6 (Figure 5). In 15/18 cells, double signals were observed on both chromosome 6 homologs. Only chromosomes in which both chromatids displayed a signal were included for analysis, making the background hybridization close to zero. The same cells subjected to FISH had been previously G-banded using Trypsin-Giemsa and photographed to allow direct comparison of

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the results. The results demonstrated that sequences hybridizing to WAF1 DNA fragment were localized to 6p21.2.

#### Methods:

A screen of human genomic P1 clones for WAF1 was performed using the primers 5' - C T T T C T A G G A G G G A G A C A C - 3' and 5'-GTTCCGCTGCTAATCAAAG-3' from WAF1 exon 3 for PCR (Genome Systems, Inc., St. Louis, Mo.). The PCR was performed using the Bind-Aid kit (USB) in a 25  $\mu$ l reaction containing 2.5  $\mu$ l 10x USB PCR buffer, 2  $\mu$ l 2.5 mM each dNTP (dATP, dCTP, dGTP, and dTTP), 0.5  $\mu$ l Bind-Aid (0.5  $\mu$ g/ $\mu$ l SSB), 0.5  $\mu$ l each primer (350 ng/ $\mu$ l), 10 ng DNA template, and 2 Units AmpliTaq (Perkin Elmer Cetus). Amplification was carried out for 35 cycles (following the profile: 95°C for 30 seconds, 57.5°C for 1 minute, and 70°C for 1 minute), yielding a 99 bp PCR product. The P1 clone obtained (P1-WAF1) was labelled with biotin and hybridized to metaphase chromosomes as previously described (Meltzer et al. (1992) *Nature Genet.* 1, 24-28). Eighteen metaphase nuclei were examined for WAF1 localization.

#### Example 4

This example demonstrates that (1) WAF1 is induced in more than one cell type following wild-type p53 expression; (ii) WAF1 is highly conserved among species; and (iii) WAF1 is induced by p53 in other species.

Figure 6 illustrates the expression of WAF1 in GM cells following dexamethasone treatment for 16 hours (lane 2), compared to either uninduced GM cells (lane 1) or dexamethasone treated DEL cells containing induced mutant p53

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(lane 3). Controls for the experiment included two other genes known to be induced by p53, MDM2 and GADD45, as well as an unrelated gene, TGF-beta. Both MDM2 and GADD45 were induced in the GM cells when wild-type p53 was present, but much less so than WAF1

To examine the induction of WAF1 by wild-type p53 in a different cell line, a wild-type p53 construct in an adenoviral vector (Ad-p53) was used to infect human SW490 colon cancer cells. That Ad-p53 produced transcriptionally active p53 was demonstrated by assaying an SW480 cell line carrying a stably integrated reporter responsive to wild-type but not mutant p53 as described below. SW480 cells were infected with either Ad-p53 or Ad-gal (a control adenoviral vector producing beta-galactosidase instead of p53) for 16 hours and RNA used for Northern blot analysis. Figure 6 shows that WAF1 was highly induced in SW480 cells infected with Ad-p53 (lane 5), but not those infected with Ad-gal (lane 4).

We next assessed the evolutionary conservation of WAF1. "Zoo blots" revealed that single copy sequences from mouse cells hybridized to the human WAF1 clone (Figure 4). Attempts to clone a mouse WAF1 cDNA from a mouse adult brain cDNA library were unsuccessful. Therefore, we obtained a mouse genomic clone containing the WAF1 gene as described below. The nucleotide and predicted amino acid sequence of the mouse WAF1 (mWAF1) second exon is shown in Figure 7A. The mouse and human WAF1 second exon sequences were 76% identical and 80% similar at the amino acid level (Figure 7B). A stretch of 26 amino acids (human aa 21-56) was almost perfectly conserved, as was the zinc finger-like motif between aa 13 and 41 in human WAF1 (H(X)<sub>4</sub>C(X)<sub>15</sub>C(X)<sub>6</sub>C in

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the mouse). The positions of introns surrounding exon 2 in the WAF1 gene were identical in both human and mouse (not shown).

To determine whether rodent WAF1 gene expression was induced by wild-type p53, two experimental systems were used. The first consisted of rat embryo fibroblasts containing a stably integrated murine temperature-sensitive mutant p53 (REF-112 cells; Michalovitz et al. (1990) *Cell* 62, 671-680). These cells were transfected with the PG13-Luc reporter and incubated either at 37°C (mutant p53 conformation), or 31°C (wild-type p53 conformation) for 24 hours. No measurable increase in luciferase activity was observed at 37°C, but luciferase activity increased 1000-fold at 31°C, confirming the presence of transcriptionally active murine wild-type p53 at the latter temperature. RNA was then prepared from REF-112 cells incubated for 14 hours either at 37°C or 31°C. Figure 8 shows that expression of WAF1 mRNA was detected at 31°C but not at 37°C, demonstrating that the WAF1 gene is conserved in rat, and that the gene is inducible by the murine p53 at the wild-type permissive temperature.

Second, the murine fibrosarcoma cell line MCO1 (Halevy et al. (1991) *Oncogene* 6, 1593-1600), which lacks p53 due to a splice site mutation and a deletion, was infected with either Ad-p53 or Ad-gal. At 22-hours following adenoviral infection, RNA was prepared and used in Northern blot analysis. Figure 8 shows that mWAF1 was highly induced in MCO1 cells infected with Ad-p53, but not in cells infected with Ad-gal. Thus, WAF1 induction by p53 was conserved in both rat and mouse cells.

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The fact that WAF1 was (i) induced in more than one human cell type following wild-type p53 expression; (ii) highly conserved among species; and (iii) induced by p53 in other species, suggests that WAF1 is important for p53 function.

Methods:

The MDM2 probe was made from a 1.6 kb cDNA fragment (Oliner, J.D. et al. (1993) *Nature* 362, 857-860), and the GADD45 probe was generously provided by A. Fornace (Kastan et al. (1992) *Cell* 71, 587-597). Probes were made by oligo-labelling DNA fragments isolated from agarose gels (Feinberg, A.P. and Vogelstein, B. (1983) *Anal. Biochem.* 132, 6-13).

A mouse WAF1 (mWAF1) genomic clone was isolated by screening 1 x 10<sup>6</sup> clones of a mouse genomic DNA library in Lambda Fix II (Stratagene), using the human WAF1 cDNA as a probe. One hybridizing clone was obtained. An 11 kb *Hind* III fragment containing the second exon of mWAF1 was subcloned into the *Hind* III site of pBS. An 0.3 kb *Pst* I fragment from this clone (containing part of mWAF1 exon 2) was used to probe the Northern blot in Figure 8.

The cDNA for p53 was obtained as a *Bam*H I fragment from the p53-wt vector (Baker et al. (1990) *Science* 249, 912-915; Kern et al. (1992) *Science* 256, 827-830) and cloned into the *Bam*H I site of pMV10 (Wilkinson, G.W.G., and Akrigg, A. (1992) *Nucl. Acids Res.* 20, 2233-2239). The *Hind* III fragment of pMV10-p53-wt was then subcloned into the *Hind* III site of the pMV60 vector (Wilkinson, G.W.G., and Akrigg, A. (1992) *Nucl. Acids Res.* 20, 2233-2239) to

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make the vector pMV60-p53-wt. The plasmids pMV60-p53-wt and pJM17 (Wilkinson, G.W.G., and Akrigg, A. (1992) *Nucl. Acids Res.* 20, 2233-2239) were co-transfected into 293 cells. Recombinants were plaque purified and tested for production of transcriptionally active p53 by infection of the SW480-IAB3 cell line. A plaque purified recombinant (Ad-p53) induced beta-galactosidase activity in infected SW480-IAB3 cells. The beta-galactosidase producing defective adenovirus (Ad-gal) was obtained from plaque purified recombinants following co-transfection of 293 cells with pMV35 and pJM17. Both Ad-p53 and Ad-gal were further purified by CsCl banding.

The SW480-IAB3 cell line was obtained following co-transfection of SW480 cells with plasmids PG13-Gal and pCMV-Neo-Bam (Baker et al. (1990) *Science* 249, 912-915), and selection with genetecin. Individual clones were isolated by limiting dilution and tested for the presence of stably integrated intact reporter by transfection with either plasmid p53-wt or p53-143 (Kern et al. (1992) *Science* 256, 827-830) followed 24 hours later by in-situ X-gal staining. The SW480-IAB3 was chosen for passaging because no beta-galactosidase activity was detectable unless wild-type p53 was present in the cells. The cells were maintained in Leibovitz L15 medium supplemented with 10% fetal bovine serum and 0.5 mg/ml genetecin. REF-112 and MCO1 cells were obtained through the generosity of Moshe Oren. For transfection experiments,  $1.5 \times 10^6$  cells were plated in 25-cm<sup>2</sup> tissue culture flasks 24 hours before transfection. A total of 5  $\mu$ g of CsCl banded DNA and 25  $\mu$ g Lipofectin (Bethesda Research Laboratories,

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Gaithersburg, MD) were used for transfections. For growth inhibition experiments (Figure 9), hygromycin (0.25 mg/ml) selection began 24 hours after transfection.

#### Example 5

This example demonstrates that WAF1 suppresses tumor cell growth.

If WAF1 played a role in mediating the tumor growth inhibition of p53, one might expect it to have a growth suppressive role of its own. To address this possibility, mammalian expression vectors containing p53 cDNA or WAF1 cDNA in either the sense (pC-WAF1-S) or antisense (pC-WAF1-AS) orientation were constructed. The vectors each contained a gene conferring hygromycin resistance in addition to the cDNA. The vectors were transfected into SW480 cells, previously shown to be inhibited by wild-type p53 expression (Baker et al. (1990) *Science* 249, 912-915). Following transfection, cells were grown in the presence of hygromycin and the number of colonies was scored after 2-3 weeks.

The data in Figure 9 show that introduction of WAF1 sense cDNA expression vectors resulted in substantial growth suppression, as seen by a 10-20 fold decrease in the number of hygromycin-resistant colonies. This growth suppression was similar to, but not as complete as, that observed with p53 (Figure 9). Introduction of the WAF1 antisense cDNA expression vector, or the vector devoid of WAF1 sequences, resulted in a similar number of clones. The few small clones which did appear after transfection of the WAF1 sense cDNA expression vector grew at a slow rate and could not be passaged. Similar results were obtained in four separate experiments, each with triplicate transfections, using different preparations of plasmid DNA. We additionally used the brain



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tumor cell lines GM and DEL in similar experiments, and found that their growth was also suppressed by the introduction of wild-type WAF1 (Figure 9 and data not shown). As an additional control, we constructed a WAF1 mutant (pC-WAF1-ES), with a stop codon at nt 222. Introduction of pC-WAF1-ES into either SW480 or DEL cells did not result in significant growth suppression (Figure 9).

#### Methods

pC-WAF1-S (sense) and pC-WAF1-AS (antisense) expression plasmids were prepared by cloning the full-length WAF1 cDNA as a *Not* I fragment from cDNA library clone #33 into the *Not* I site of pCEP4 (Invitrogen). The pC-WAF1-ES mutant vector was similarly obtained from a PCR generated cDNA insert, containing a G to A transition at nt 222, resulting in a stop codon instead of Trp at amino acid 49.

#### Example 6

This example demonstrates that p53 activates the WAF1 promoter.

Having demonstrated that WAF1 expression is induced by wild-type p53, we attempted to determine whether this resulted from a direct interaction of p53 with regulatory elements in WAF1. To search for sequences transcriptionally responsive to p53, we used the 90 kb genomic clone P1-WAF1 in a yeast enhancer trap system. In this system, yeast cells auxotrophic for histidine were transformed with a plasmid library constructed by insertion of random fragments of P1-WAF1 upstream of a truncated GAL1 promoter regulating histidine reporter gene expression. Clones were selected for histidine prototrophy in the presence of human p53 expression. Three libraries were constructed, using *Alu* I, *Hae* III, or

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*Sau* 3AI fragments of P1-WAF1. Through the screening of  $1.6 \times 10^5$  transformants, 22 wild-type p53-dependent, histidine prototrophs were obtained. No histidine prototrophy was observed if yeast expressed mutant instead of wild-type p53. All but one of the 22 clones were found to contain either of two sequence elements, both matching the previously defined p53 binding site consensus. Mapping of the two elements revealed that one of them was located 2.4 kb upstream of WAF1 coding sequences (Figure 10). Thus, a p53-binding site was present upstream of WAF1, and this element, when placed in an artificial system with a standard promoter, could stimulate expression of a reporter gene in the presence of wild-type p53.

To determine whether the natural promoter elements of WAF1 could mediate p53-dependent transcriptional activation, a 2.4 kb genomic fragment, with its 3'-end at nt 11 of WAF1 cDNA, was cloned upstream of a promoterless luciferase reporter gene. A partial sequence of the WAF1 promoter, and a map of this clone, is shown in Figure 10. This promoter was G:C rich and contained a TATA-element 43 nt upstream of the putative transcription start site. Two *Sp*1 binding sites were located at nt -50 and -104, and there was a sequence weakly matching the p53 binding site consensus at nt-75.

Figure 11 shows that the WAF1 promoter construct WWP-Luc activated expression of luciferase only in the presence of wild-type p53. In the absence of wild-type p53 (GM cells without dexamethasone or DEL with or without dexamethasone), expression of this reporter was less than 2% of levels observed in the presence of wild-type p53. When the 2 kb upstream p53 binding site was

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deleted (DM-Luc), the majority of the luciferase activity was abolished, though the residual activity was still wild-type p53-dependent. This observation suggests the presence of a second (weaker) p53 response element within the WAF1 promoter, perhaps at nt -75 (Figure 10). The same pattern of reporter activation was observed following co-transfection of WWP-Luc or DM-Luc with the wild-type p53 expression plasmid in SW480 cells. There was a 200-fold increase in luciferase activity with wild-type p53 compared to mutant p53 (273<sup>Hu</sup>) transfection (data not shown). Similar to the GM cell results, luciferase activity decreased by approximately 80% when the upstream p53 response element was absent (DM-Luc construct, Figure 11).

#### Methods

The P1-WAF1 clone was digested to completion with *Hae* III, *Alu* I, or *Sau* 3AI, subcloned into the plasmid pBM947 and used to identify p53 binding sites by genetic selection in yeast (Wilson et al. (1991) *Science* 252, 1296-1300; T. Tokino et al., unpublished data). A total of 530,000 clones were obtained in *E. coli*, and the DNA from these clones was used to transfect *S. cerevisiae* cells containing a p53 expression vector and a HIS3 gene under the control of p53 binding sequences (Nigro et al. (1992) *Mol. Cell. Biol.* 12, 1357-1365; Kern et al. (1992) *Science* 256, 827-830; T. Tokino and S. Thiagalingam, unpublished data). A total of 160,000 yeast clones were assayed for histidine prototrophy. Selection in the absence of histidine allowed the isolation of clones containing a p53 binding sequence; transcriptional activation by p53 resulted in HIS3 production and

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subsequent survival of the yeast transformants. DNA was isolated from such clones and tested for induction of histidine prototrophy in yeast strains with or without human p53 expression vectors.

WWP-Luc and DM-Luc plasmids were cloned by inserting the 2.6 kb *Bam*H I luciferase cassette (from PG13-Luc) into the *Xho* I sites of pWWP and pDM. The 2.4 kb WAF1 promoter region was obtained as a *Hind* III cassette by PCR amplifications using a P1-WAF1 subclone as template and the primers 5' - C C A C A A G C T T C T G A C T T C G G C A G - 3' and 5'-CCCAGGAACAAGCTTGGGCAGCAG-3'. This cassette was cloned into the *Hind* III site of pBC KS+ (Stratagene) to yield plasmid pWWP containing the endogenous WAF1 promoter including the upstream p53 binding element near one end and WAF1 nt 11 at the other end (Figure 10). The plasmid pDM, which lacks the p53 binding element 2.4 kb upstream of WAF1, was obtained by digesting pWWP with *Sac* I, and recloning the deleted fragment after circularization.

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## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

- (i) APPLICANT: The Johns Hopkins University
- (ii) TITLE OF INVENTION: Tumor Suppressor WAF1
- (iii) NUMBER OF SEQUENCES: 6
- (iv) CORRESPONDENCE ADDRESS:
  - (A) ADDRESSEE: Banner, Birch, McKie & Beckett
  - (B) STREET: 1001 G Street, NW suite 1100
  - (C) CITY: Washington
  - (D) STATE: DC
  - (E) COUNTRY: USA
  - (F) ZIP: 20001
- (v) COMPUTER READABLE FORM:
  - (A) MEDIUM TYPE: Floppy disk
  - (B) COMPUTER: IBM PC compatible
  - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
  - (D) SOFTWARE: PatentIn Release #1.0, Version #1.25
- (vi) CURRENT APPLICATION DATA:
  - (A) APPLICATION NUMBER: PCT/US94/12936
  - (B) FILING DATE: 10 NOVEMBER 1994
  - (C) CLASSIFICATION:
- (viii) ATTORNEY/AGENT INFORMATION:
  - (A) NAME: Kagan, Sarah A.
  - (B) REFERENCE/DOCKET NUMBER: 01107.47878
- (ix) TELECOMMUNICATION INFORMATION:
  - (A) TELEPHONE: 202.508.9100
  - (B) TELEFAX: 202.508.9299
  - (C) TELEX: 197430 BBMB UT

## (2) INFORMATION FOR SEQ ID NO:1:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 2121 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: double
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: cDNA
- (iii) HYPOTHETICAL: NO
- (iv) ANTI-SENSE: NO
- (vi) ORIGINAL SOURCE:
  - (A) ORGANISM: Homo sapiens
  - (H) CELL LINE: GM
- (viii) POSITION IN GENOME:
  - (A) CHROMOSOME/SEGMENT: 6p21.2
- (ix) FEATURE:

**SUBSTITUTE SHEET (RULE 26)**

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(A) NAME/KEY: CDS  
 (B) LOCATION: 76..568  
 (D) OTHER INFORMATION: /gene= "WAF1"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

GCCGAAGTCA GTTCCTTGTG GAGCCGGAGC TGGGCGCGGA TTCGCCGAGG CACCGAGGCA	60
CTCAGAGGAG GCGCC ATG TCA GAA CCG GCT GGG GAT GTC CGT CAG AAC CCA	111
Met Ser Glu Pro Ala Gly Asp Val Arg Gln Asn Pro	
1 5 10	
TGC GGC AGC AAG GCC TGC CGC CGC CTC TTC GGC CCA GTG GAC AGC GAG	159
Cys Gly Ser Lys Ala Cys Arg Arg Leu Phe Gly Pro Val Asp Ser Glu	
15 20 25	
CAG CTG AGC CGC GAC TGT GAT GCG CTA ATG GCG GGC TGC ATC CAG GAG	207
Gln Leu Ser Arg Asp Cys Asp Ala Leu Met Ala Gly Cys Ile Gln Glu	
30 35 40	
GCC CGT GAG CGA TGG AAC TTC GAC TTT GTC ACC GAG ACA CCA CTG GAG	255
Ala Arg Glu Arg Trp Asn Phe Asp Phe Val Thr Glu Thr Pro Leu Glu	
45 50 55 60	
GGT GAC TTC GCC TGG GAG CGT GTG CGG GGC CTT GGC CTG CCC AAG CTC	303
Gly Asp Phe Ala Trp Glu Arg Val Arg Gly Leu Gly Leu Pro Lys Leu	
65 70 75	
TAC CTT CCC ACG GGG CCC CGG CGA GGC CGG GAT GAG TTG GGA GGA GGC	351
Tyr Leu Pro Thr Gly Pro Arg Arg Gly Arg Asp Glu Leu Gly Gly Gly	
80 85 90	
AGG CGG CCT GGC ACC TCA CCT GCT CTG CTG CAG GGG ACA GCA GAG GAA	399
Arg Arg Pro Gly Thr Ser Pro Ala Leu Leu Gln Gly Thr Ala Glu Glu	
95 100 105	
GAC CAT GTG GAC CTG TCA CTG TCT TGT ACC CTT GTG CCT CGC TCA GGG	447
Asp His Val Asp Leu Ser Leu Ser Cys Thr Leu Val Pro Arg Ser Gly	
110 115 120	
GAG CAG GCT GAA GGG TCC CCA GGT GGA CCT GGA GAC TCT CAG GGT CGA	495
Glu Gln Ala Glu Gly Ser Pro Gly Gly Pro Gly Asp Ser Gln Gly Arg	
125 130 135 140	
AAA CGG CGG CAG ACC AGC ATG ACA GAT TTC TAC CAC TCC AAA CGC CGG	543
Lys Arg Arg Gln Thr Ser Met Thr Asp Phe Tyr His Ser Lys Arg Arg	
145 150 155	
CTG ATC TTC TCC AAG AGG AAG CCC T AATCCGCCCA CAGGAAGCCT	588
Leu Ile Phe Ser Lys Arg Lys Pro	
160	
GCAGTCCTGG AAGCGCGAGG GCCTCAAAGG CCCGCTCTAC ATCTTCTGCC TTAGTCTCAG	648
TTTGTTGTGTC TTAATTATTA TTTGTGTTTT AATTAAACA CCTCCTCATG TACATACCCT	708
GGCCGCCCCC TGCCCCCAG CCTCTGGCAT TAGAATTATT TAAACAAAAA CTAGGCGGTT	768
GAATGAGAGG TTCCTAAGAG TGCTGGGCAT TTTTATTTTA TGAAATACTA TTAAAGCCT	828
CCTCATCCCG TGTTCCTCTT TTCCTCTCTC CCGGAGGTTG GGTGGGCCGG CTTCATGCCA	888

SUBSTITUTE SHEET (RULE 26)

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GCTACTTCCT CCTCCCCACT TGTCCGCTGG GTGGTACCCT CTGGAGGGGT GTGGCTCCTT      948
CCCATCGCTG TCACAGGCGG TTATGAAATT CACCCCTTTT CCTGGACACT CAGACCTGAA      1008
TTCTTTTTC TTTGAGAAGT AAACAGATGG CACTTTGAAG GGGCCTCACC GAGTGGGGGC      1068
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TGAGCACAGC CTAGGGCTGA GCTGGGGACC TGGTACCCTC CTGGCTCTTG ATACCCCCCT      1188
CTGTCTGTG AAGGCAGGGG GAAGGTGGGG TACTGGAGCA GACCACCCCG CCTGCCCTCA      1248
TGGCCCCCTCT GACCTGCACT GGGGAGCCCG TCTCAGTGTT GAGCCTTTTC CCTCTTTGGC      1308
TCCCCTGTAC CTTTTGAGGA GCCCCAGCTT ACCCTTCTTC TCCAGCTGGG CTCTGCAATT      1368
CCCCCTGCT GCTGTCCCTC CCCCTTGTCT TTCCCTTCAG TACCCTCTCA TGCTCCAGGT      1428
GGCTCTGAGG TGCCTGTCCC ACCCCCACCC CCAGCTCAAT GGAAGGGAAG GGAAGGGAC      1488
ACACAAGAAG AAGGGCACCC TAGTTCTACC TCAGGCAGCT CAAGCAGCGA CCGCCCCCTC      1548
CTCTAGCTGT GGGGGTGAGG GTCCCATGTG GTGGCACAGG CCCCCTTGAG TGGGGTTATC      1608
TCTGTGTTAG GGGTATATGA TGGGGGAGTA GATCTTTCTA GGAGGGAGAC ACTGGCCCCCT      1668
CAAATCGTCC AGCGACCTTC CTCATCCACC CCATCCCTCC CCAGTTCATT GCACTTTGAT      1728
TAGCAGCGGA ACAAGGAGTC AGACATTTTA AGATGGTGGC AGTAGAGGCT ATGGACAGGG      1788
CATGCCACGT GGGCTCATAT GGGGCTGGGA GTAGTTGTCT TTCCTGGCAC TAACGTTGAG      1848
CCCCTGGAGG CACTGAAGTG CTTAGTGATC TTGGAGTATT GGGGTCTGAC CCCAAACACC      1908
TTCCAGCTCC TGTAAACATC TGGCCTGGAC TGTTTTCTCT CGGCTCCCCA TGTGCTCTGG      1968
TTCCCGTTTC TCCACCTAGA CTGTAAACCT CTCGAGGGCA GGGACCACAC CCTGTACTGT      2028
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TTCTTAGTGA CTTTAAAAAA AAAAAAAAAA AAA      2121

```

## (2) INFORMATION FOR SEQ ID NO:2:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 164 amino acids
- (B) TYPE: amino acid
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: protein

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

```

Met Ser Glu Pro Ala Gly Asp Val Arg Gln Asn Pro Cys Gly Ser Lys
 1             5             10             15
Ala Cys Arg Arg Leu Phe Gly Pro Val Asp Ser Glu Gln Leu Ser Arg
          20             25             30
Asp Cys Asp Ala Leu Met Ala Gly Cys Ile Gln Glu Ala Arg Glu Arg
          35             40             45

```

**SUBSTITUTE SHEET (RULE 26)**

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Trp Asn Phe Asp Phe Val Thr Glu Thr Pro Leu Glu Gly Asp Phe Ala  
 50 55 60  
 Trp Glu Arg Val Arg Gly Leu Gly Leu Pro Lys Leu Tyr Leu Pro Thr  
 65 70 75 80  
 Gly Pro Arg Arg Gly Arg Asp Glu Leu Gly Gly Gly Arg Arg Pro Gly  
 85 90 95  
 Thr Ser Pro Ala Leu Leu Gln Gly Thr Ala Glu Glu Asp His Val Asp  
 100 105 110  
 Leu Ser Leu Ser Cys Thr Leu Val Pro Arg Ser Gly Glu Gln Ala Glu  
 115 120 125  
 Gly Ser Pro Gly Gly Pro Gly Asp Ser Gln Gly Arg Lys Arg Arg Gln  
 130 135 140  
 Thr Ser Met Thr Asp Phe Tyr His Ser Lys Arg Arg Leu Ile Phe Ser  
 145 150 155 160  
 Lys Arg Lys Pro

## (2) INFORMATION FOR SEQ ID NO:3:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 20 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: double
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)
- (iii) HYPOTHETICAL: NO
- (iv) ANTI-SENSE: NO
- (vi) ORIGINAL SOURCE:
  - (A) ORGANISM: Homo sapiens
- (viii) POSITION IN GENOME:
  - (A) CHROMOSOME/SEGMENT: 6p21.2
- (ix) FEATURE:
  - (A) NAME/KEY: protein\_bind
  - (B) LOCATION: 1..20
  - (D) OTHER INFORMATION: /bound\_moiety= "p53"
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:

GAACATGTCC CAACATGTTG

20

## (2) INFORMATION FOR SEQ ID NO:4:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 136 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: double
  - (D) TOPOLOGY: linear



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- (ii) MOLECULE TYPE: DNA (genomic)
- (iii) HYPOTHETICAL: NO
- (iv) ANTI-SENSE: NO
- (vi) ORIGINAL SOURCE:
  - (A) ORGANISM: Homo sapiens
- (viii) POSITION IN GENOME:
  - (A) CHROMOSOME/SEGMENT: 6p21.2

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

GGGGAGGGAG GTCCCGGGCG GCGTCGGTGG GCCGAGCGCG GGTCCCGCCT CCTTGAGGCG	60
GGCCCCGGGCG GGGCGGTTGT ATATCAGGGC CGCGCTGAGC TCGCCAGCT GAGGTGTGAG	120
CAGCTGCCGA AGTCAG	136

(2) INFORMATION FOR SEQ ID NO:5:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 23 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)
- (iii) HYPOTHETICAL: NO
- (iv) ANTI-SENSE: NO
- (vi) ORIGINAL SOURCE:
  - (A) ORGANISM: Homo sapiens
- (viii) POSITION IN GENOME:
  - (B) MAP POSITION: 6.21.2

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:

CCACAAGCTT CTGACTTCGG CAG	23
---------------------------	----

(2) INFORMATION FOR SEQ ID NO:6:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 24 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)
- (iii) HYPOTHETICAL: NO
- (iv) ANTI-SENSE: NO
- (vi) ORIGINAL SOURCE:
  - (A) ORGANISM: Homo sapiens

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(viii) POSITION IN GENOME:

(A) CHROMOSOME/SEGMENT: 6.21.2

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:6:

CCCAGGAACA AGCTTGGGCA GCAG

24

**CLAIMS**

1. An isolated and purified subchromosomal DNA molecule which encodes WAF1 protein as shown in SEQ ID NO: 2, said DNA molecule containing three exons of 168 bp, 450 bp and 1600 bp, wherein the sequence of said exons is shown in SEQ ID NO: 1.
2. The DNA molecule of claim 1 which is not more than 90 kb.
3. The DNA molecule of claim 1 which is a P1 clone.
4. The DNA molecule of claim 1 which contains at least two intervening sequences.
5. The DNA molecule of claim 1 which contains no intervening sequences.
6. An isolated and purified WAF1 protein having a sequence as shown in SEQ ID NO: 2.
7. An antibody which is specifically reactive with human WAF1 protein.
8. A method of suppressing growth of tumor cells, comprising the step of:  
  
administering to said cells a WAF1 protein having a sequence as shown in SEQ ID NO: 2.
9. A method of suppressing growth of tumor cells, comprising the step of:

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administering to said cells a DNA molecule which causes said cells to express WAF1, said DNA molecule having a sequence as shown in SEQ ID NO: 1.

10. A method for screening potential therapeutic agents for the ability to suppress the growth of tumor cells by activating the expression of WAF1, comprising the steps of:

incubating a potential therapeutic agent with a cell which contains a WAF1 reporter construct, said reporter construct comprising a WAF1 transcription regulatory region covalently linked in a *cis* configuration to a gene encoding an assayable product;

measuring the production of the assayable product, a potential therapeutic agent which increases the production by the cell of the assayable product being an agent which will suppress the growth of tumor cells by activating the expression of WAF1.

11. The method of claim 10 wherein the WAF1 transcription regulatory region comprises about 2.4 kb upstream from WAF1 transcriptional start site.

12. The method of claim 10 wherein the WAF1 transcription regulatory region comprises the sequence of SEQ ID NO: 3.

13. A method for diagnosing cancer, comprising the steps of:

testing a tissue to determine if the tissue expresses less WAF1 than normal tissue.

14. The method of claim 13 wherein the step of testing utilizes an antibody which is specifically reactive with WAF1 protein.

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15. The method of claim 13 wherein the step of testing utilizes a nucleic acid probe which specifically hybridizes to a WAF1 mRNA, said probe having a sequence selected from SEQ ID NO:1.

16. A method for diagnosing cancer, comprising the steps of:  
testing a tissue to determine if DNA in said tissue contains a mutant WAF1 gene.

17. The method of claim 16 wherein DNA of the tissue is compared to DNA of a normal tissue to determine whether the WAF1 gene is mutant.

18. A WAF1 reporter construct, said reporter construct comprising a WAF1 transcription regulatory region covalently linked in a *cis* configuration to a gene encoding an assayable product.

19. The reporter construct of claim 18 wherein the WAF1 transcription regulatory region comprises about 2.4 kb upstream from WAF1 transcriptional start site.

20. The reporter construct of claim 18 wherein the WAF1 transcription regulatory region comprises the sequence of SEQ ID NO: 3.

21. An antisense WAF1 construct comprising:  
a. a transcriptional promoter;  
b. a transcriptional terminator;  
c. a DNA segment comprising one or more segments of the WAF1 gene, said gene segment located between said promoter and said terminator, said DNA segment being inverted with respect to said promoter and said terminator, whereby RNA produced by transcription of the DNA segment is

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complementary to a corresponding segment of WAF1 RNA produced by human cells.

22. The antisense WAF1 construct of claim 21 wherein said transcriptional promoter is inducible.

23. A WAF1 antisense oligonucleotide comprising: at least ten nucleotides complementary to WAF1 mRNA.

24. The WAF1 antisense oligonucleotide of claim 23 which comprises at least about twenty nucleotides complementary to WAF1 mRNA.

25. The WAF1 antisense oligonucleotide of claim 23 which contains one or more modified nucleotide analogs.

26. The WAF1 antisense oligonucleotide of claim 23 which is a circular molecule.

27. A method for promoting the proliferation of cells, comprising the step of:

administering a WAF1 antisense oligonucleotide comprising at least ten nucleotides complementary to WAF1 mRNA to said cells to inhibit the expression of WAF1.

28. A method for promoting the proliferation of cells, comprising the step of:

administering a WAF1 triplex-forming oligonucleotide comprising at least ten nucleotides complementary to WAF1 gene to said cells to inhibit the expression of a WAF1 gene.

29. A method for promoting growth of cells, comprising the step of:

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administering to said cells to inhibit the expression of WAF1,  
an antisense WAF1 construct comprising:

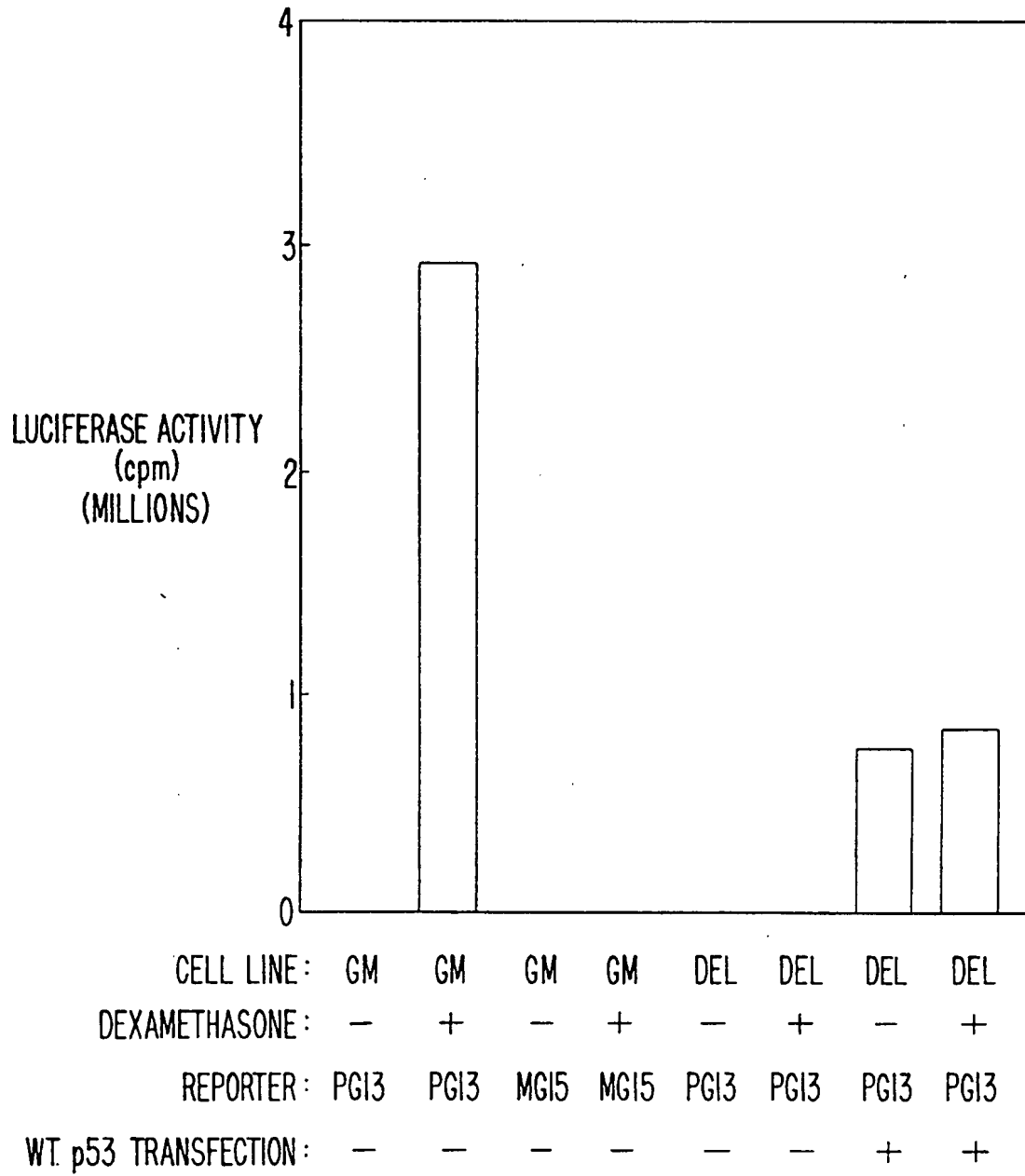
- a. a transcriptional promoter;
- b. a transcriptional terminator;
- c. a DNA segment comprising one or more segments of the WAF1 gene, said gene segment located between said promoter and said terminator, said DNA segment being inverted with respect to said promoter and said terminator, whereby RNA produced by transcription of the DNA segment is complementary to a corresponding segment of WAF1 RNA produced by human cells.

30. The method of claim 29 wherein said transcriptional promoter is inducible.

31. A method for assessing susceptibility to cancers, comprising the step of:

testing a tissue selected from the group consisting of blood, chorionic villi, amniotic fluid, and a blastomere of a preimplantation embryo, to determine if DNA in said tissue contains a mutant WAF1 gene.

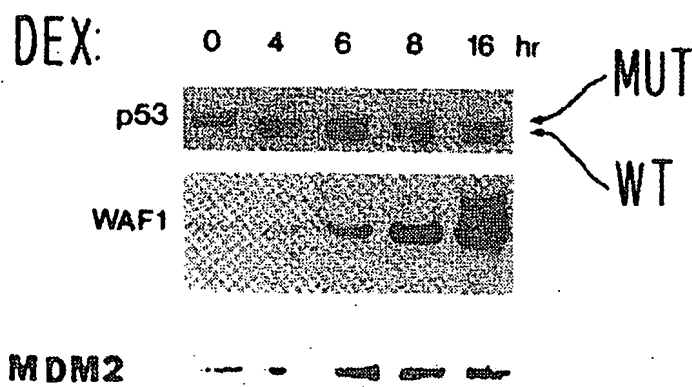
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*FIG. 1*



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FIG. 2



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FIG. 3A

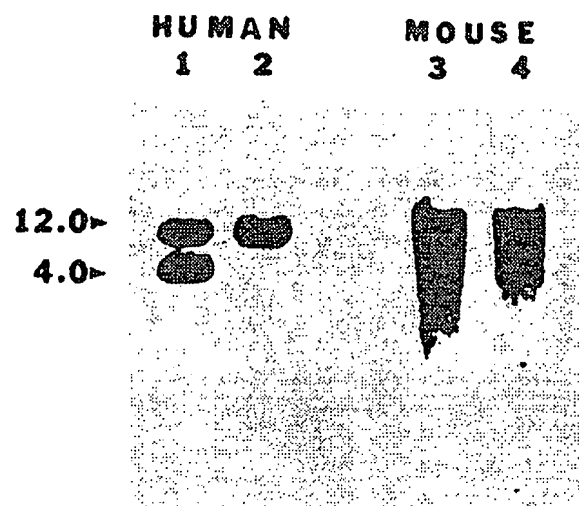
1	CCC	GAA	GTC	AGT	TCC	TTG	TGG	AGC	CGG	AGC	TGG	GCG	CGG	ATT	CGC	CGA
49	GGC	ACC	GAG	GCA	CTC	AGA	GGA	GGC	GCC	ATG	TCA	GAA	CCG	GCT	GGG	GAT
1										Met	Ser	Glu	Pro	Ala	Gly	Asp
97	GTC	CGT	CAG	AAC	CCA	TGC	GGC	AGC	AAG	GCC	TGC	CGC	CGC	CTC	TTC	GGC
8	Val	Arg	Gln	Asn	Pro	Cys	Gly	Ser	Lys	Ala	Cys	Arg	Arg	Leu	Phe	Gly
145	CCA	GTG	GAC	AGC	GAG	CAG	CTG	AGC	CGC	GAC	TGT	GAT	GCG	CTA	ATG	GCG
24	Pro	Val	Asp	Ser	Glu	Gln	Leu	Ser	Arg	Asp	Cys	Asp	Ala	Leu	Met	Ala
193	GGC	TGC	ATC	CAG	GAG	GCC	CGT	GAG	CGA	TGG	AAC	TTC	GAC	TTT	GTC	ACC
40	Gly	Cys	Ile	Gln	Glu	Ala	Arg	Glu	Arg	Trp	Asn	Phe	Asp	Phe	Val	Thr
241	GAG	ACA	CCA	CTG	GAG	GGT	GAC	TTC	GCC	TGG	GAG	CGT	GTG	CGG	GGC	CTT
56	Glu	Thr	Pro	Leu	Glu	Gly	Asp	Phe	Ala	Trp	Glu	Arg	Val	Arg	Gly	Leu
289	GGC	CTG	CCC	AAG	CTC	TAC	CTT	CCC	ACG	GGG	CCC	CGG	CGA	GGC	CGG	GAT
72	Gly	Leu	Pro	Lys	Leu	Tyr	Leu	Pro	Thr	Gly	Pro	Arg	Arg	Gly	Arg	Asp
337	GAG	TTG	GGA	GGA	GGC	AGG	CGG	CCT	GGC	ACC	TCA	CCT	GCT	CTG	CTG	CAG
88	Glu	Leu	Gly	Gly	Gly	Arg	Arg	Pro	Gly	Thr	Ser	Pro	Ala	Leu	Leu	Gln
385	GGG	ACA	GCA	GAG	GAA	GAC	CAT	GTG	GAC	CTG	TCA	CTG	TCT	TGT	ACC	CTT
104	Gly	Thr	Ala	Glu	Glu	Asp	His	Val	Asp	Leu	Ser	Leu	Ser	Cys	Thr	Leu
433	GTG	CCT	CGC	TCA	GGG	GAG	CAG	GCT	GAA	GGG	TCC	CCA	GGT	GGA	CCT	GGA
120	Val	Pro	Arg	Ser	Gly	Glu	Gln	Ala	Glu	Gly	Ser	Pro	Gly	Gly	Pro	Gly
481	GAC	TCT	CAG	GGT	CGA	AAA	CGG	CGG	CAG	ACC	AGC	ATG	ACA	GAT	TTC	TAC
136	Asp	Ser	Gln	Gly	Arg	Lys	Arg	Arg	Gln	Thr	Ser	Met	Thr	Asp	Phe	Tyr
529	CAC	TCC	AAA	CGC	CGG	CTG	ATC	TTC	TCC	AAG	AGG	AAG	CCC	TAA	TCC	GCC
152	His	Ser	Lys	Arg	Arg	Leu	Ile	Phe	Ser	Lys	Arg	Lys	Pro	***		

[illegible]

**FIG. 3B**

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FIG. 4



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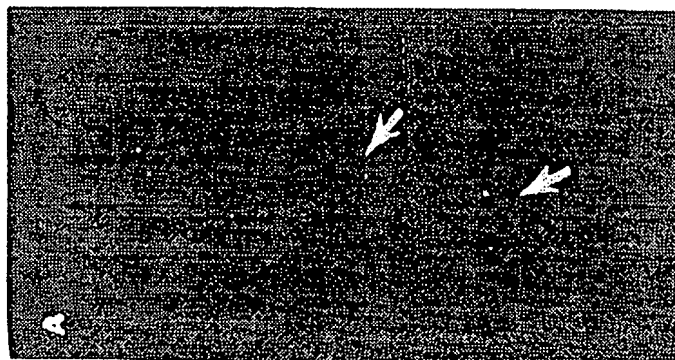
FIG. 5C



FIG. 5B

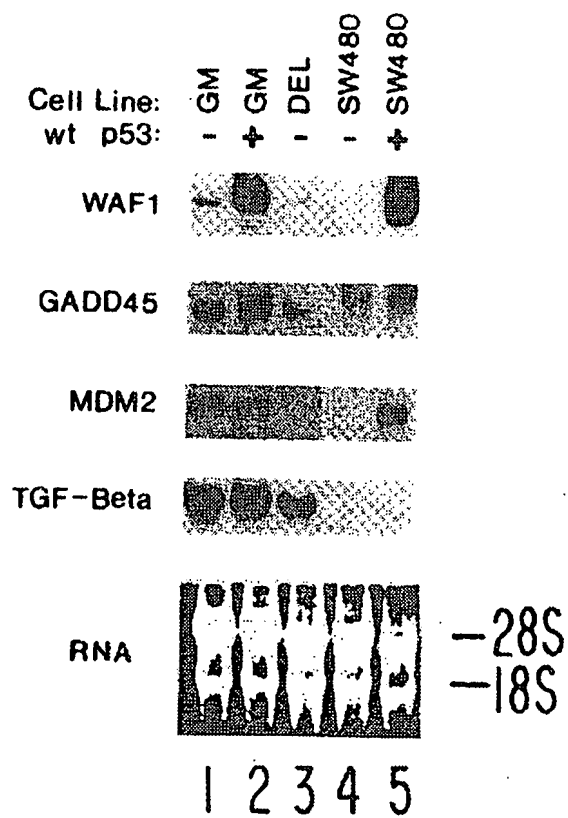


FIG. 5A



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FIG. 6



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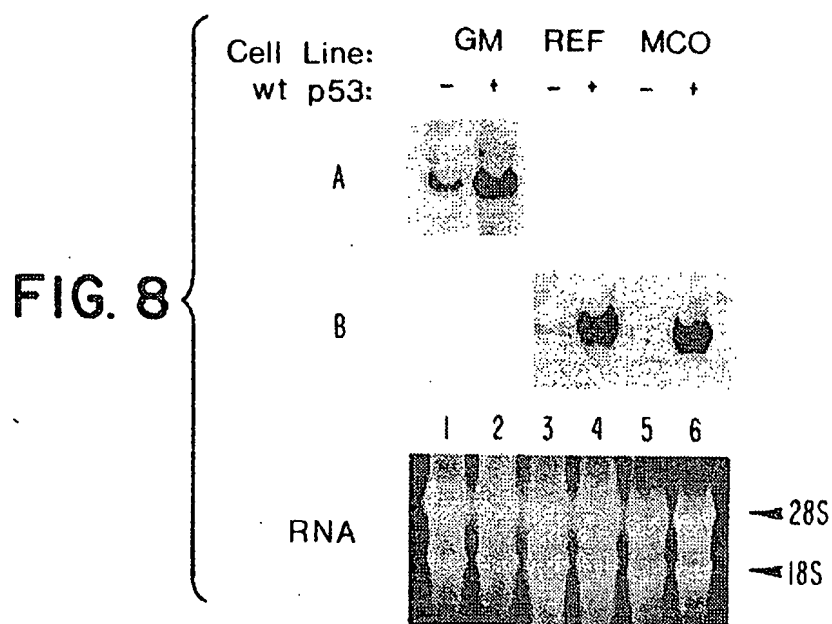
## FIG. 7A

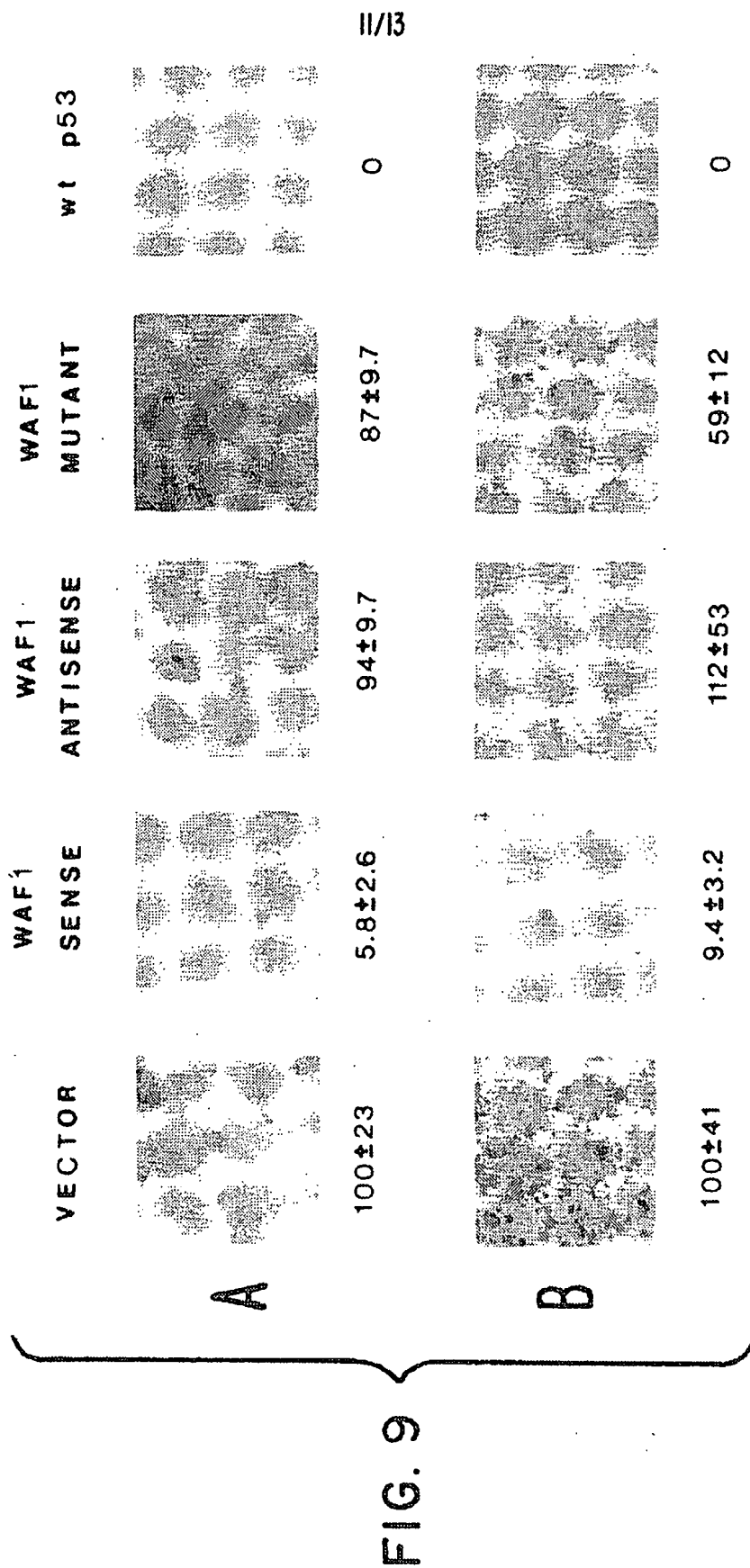
1	CT	TCT	TGT	GTT	TCA	GCC	ACA	GCG	ACC	ATG	Met	Ser	Asn	Pro	Gly	Asp	Val	7
8	Arg	Pro	Val	Pro	His	Arg	Ser	Lys	Val	Cys	Arg	Cys	Leu	Phe	Phe	Gly	Pro	23
	CGA	CCT	GTT	CCG	CAC	AGG	AGC	AAA	GTG	TGC	CGT	TGT	CTC	TTC	TTC	GGT	CCC	
24	Val	Asp	Ser	Glu	Gln	Leu	Ser	Arg	Asp	Cys	Asp	Ala	Leu	Met	Ala	Gly		39
	GTG	GAC	AGT	GAG	CAG	TTG	AGC	CGT	GAT	TGC	GAT	GCG	CTC	ATG	GCG	GCG		
40	Cys	Leu	Gln	Glu	Ala	Arg	Glu	Arg	Trp	Asn	Phe	Asp	Phe	Val	Thr	Glu		55
	TGT	CTC	CAG	GAG	GCC	CGA	GAA	CGG	TGG	AAC	TTT	GAC	TTC	GTC	ACG	GAG		
56	Arg	Gln	Leu	Glu	Gly	Asn	Phe	Val	Trp	Glu	Arg	Val	Arg	Ser	Leu	Gly		71
	AGG	CAG	CTG	GAG	GGC	AAC	TTT	GTC	TGG	GAG	CGC	GTT	CGG	AGC	CTA	GGG		
72	Leu	Pro	Lys	Val	Tyr	Leu	Ser	Pro	Gly	Ser	Arg	Ser	Arg	Asp	Asp	Leu		87
	CTG	CCC	AAG	GTC	TAC	CTG	AGC	CCT	GGG	TCC	CGC	AGC	CGT	GAC	GAC	CTG		
88	Gly	Gly	Asp	Lys	Arg	Pro	Ser	Thr	Ser	Ser	Ala	Leu	Leu	Gln	Gly	Pro		103
	GGA	GGG	GAC	AAG	AGG	AGG	CCC	AGT	ACT	TCC	TCT	GCC	CTG	CAG	GGG	CCA		
04	Ala	Pro	Glu	Asp	His	Val	Ala	Leu	Ser	Leu	Ser	Cys	Thr	Leu	Val	Ser		119
	GCT	CCG	GAG	GAC	CAC	GTG	GCC	TTG	TCG	CTG	TCT	TGC	ACT	CTG	GTG	TCT		
20	Glu	Arg	Pro	Glu	Asp	Ser	Pro	Gly	Gly	Pro	Gly	Thr	Ser	Gln	Gly	Arg		135
	GAG	CGG	CCT	GAA	GAT	TCC	CCG	GGT	GGG	CCC	GGA	ACA	TCT	CAG	GGC	CGA		
36	Lys	Arg	Arg	Gln	Thr	Ser	Leu	Thr										143
	AAA	CGG	AGG	CAG	ACC	AGC	CTG	ACA	GGT	AAG	GAC	AGG	AGC	AGA	GAA	GGA		



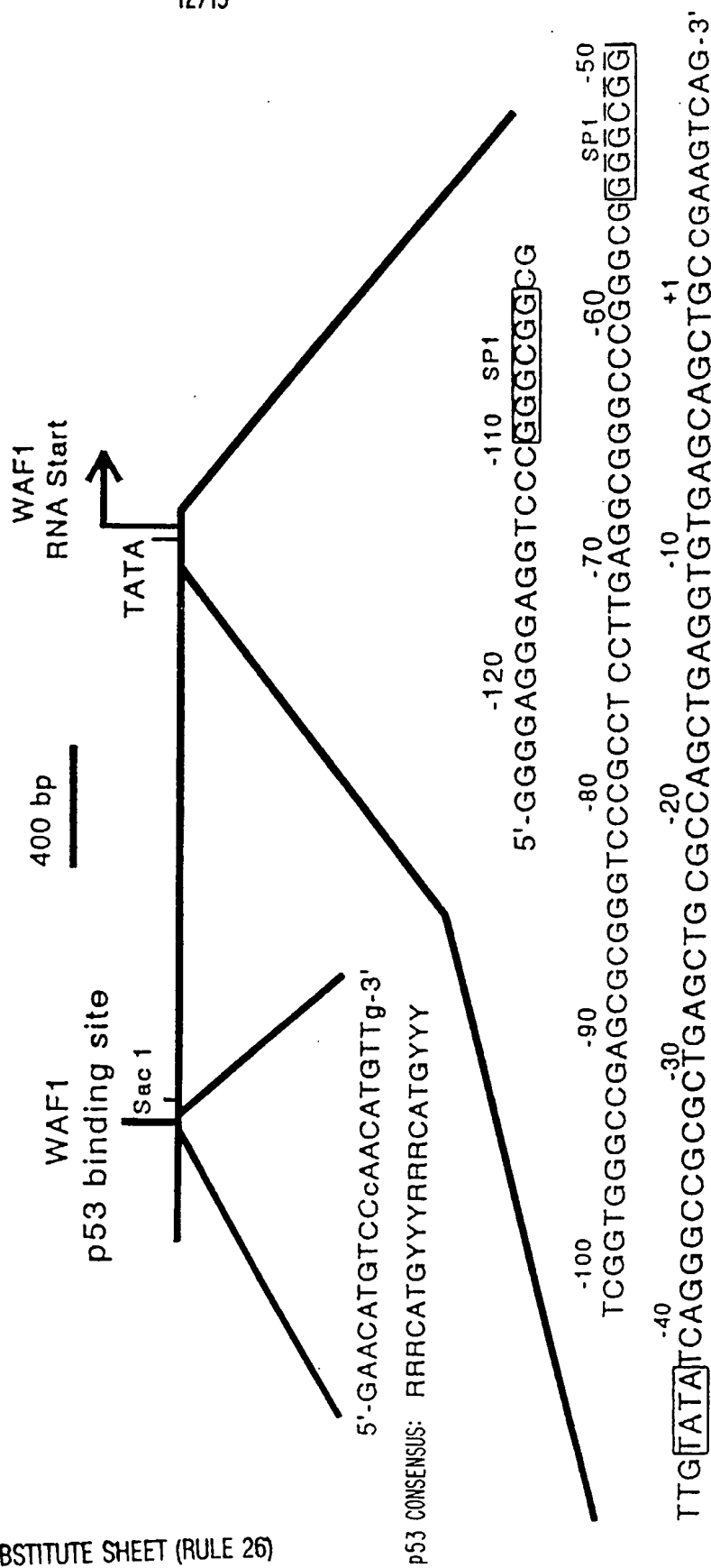
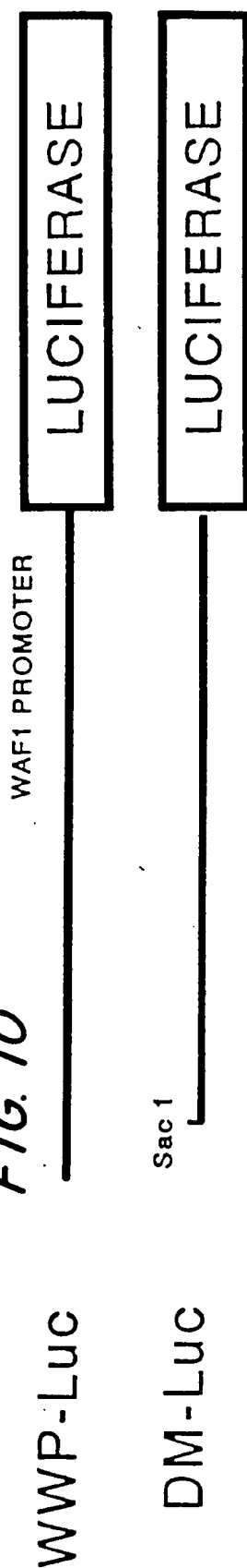


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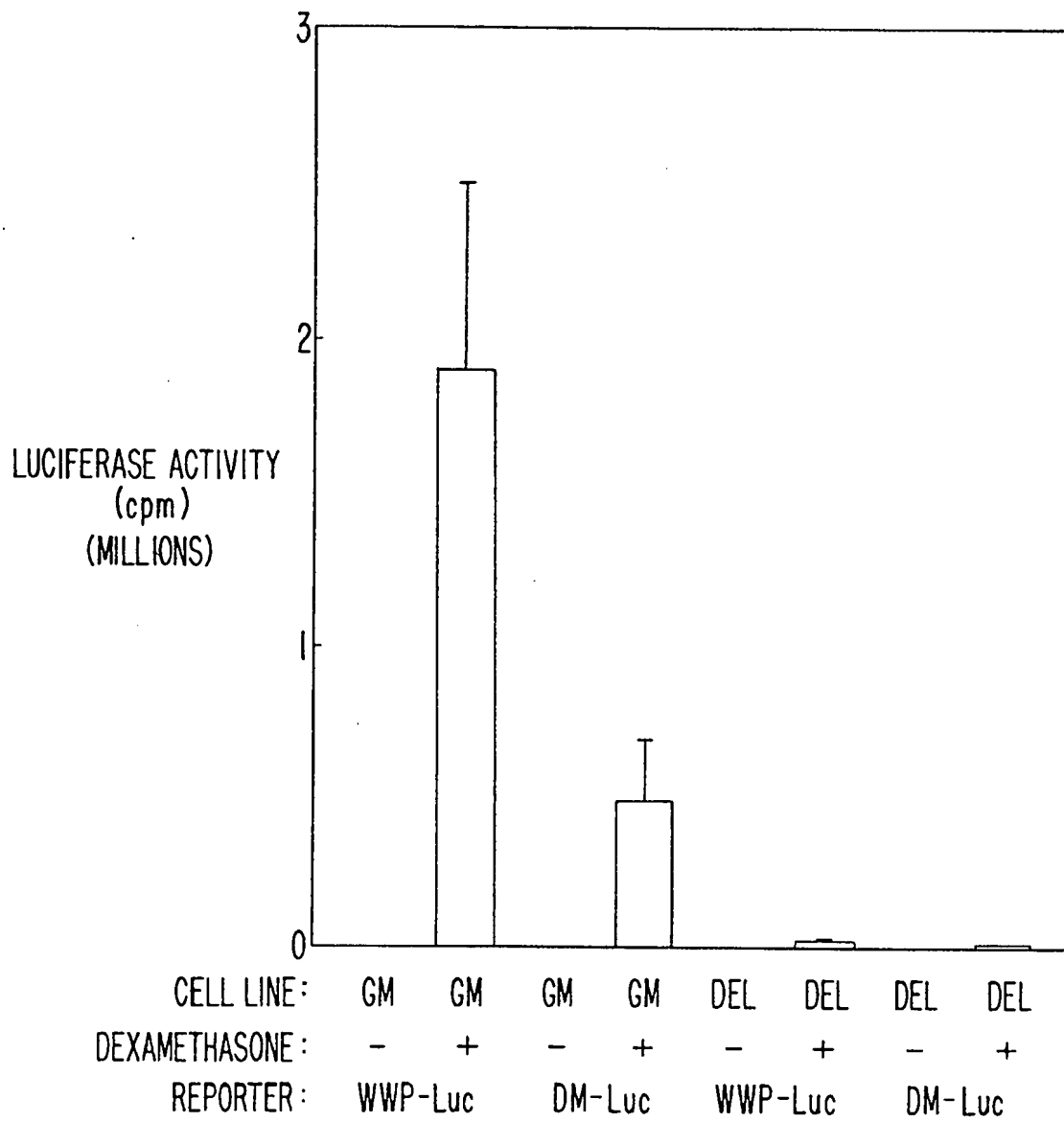




**FIG. 10**



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*FIG. 11*

## INTERNATIONAL SEARCH REPORT

Internat Application No  
PCT/US 94/12936

A. CLASSIFICATION OF SUBJECT MATTER		
IPC 6	C12N15/12 A61K38/18	C12N15/11 A61K48/00
C12N15/62 C12Q1/68	C07K14/47 G01N33/574	C07K16/18
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols)		
IPC 6 C07K C12N A61K C12Q G01N		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>WO,A,93 12251 (BAYLOR COLLEGE OF MEDICINE) 24 June 1993</p> <p>see page 6, line 31 - page 8, line 2 see page 13, line 4 - page 16, line 21 see page 13, line 33 - page 17, line 6 see page 17, line 33 - page 19, line 27 --- -/--</p>	<p>1,6,9, 10,12, 23-27</p>
<input checked="" type="checkbox"/> Further documents are listed in the continuation of box C. <input checked="" type="checkbox"/> Patent family members are listed in annex.		
* Special categories of cited documents : "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family		
Date of the actual completion of the international search		Date of mailing of the international search report
3 March 1995		08 -03- 1995
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+ 31-70) 340-3016		Authorized officer  Montero Lopez, B

## INTERNATIONAL SEARCH REPORT

Internat : Application No  
PCT/US 94/12936

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	<p>CELL, vol.75, no.4, 19 November 1993, CAMBRIDGE, NA US pages 817 - 825 WAFIK S. EL-DEIRY ET AL. 'WAF1, a potential mediator of p53 tumor suppression' see summary see page 818, left column, paragraph 1 - page 819, right column, paragraph 2; figure 3 see page 820, right column, last paragraph - page 823, left column, paragraph 1 ---</p>	<p>1-3,9, 18-21, 23,24,26</p>
P,X	<p>NATURE, vol.366, no.6456, 16 December 1993, LONDON GB pages 701 - 704 YUE XIONG ET AL. 'P21 is a universal inhibitor of cyclin kinases' see abstract see page 701, right column, paragraph 2; figure 1 see page 704, left column, paragraph 3 ---</p>	<p>1,9</p>
P,X	<p>CELL, vol.75, no.4, 19 November 1993, CAMBRIDGE, NA US pages 805 - 816 J. WADE HARPER ET AL. 'The p21 Cdk-interacting protein Cip1 is a potent inhibitor of G1 Cyclin-dependent kinases' see summary see page 806, right column, paragraph 1 see page 806, right column, paragraph 3 - page 807, right column, paragraph 1; figure 2 see page 813, left column, paragraph 1 see page 813, right column, last paragraph - page 814, left column, paragraph 1 -----</p>	<p>1,2</p>

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 94/12936

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A-9312251	24-06-93	US-A- 5302706	12-04-94
		AU-B- 3324393	19-07-93
		CA-A- 2125974	24-06-93
		EP-A- 0640143	01-03-95
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